About t	he Au	ithors	V		
Preface			xxvii		
Acknow	rledge	ements	xxxi		
List of A	Abbre	viations	xxxiii		
Glossar	у		XXXV		
CHAPTER	. 1				
Introdu	ction	and the Institution	1		
I.	Intro	duction to the LCIA	2		
	A.	History of the LCIA	2		
	B.	Organization and Structure of the LCIA	4		
	C.	The LCIA Rules	6		
II.	The	LCIA Court and Registrar (Article 3)	6		
	A.	Introduction	6		
	B.	Role of the LCIA Court (Article 3.1)	6		
	C.	Role of the Registrar (Article 3.2)	7		
	D.	Communicating with the LCIA Court through the Registrar			
		(Article 3.3)	7		
III.	Dete	rminations and Decisions by the LCIA Court (Article 29)	7		
	A.	Introduction	7		
	B.	Administrative Character of the LCIA Court (Article 29.1)	8		
	C.	Potential for Challenges by Dissatisfied Parties (Article 29.2)	9		
Снартея					
Key Ch	anges	Introduced by the 2014 Rules	11		
I.	Intro	oduction	11 12		
II.	Counsel Conduct				
III.		ti-party Arbitration	12		
IV.		rgency Arbitrator	13		
V.	Default Seat and Law Governing the Proceedings				

VI.			and the Expeditious Resolution of Disputes	15			
VII.			nor Modifications	17			
VIII.	Con	clusio	n	18			
Снарте	R 3						
Key Re	asons	for C	hoosing LCIA Arbitration	19			
I.		oducti		19			
II.	Exp	erienc	e	20			
III.	Reli	ability	of Its Decisions on Appointment of Arbitrators	21			
IV.	Cos	t-Effec	etiveness	21			
V.	Rela	tive S	peed	22			
VI.	A 'L	ight T	Youch' to Case Administration?	24			
VII.	A Lo	ondon	-Centric Institution?	25			
Снарте	p. 4						
		CIA	Arbitration Agreement	27			
I.		oducti		27			
II.		The LCIA Model Clauses					
	A.		t of the Model Clauses Provided by the LCIA	28			
	В.		n-mandatory but Recommended Use of the LCIA Model				
		Clau		29			
	C.	Issu	es to Be Added under the LCIA Model Clauses	30			
		1.	Number of Arbitrators	30			
		2.	Seat or Legal Place of Arbitration	31			
		3.	Language of Arbitration	33			
		4.	Substantive Governing Law	34			
III.	Poss	sible N	Modifications to the LCIA Model Clauses or the LCIA Rules	35			
	A.	Inte	rplay between the Parties' Arbitration Agreement and the				
			A Rules	36			
	В.	Mod	difications regarding the Scope of the Arbitration Agreement	37			
	C.		difications regarding the Law Governing the Arbitration or				
			itration Agreement	37			
	D.		difications regarding the Parties' Pre-arbitral Obligations	38			
		1.	Pre-arbitration Notice and Consultation	38			
		2.	Multi-tiered Dispute Resolution Clauses	38			
	E.	Mod	difications regarding Formation of the Tribunal	39			
		1.	Party Nomination of Arbitrator Candidates	39			
		2.	Nationality of the Arbitrators	40			
		3.	Other Qualifications of the Arbitrators	41			
		4.	Multi-party Arbitrations	41			
	F.	Mod	difications regarding Emergency Arbitrators	42			
	G.		lifications regarding the Proceedings	42			
	Н.		er Modifications	44			

CHAPTER	5		
Request	for A	rbitration	47
I.	Intro	duction	49
II.	Infor	mation to Be Included in the Request (Article 1.1)	50
	A.	Subparagraph (i) - Description of the Parties	51
	B.	Subparagraph (ii) - The Arbitration Agreement	52
	C.	Subparagraph (iii) - Description of the Dispute and the Claims	
		Advanced by the Parties	54
	D.	Subparagraph (iv) - Statement regarding the Conduct of	
		Arbitration	55
	E.	Subparagraph (v) - Nomination of Arbitrator Candidates	55
	F.	Subparagraph (vi) - LCIA Registration Fee	56
	G.	Subparagraph (vii) - Service of the Request on All Parties	57
III.	Com	mencement of Arbitration (Article 1.2)	58
IV.	Onlir	ne Option for Submission (Article 1.3)	58
V.	'Com	mencement Date' (Article 1.4)	59
VI.	Poter	ntial for More Than One Claimant (Article 1.5)	59
VII.	Othe	r Particularities Relating to the Request	59
	193	ė.	
CHAPTER			
Respons			63
I.		duction	65
II.		ng and Information to Be Included in the Response (Article 2.1)	66
	Α.	Subparagraphs (i), (ii) and (iii) – Response to the Request	68
	В.	Subparagraph (iv) - Statement regarding the Conduct of	
	_	Arbitration	69
	C.	Subparagraph (v) – Respondent's Nomination of Arbitrators	70
	D.	Subparagraph (vi) – Service of the Response on All Parties	71
III.		nission of the Response (Article 2.2)	71
IV.		e Option for Submission (Article 2.3)	71
V.		equences of Respondent's Failure to Respond (Article 2.4)	72
VI.	Poter	ntial for More Than One Respondent (Article 2.5)	72
CHAPTER	7		
		risdiction	72
I.		duction	73
II.			75 75
11.	A.	ition of the 'Arbitration Agreement' (Preamble)	
	В.	Form Requirements Designation of the LCIA	75 77
III.		ctions to the Tribunal's Jurisdiction and Authority (Article 23)	77
	A.	Fundamental Principles relating to the Tribunal's Jurisdiction	11
	11.	and Authority	78
		1. Competence-Competence (Article 23.1)	79
		2. Separability (Article 23.2)	80
		2. Separability (Article 23.2)	00

	B.	Timing of Objections before the Tribunal regarding Its	
		Jurisdiction and Authority (Article 23.3)	81
		1. Jurisdiction versus Authority	82
		2. Objections regarding the Tribunal's Jurisdiction	82
		a. Objections Raised by a Respondent	82
		b. Objections Raised by a Party Responding to a	
		Cross-Claim	83
		3. Objections regarding the Tribunal's Authority	84
		4. Tribunal's Discretion to Accept Untimely Objections	85
		5. Waiver of Objections	85
	C.	Tribunal's Decision regarding Its Jurisdiction or Authority	
		(Article 23.4)	86
	D.	Applications to National Courts for Relief regarding the	
		Tribunal's Jurisdiction or Authority (Article 23.5)	87
Снарте	ER 8		
Rules	about (Communications	91
I.	Gen	eral Rules about Communication with the Tribunal (Article 4)	93
	A.	Service of Written Communications (Article 4.1)	93
	В.	Acceptance of Service (Article 4.2)	93
	C.	Delivery by Electronic Means (Article 4.3)	94
	D.	Commencement of Time Limits (Article 4.4)	94
	E.	Compliance with Time Limits (Article 4.5)	95
	F.	Calculation of Time Limits (Article 4.6)	95
II.	Spec	cific Rules about Communications with the Tribunal (Article 13)	95
	A.	Introduction	95
	В.	Communications with the Tribunal (Article 13.1)	96
	C.	Copying of the Parties (Article 13.2)	97
	D.	Provision for Service (Article 13.3)	97
	E.	Prohibition on Ex Parte Communications (Article 13.4)	97
	F.	Communications concerning the Presiding Arbitrator	
		(Article 13.5)	98
Снарте			
Forma		f the Arbitral Tribunal	101
I.		oduction	104
II.		nber of Arbitrators (Article 5.8)	104
III.		nation of the Arbitral Tribunal (Articles 5 and 7)	106
	A.	Nomination by the Parties	108
		1. Arbitration Agreement Contains No Provision on Parties'	200
		Right to Nominate Arbitrators	108
		2. Arbitration Agreement Contains Provision on Parties'	H1 TH 87AV
	22	Right to Nominate Arbitrators	108
	В.	Nomination by a Third Party	109

	C.	Appointment by the LCIA Court	110
		1. Timely Nomination	110
		2. Late Nomination	112
		3. Failed Nomination	112
		4. Lack of Nomination	113
		5. Timing of the Appointment	117
IV.	Rea	uirements for Arbitrators	118
	Α.	Duty of Independence and Impartiality (Articles 5.3, 5.4 and 5.5)	119
		Relevant Standard	119
		2. Disclosure Obligation	122
	В.	Other Declarations (Article 5.4)	124
		1. Summary of Professional Qualifications	124
		2. Declaration of Diligence	124
		3. Agreement on Fees	125
	C.	Nationality of Sole or Presiding Arbitrators (Article 6)	125
		Scope of the Nationality Requirement	126
		2. Definition of Nationality	126
		a. Nationality of a Natural Person	126
		b. Nationality of a Legal Person	129
	D.	Particular Requirements for the President/Vice Presidents of	
		the LCIA Court and Chairman of the LCIA Board of Directors	
		(Article 5.10)	130
V.	Mul	ti-party Arbitrations	131
Снарте	R 10		
Expedi	ted Fo	rmation of the Arbitral Tribunal, Emergency Arbitrators and	
Expedi	ted Re	eplacement of Arbitrators	133
I.	Intro	oduction	136
II.	Expe	edited Formation of the Arbitral Tribunal (Article 9A)	137
	A.	Application for Expedited Formation (Articles 9.1 and 9.2)	137
		 Requirement of Exceptional Urgency 	137
		 Requirement of Exceptional Urgency in LCIA 	
		Decisions	138
		 Requirements of Urgency in Arbitral Awards 	139
		c. Relevance of Parties' Agreement	140
		Formalities and Procedure	140
		3. Timing	141
	B.	Decision on Expedited Formation (Article 9.3)	142
III.	Eme	ergency Arbitrators (Article 9B)	144
	A.	Temporal Scope of the Rules on Emergency Arbitrators	
		(Article 9.14)	146
		 Arbitration Agreements Signed before 1 October 2014 	146
		 Arbitration Agreements Signed on or after 1 October 2014 	147

	В.		lication for the Appointment of an Emergency Arbitrator	
			icles 9.4 and 9.5)	147
		1.	Requirement of Emergency	147
			a. Emergency versus Exceptional Urgency	148
			b. Emergency Relief versus Interim/Conservatory	
			Relief	149
		2.	Formalities and Procedure	150
		3.	Timing	151
	C.		ction and Appointment of the Emergency Arbitrator	
			icle 9.6)	152
		1.	Decision on the Emergency Arbitrator Application	152
		2.	Selection of the Emergency Arbitrator Candidate(s)	153
		3.	Appointment of the Emergency Arbitrator	154
	D.	Chal	llenge, Revocation and Replacement of the Emergency	
		Arbi	trator	155
	E.	Deci	ision by the Emergency Arbitrator	155
		1.	Conduct of the Proceedings before the Emergency	
			Arbitrator (Article 9.7)	155
			a. Consultation of the Parties	156
			b. Hearing	156
			c. Other Provisions on Procedure	157
		2.	Timing of the Emergency Arbitrator's Decision	
			(Article 9.8)	158
		3.	Form of the Emergency Arbitrator's Decision	
			(Article 9.9)	158
		4.	Temporary Nature of the Emergency Arbitrator's	
			Decision (Article 9.11)	159
	F.	Cost	s and Expenses (Article 9.10)	160
IV.	Expe	dited	Appointment of Replacement Arbitrator (Article 9C)	161
V.	Relat	ionsh	tip between the Various 'Expeditious' or 'Urgent' Regimes	163
CHAPTER	11			
Challen	ges, R	emov	val and Replacement of Arbitrators	167
I.	Intro	ductio	on: The Power to Remove an Arbitrator	169
II.	Grou	nds fo	or Removing an Arbitrator (Articles 10.1 and 10.2)	169
III.	Chall	enge	Procedure (Articles 10.3, 10.4, 10.5 and 10.6)	171
IV.	Costs	of C	hallenge Proceedings (Articles 10.7)	174
V.	Stand	lard c	of Evaluation	175
	A.	Impa	artiality	175
		1.	Cultural Neutrality and Professional Specialization	175
		2.	Arbitrator's Discretion to Manage the Proceedings	176
		3.	Failure to Treat the Parties Equally and Ex Parte	
			Communications	177
		4.	Personal Animosity (Perceived and Real)	177

	В.	 Independence Failure to Disclose a Relationship with a Party or Counsel Relationship with a Party or Counsel Membership in a Common Professional Organization Same Barristers' Chambers 	178 178 179 180 180
	C.	5. Repeat Appointments Statistics on Challenges	181 182
VI.		cement of Arbitrators (Article 11)	184
CHAPTER			
Seat and	d Place	e of Arbitration	185
I.	Intro	duction	186
II.	Choic	e of Seat or Place of the Arbitration (Article 16.1)	187
III.		ılt Seat (Article 16.2)	189
IV.		ion of the Hearings (Article 16.3)	190
V.		Applicable to the Arbitration and Arbitration Agreement	
	(Artic	ele 16.4)	191
CHAPTER			
		Arbitration	193
I.		duction	194
II.	Scena		195
III.	Pre-a	ppointment of the Tribunal (Articles 17.1, 17.2 and 17.3) The LCIA Court's Discretion in Selecting the Language of the	196
		Arbitration	197
IV.	Post-	appointment of the Tribunal (Article 17.4)	198
CHAPTER	14		
Conduc	t of Pr	oceedings and Default Timetable	201
I.	Gene	ral Rules on Determining the Conduct of the Proceedings	
	(Artic	cle 14)	204
	A.	Introduction	204
	B.	Initial Contact with Arbitral Tribunal (Article 14.1)	204
	C.	Joint Proposals for Conduct of Arbitration (Article 14.2)	205
	D.	Memorialization of Agreed Proposals (Article 14.3)	207
	E.	General Duties of Arbitral Tribunal (Article 14.4)	207
	F.	Arbitral Tribunal's Wide Discretion (Article 14.5)	208
	G.	Authority for Procedural Orders (Article 14.6)	209
II.	The I	Default Timetable (Article 15)	210
	A.	Introduction	210
	B.	Introductory Statement (Article 15.1)	212
	C.	Statement of the Case (Article 15.2)	212
	D. E.	Statement of Defence/Statement of Cross-Claim (Article 15.3) Statement of Reply/Statement of Defence to Cross-Claim	213
		(Article 15.4)	214

	F. G.	Statement of Reply to the Defence to Cross-Claim (Article 15.5) Authority to Provide Additional Directions (Article 15.6)	214 214
	Н.	No Additional Written Statements (Article 15.7) Failure to Submit Statement of Defence or Statement of	215
	I.	Defence to Cross-Claim (Article 15.8)	216
	J.	Proceedings after Written Stage (Article 15.9)	216
	K.	Timing of the Last Submissions, Tribunal's Deliberations	210
	ι.	and Final Award (Article 15.10)	216
Снарті	ER 15		
Hearin	ngs, Wit	nesses and Experts	219
I.	Hear	ings (Article 19)	222
	A.	Introduction	222
	В.	Right to a Hearing (Article 19.1)	222
	C.	Form and Content of Hearing (Article 19.2)	224
	D.	Notice of Hearing (Article 19.3)	226
	E.	Hearings Are Private (Article 19.4)	226
II.	Witn	ess(es) (Article 20)	227
	A.	Introduction	227
	В.	Written Notice of Identity of Witnesses (Article 20.1)	228
	C.	Written Testimony (Article 20.2)	228
	D.	Power of Arbitral Tribunal (Article 20.3)	230
	E.	Oral Questioning of Witnesses (Article 20.4)	230
	F.	Interview of Potential Witnesses (Article 20.5)	231
	G.	Parties as Witnesses (Article 20.6)	232
	H.	Oath (Article 20.7)	233
	I.	Questioning of Witnesses (Article 20.8)	233
III.	Expe	rt(s) to Arbitral Tribunal (Article 21)	233
	A.	Introduction	233
	B.	Power to Appoint Expert (Article 21.1)	234
	C.	Independence of Expert (Article 21.2)	235
	D.	Providing Information to the Expert (Article 21.3)	235
	E.	Expert's Participation in a Hearing (Article 21.4)	236
	F.	Expert Fees (Article 21.5)	236
Снарти	ER 16		
Specia	l Powe	rs of the Tribunal	239
I.	Trun	cated Tribunals and the Power to Continue Proceedings	242
	A.	Introduction	242
	В.	Deliberations in the Absence of a Member of the Tribunal	
		(Article 12.1)	243
	C.	Additional Guidance for Arbitrators (Article 12.2)	244
	D.	Tribunal's Request for Replacement (Article 12.3)	246

II.	Addi	itional Powers of the Tribunal in Respect of the Procedure	246
	A.	Introduction	246
	B.	Tribunal's Powers Enumerated (Article 22.1)	247
		1. Supplementation (Article 22.1(i))	247
		2. Control of Time Limits (Article 22.1(ii))	248
		3. Identification of Facts and Law (Article 22.1(iii))	248
		4. Inspection, Preservation and Production of Evidence	
		(Article 22.1(iv))	248
		5. Production of Documents (Article 22.1(v))	249
		6. Applicable Rules (Article 22.1(vi))	249
		7. Contractual Remedies (Article 22.1(vii))	249
		8. Joinder (Article 22.1(viii))	250
		9. Consolidation (Articles 22.1(ix) and 22.1(x))	250
		10. Power to Discontinue the Arbitration (Article 22.1(xi))	251
	C.	Influence of State Courts and Other Legal Authorities	
		(Article 22.2)	252
	D.	Tribunal's Power to Decide Substantive Law (Article 22.3)	252
	E.	Tribunal's Power to Act (Article 22.4)	253
	F.	Changes to Time Limits (Article 22.5)	253
	G.	Consolidation (Article 22.6)	254
Снарты	R 17	w.	
Interim	and (Conservatory Measures	257
I.	Intro	oduction	259
II.	Appl	lication to Tribunals for Interim Measures (Articles 25.1	
	and	25.2)	260
	A.	Tribunal's Power to Grant Interim Measures	260
		1. Broad Power to Grant Interim Measures	261
		2. Possible Limitations to the Power to Grant Interim	
		Measures	261
		a. Limitations Stemming from the Arbitration	
		Agreement	261
		b. Limitations Stemming from the Law Governing	
		the Arbitration	262
		c. Limitations Stemming from the Nature of	
		Arbitration	263
	B.	Procedure for Granting Interim Measures	263
		1. Ex parte Applications for Interim Measures	264
		2. Sua sponte Interim Measures	265
	C.	Requirements for Granting Interim Measures	265
	0.000000	Measure Cannot Await the Final Resolution of the	
		Dispute	266
		2. Measure Is Proportionate	266
		3. Measure Is Reasonable	267
		######################################	

	D.	Types of Interim Measures	267
		 Security for Amount in Dispute 	268
		2. Security for Costs	269
		3. Preservation or Disposal of Property	272
		4. Other Provisional Relief	273
	E.	Terms of Interim Measures and Cross-Indemnity	275
	F.	Form of the Decision on Interim Measures	276
	G.	Modification of Interim Measures	276
	H.	Enforcement of Interim Measures	277
III.	App.	lication to State Courts for Interim Measures (Article 25.3)	278
	A.	Compatibility with the Arbitration Agreement and Jurisdiction	
		of State Courts	279
	В.	Timing of the Application	279
		 Before the Formation of the Arbitral Tribunal 	280
		2. After the Formation of the Arbitral Tribunal	280
	C.	Duty to Communicate Applications and Orders to the Tribunal	
		and Parties	281
Corre	. 10		
CHAPTE			283
		entatives	286
I.		oduction	286
II.		ies' Legal Representatives (Article 18 1)	286
	A.	Choice of Legal Representatives (Article 18.1)	200
	В.	Confirmation of Names/Addresses and Proof of Authority (Article 18.2)	288
	C.	Change or Addition of Legal Representatives	289
	C.	Notification of the Intended Change or Addition	207
		(Article 18.3)	290
		2. Approval of the Change or Addition (Article 18.4)	290
III.	Cuic	delines for Legal Representatives	293
111.	A.	Scope of the Guidelines	293
	В.	Nature of the Guidelines	293
	D.	1. Non-optional Nature of the Guidelines (Article 18.5)	294
		2. Relationship with Mandatory Laws, Professional	271
		Rules, etc. (Paragraph 1)	295
		3. Relationship with Arbitration Agreement, Duty of	275
		Loyalty, etc. (Paragraph 1)	297
	C.	Content of the Guidelines	298
	O.	Obstruction of the Arbitration or Jeopardizing the	270
		Finality of the Award (Paragraph 2)	299
		2. False Statements (Paragraph 3)	300
		3. False Evidence (Paragraph 4)	302
		4. Concealment of Documents (Paragraph 5)	303
		5. Ex Parte Communications (Paragraph 6)	305

	D.	The	Tribunal's Power to Sanction Violations of the Guidelines	305
		1.	Scope and Source of the Tribunal's Power (Paragraph 7	
			and Article 18.5)	306
		2.	Complaint Procedure (Article 18.6)	307
		3.	Possible Sanctions (Article 18.6)	308
		4.	Decision of the Arbitral Tribunal	311
Снарте				
Costs a				313
I.		oducti		316
	A.		rview of the Relevant Provisions	316
	В.		Concepts of 'Arbitration Costs', 'Legal Costs' and	
			posits'	317
	C.		A's Hourly Rate System	318
II.			ticle 28)	319
	A.		itration Costs	319
		1.	LCIA's Charges	319
		2.	Tribunal's Fees	320
		3.	Tribunal's Expenses	322
		4.	Ancillary Costs	323
		5.	Determination of the Arbitration Costs by the LCIA	323
		6.	Parties' Joint and Several Liability for the Arbitration	
			Costs	324
	В.	Part	ties' 'Legal Costs'	324
		1.	Determination of the Legal Costs by the Tribunal	324
		2.	Quantum of the Legal Costs	325
		3.	Apportionment of the Legal Costs	325
		4.	Prior Agreement as to Parties' Liability for the Costs	326
III.	Adv	ances	on the Costs of the Arbitration (Deposits) (Article 24)	326
	A.	Dire	ection for Deposits	326
	B.	Prod	ceedings Pending the Payment of the Deposit	328
	C.	LCI	A's Handling of the Deposits	328
	D.	Non	n-payment of Deposits	329
Снарте				
Award	s and	Corre	ction of Awards	333
I.		oducti		335
II.	Gen		equirements and Characteristics of LCIA Awards	335
	A.	Defi	inition of 'Award'	335
	B.	Fori	m Requirements (Article 26.2)	337
		1.	Written Form	337
		2.	Reasoning	338
		3.	Date and Seat of Arbitration	340
		4	Signatures	340

	C. Currency and Interest (Articles 26.3 and 26.4)	341
	1. Currency	341
	2. Interest	341
	D. Rendering of the Award	343
	 Timetable to Render the Award 	344
	Limited Review of the Award by the LCIA	345
	3. Transmission to the Parties (Article 26.7)	345
	E. Final and Binding Nature of Awards (Article 26.8)	346
III.	Specific Situations and Types of LCIA Awards	349
	A. Separate Awards (Article 26.1)	349
	B. Majority Awards, Awards by the Presiding Arbitrator and	
	Dissenting Opinions (Articles 26.5 and 26.6)	350
	C. Consent Awards (Article 26.9)	353
	D. Correction of Awards and Additional Awards (Article 27)	355
	1. Correction of Awards (Articles 27.1 and 27.2)	355
	a. Correction at Party's Request	355
	b. Correction upon Tribunal's Initiative	357
	c. Memorandum Containing Corrections	358
	2. Additional Awards (Articles 27.3 and 27.4)	358
Снарті		261
	entiality	361
I.	Introduction	362
II.	Confidentiality as a Matter of Law	363
III.	Confidentiality under the LCIA Rules	365
	A. Parties' Obligation to Preserve Confidentiality (Article 30.1)	
	B. Confidentiality and the Arbitrators (Article 30.2)	367
	C. Confidentiality and the Arbitral Institution (Article 30.3)	368
Снарт	R 22	
Limita	ion of Liability	371
I.	Nature of the Legal Relationships between the LCIA, the Parties	
	and the Arbitrators	372
II.	Scope: Who's Covered?	374
	A. Institution	374
	B. Arbitrators, Emergency Arbitrators and Experts	375
III.	Scope: What Is Covered and What Is Not?	376
IV.	Overall Effectiveness and Relevance	377
Снарт		
	l Rules	379
I.	Waiver of the Right to Object (Article 32.1)	380
II.	Good Faith, the Spirit of the Arbitration Agreement and Preservin	
	the Enforceability of the Award (Article 32.2)	383

III. Effect of a Decision That the Arbitration Agreement Is Invalid on Other Decision of the Tribunal (Article 32.3)	384
ANNEX I LCIA Arbitration Rules	385
ANNEX II LCIA Schedule of Arbitration Costs	415
ANNEX III LCIA Online Forms	421
Index	457