

# Table of Contents

|                                                |    |
|------------------------------------------------|----|
| <b>Preface</b> / <i>Marek Furtek</i> . . . . . | 13 |
|------------------------------------------------|----|

## Chapter 1

### **Legal and Organizational Framework of Arbitration in Poland** / *Paweł Pietkiewicz*

|                                                                                              |    |
|----------------------------------------------------------------------------------------------|----|
| 1. Overview of the Polish legal system . . . . .                                             | 15 |
| 1.1. The Constitution and the court system in Poland . . . . .                               | 15 |
| 1.2. Constitutional principles . . . . .                                                     | 16 |
| 1.2.1. General overview . . . . .                                                            | 16 |
| 1.2.2. A principle of sovereignty of the Nation . . . . .                                    | 16 |
| 1.2.3. Separation of powers . . . . .                                                        | 16 |
| 1.2.4. The principle of sovereignty of the State . . . . .                                   | 16 |
| 1.2.5. The principle of democratic State ruled by law . . . . .                              | 17 |
| 1.3. Judicial power – courts . . . . .                                                       | 17 |
| 1.3.1. Constitutional backgrounds . . . . .                                                  | 17 |
| 1.3.2. Common courts . . . . .                                                               | 18 |
| 1.3.3. Specialised courts . . . . .                                                          | 18 |
| 1.3.4. Supreme Court . . . . .                                                               | 19 |
| 1.3.5. Constitutional Tribunal . . . . .                                                     | 19 |
| 1.3.6. The Tribunal of State . . . . .                                                       | 20 |
| 1.4. Forms of alternative dispute resolution in Poland . . . . .                             | 21 |
| 2. Sources of arbitration law . . . . .                                                      | 21 |
| 2.1. International agreements . . . . .                                                      | 22 |
| 2.1.1. Multi-party agreements . . . . .                                                      | 22 |
| 2.1.2. Bilateral agreements . . . . .                                                        | 24 |
| 2.2. Polish laws regulating arbitral proceedings . . . . .                                   | 25 |
| 2.2.1. National law on arbitration: historical overview . . . . .                            | 25 |
| 2.2.2. Code of Civil Procedure . . . . .                                                     | 27 |
| 2.2.3. UNCITRAL Model Law and its influence on Polish legislation . . . . .                  | 28 |
| 2.2.4. General overview of the 2005 reform of arbitration law in Poland . . . . .            | 29 |
| 2.3. Soft law . . . . .                                                                      | 30 |
| 2.3.1. IBA Guidelines on Conflicts of Interest in International Arbitration . . . . .        | 30 |
| 2.3.2. IBA Rules on the Taking of Evidence in International Commercial Arbitration . . . . . | 31 |
| 2.3.3. ICDR Guidelines on Exchange of Information . . . . .                                  | 32 |



|                                                                                                                                                                     |    |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| 2.3.4. UNCITRAL Arbitration Rules, as revised in 2010 . . . . .                                                                                                     | 33 |
| 2.3.5. UNCITRAL Notes on Organizing Arbitral Proceedings . . . . .                                                                                                  | 35 |
| 2.3.6. Recommendations to assist arbitral institutions and other<br>interested bodies with regard to arbitrations under the UNCITRAL<br>Arbitration Rules . . . . . | 36 |
| 2.3.7. Techniques for Controlling Time and Costs in Arbitration . . . . .                                                                                           | 37 |
| 2.4. The Polish Arbitration Association . . . . .                                                                                                                   | 38 |

## Chapter 2

### **General overview of arbitration practice** / *Piotr Bielarczyk*

|                                                                             |    |
|-----------------------------------------------------------------------------|----|
| 1. Nationwide permanent arbitration courts . . . . .                        | 41 |
| 2. Regional, industry or specialized permanent arbitration courts . . . . . | 46 |
| 3. Scientific and popularizing activities . . . . .                         | 49 |
| 4. Conclusion . . . . .                                                     | 50 |

## Chapter 3

### **Arbitration Agreement** / *Paweł Lewandowski*

|                                                                                           |    |
|-------------------------------------------------------------------------------------------|----|
| 1. General nature of an arbitration agreement . . . . .                                   | 51 |
| 1.1. Introduction . . . . .                                                               | 51 |
| 1.2. Legal nature of an arbitration agreement . . . . .                                   | 51 |
| 1.3. Law governing an arbitration agreement . . . . .                                     | 52 |
| 2. Content of an arbitration agreement . . . . .                                          | 54 |
| 2.1. Constitutive elements . . . . .                                                      | 54 |
| 2.2. Voluntary elements . . . . .                                                         | 55 |
| 3. Arbitrability . . . . .                                                                | 56 |
| 4. Capacity to be a party to an arbitration agreement . . . . .                           | 57 |
| 5. Form of an arbitration agreement . . . . .                                             | 57 |
| 6. Autonomy of an arbitration agreement . . . . .                                         | 58 |
| 7. Principle of equality of the parties and arbitration agreement . . . . .               | 59 |
| 8. Legal effects and scope of an arbitration agreement . . . . .                          | 59 |
| 8.1. Procedural and substantive effect of an arbitration agreement . . . . .              | 59 |
| 8.2. Objective scope of an arbitration agreement . . . . .                                | 60 |
| 8.3. Subject-based scope of an arbitration agreement . . . . .                            | 60 |
| 8.4. Plea of arbitration . . . . .                                                        | 61 |
| 9. Invalidity, ineffectiveness and unenforceability of an arbitration agreement . . . . . | 62 |
| 10. Loss of force of an arbitration agreement . . . . .                                   | 63 |

## Chapter 4

### **Arbitrators and Arbitral Tribunals** / *Marcin Aśkanowicz*

|                                            |    |
|--------------------------------------------|----|
| 1. Introduction . . . . .                  | 65 |
| 2. Arbitrators . . . . .                   | 65 |
| 2.1. List of Arbitrators . . . . .         | 65 |
| 2.2. Eligibility criteria . . . . .        | 66 |
| 2.3. Qualifications . . . . .              | 67 |
| 2.4. Arbitrators' code of ethics . . . . . | 69 |





|                                                 |    |
|-------------------------------------------------|----|
| 2.5. Arbitrators versus mediators               | 70 |
| 2.6. Conflict of interests rules                | 71 |
| 2.7. Applicable prohibitions                    | 72 |
| 2.8. Compensation of the arbitrators            | 72 |
| 3. Arbitral Tribunals                           | 73 |
| 3.1. Constitution of the tribunal               | 73 |
| 3.2. Default appointment                        | 75 |
| 3.3. Composition of the tribunal                | 76 |
| 3.4. The chairperson and his/her authority      | 77 |
| 3.5. Changes in the composition of the tribunal | 77 |
| 3.6. Challenge to arbitrator                    | 78 |

## Chapter 5

### **Arbitral Proceedings** / Marcin Olechowski, Anna Tujakowska

|                                                                 |    |
|-----------------------------------------------------------------|----|
| 1. General principles                                           | 81 |
| 1.1. Party autonomy                                             | 81 |
| 1.2. Confidentiality                                            | 82 |
| 1.3. Efficiency                                                 | 82 |
| 2. Conduct of the proceedings                                   | 83 |
| 2.1. Commencement of the proceedings                            | 84 |
| 2.2. Powers of the arbitral tribunal to conduct the proceedings | 85 |
| 2.3. Written submissions                                        | 86 |
| 2.3.1. Nature and sequence                                      | 86 |
| 2.3.2. Formal requirements                                      | 86 |
| 2.3.3. Service of written communications                        | 87 |
| 2.3.4. Amendments                                               | 88 |
| 2.4. Particular procedural problems                             | 88 |
| 2.4.1. Multiparty proceedings and consolidation of proceedings  | 88 |
| 2.4.2. Counsel to the parties                                   | 89 |
| 2.4.3. Language of the proceedings                              | 89 |
| 2.4.4. Seat of the arbitration and venue of hearings            | 90 |
| 2.5. Hearings                                                   | 90 |
| 2.5.1. Obligation to hold oral hearing                          | 90 |
| 2.5.2. Record of hearing                                        | 91 |
| 2.5.3. Closing of hearing                                       | 91 |
| 2.6. Default proceedings                                        | 92 |
| 2.7. Suspension (stay) of proceedings                           | 92 |
| 2.8. Waiver of procedural objections                            | 93 |
| 2.9. Termination of proceedings                                 | 93 |
| 3. Interim measures                                             | 94 |
| 3.1. Jurisdiction of the arbitrators and courts                 | 94 |
| 3.2. Conditions for issuance of interim measures                | 95 |
| 3.3. Enforcement of interim measures ordered by arbitrators     | 96 |
| 3.4. Liability for unjustified measures                         | 96 |
| 4. Evidence                                                     | 96 |
| 4.1. General                                                    | 96 |
| 4.2. Means of evidence                                          | 97 |



|                                                                         |     |
|-------------------------------------------------------------------------|-----|
| 4.3. Court support in evidentiary matters . . . . .                     | 98  |
| 5. Fees and costs . . . . .                                             | 99  |
| 5.1. Arbitrators' fees and costs . . . . .                              | 99  |
| 5.2. Apportionment of the costs of arbitration and legal fees . . . . . | 100 |

## Chapter 6

### **Arbitral Award** / *Bartosz Krużewski*

|                                                            |     |
|------------------------------------------------------------|-----|
| 1. The process and grounds for reaching an award . . . . . | 101 |
| 1.1. General . . . . .                                     | 101 |
| 1.2. Deliberations . . . . .                               | 101 |
| 1.3. Voting . . . . .                                      | 102 |
| 1.4. Dissenting opinions . . . . .                         | 102 |
| 2. Categories of awards . . . . .                          | 103 |
| 2.1. Final award . . . . .                                 | 103 |
| 2.2. Interim and partial awards . . . . .                  | 103 |
| 2.3. Settlements in the form of an award . . . . .         | 103 |
| 2.4. Default awards . . . . .                              | 104 |
| 2.5. "Award" <i>versus</i> "order" . . . . .               | 104 |
| 3. Validity of awards . . . . .                            | 105 |
| 3.1. Time limits . . . . .                                 | 105 |
| 3.2. Form of the award . . . . .                           | 105 |
| 3.3. Content of the award . . . . .                        | 106 |
| 4. Rectification of an award . . . . .                     | 108 |
| 4.1. General . . . . .                                     | 108 |
| 4.2. Correction of the award . . . . .                     | 108 |
| 4.3. Clarification of the award . . . . .                  | 109 |
| 4.4. Supplementing the award . . . . .                     | 109 |

## Chapter 7

### **Setting Aside an Arbitration Award** / *Justyna Szpara*

|                                                                               |     |
|-------------------------------------------------------------------------------|-----|
| 1. Nature, subject and scope of action to set aside award . . . . .           | 111 |
| 1.1. Nature of action to set aside award . . . . .                            | 111 |
| 1.2. Arbitral award as the subject of action to set aside . . . . .           | 111 |
| 1.3. Scope of action to set aside award . . . . .                             | 113 |
| 2. Standing to seek to set aside award . . . . .                              | 113 |
| 3. Grounds for setting aside award . . . . .                                  | 114 |
| 3.1. Nature of grounds for setting aside award . . . . .                      | 114 |
| 3.2. Particular grounds for setting aside award . . . . .                     | 114 |
| 4. Judicial proceeding to set aside award . . . . .                           | 121 |
| 4.1. Competent court . . . . .                                                | 121 |
| 4.2. Deadline for filing application to set aside . . . . .                   | 121 |
| 4.3. Overview of the proceeding . . . . .                                     | 122 |
| 4.4. Remission procedure . . . . .                                            | 122 |
| 4.5. Ruling on application to set aside award and avenues of appeal . . . . . | 123 |
| 5. Legal consequences of setting aside an award . . . . .                     | 124 |





## Chapter 8

**Recognition and Enforcement of Arbitral Awards in Poland** / *Rafał Morek, Wojciech Sadowski*

|                                                                                                        |     |
|--------------------------------------------------------------------------------------------------------|-----|
| 1. General principles governing recognition and enforcement of arbitral awards in Poland . . . . .     | 125 |
| 2. Definitions: 'domestic' and 'foreign' awards, 'recognition' and 'enforcement' . . . . .             | 126 |
| 3. Recognition and enforcement of decisions of arbitral tribunals other than arbitral awards . . . . . | 128 |
| 4. Settlements and awards on agreed terms . . . . .                                                    | 129 |
| 5. International conventions . . . . .                                                                 | 130 |
| 6. The New York Convention and Polish law . . . . .                                                    | 132 |
| 7. Grounds for refusal of recognition and enforcement . . . . .                                        | 135 |
| 8. Court procedure . . . . .                                                                           | 137 |
| 9. Conclusions . . . . .                                                                               | 139 |

## Chapter 9

**The State in Arbitration Proceedings** / *Monika Hartung*

|                                                                                            |     |
|--------------------------------------------------------------------------------------------|-----|
| 1. Introduction . . . . .                                                                  | 141 |
| 2. State Treasury participation in arbitration proceedings . . . . .                       | 142 |
| 3. State Treasury representation in arbitration proceedings . . . . .                      | 144 |
| 4. The source of arbitration clauses effected by the State Treasury . . . . .              | 146 |
| 5. Relevant law and conflict of laws issues . . . . .                                      | 150 |
| 6. Distinctions in arbitration proceedings with State Treasury participation . . . . .     | 153 |
| 7. Implementing an arbitral tribunal award in cases involving the State Treasury . . . . . | 155 |
| 8. Summary . . . . .                                                                       | 157 |

## Chapter 10

**Investment Treaty Arbitration** / *Marek Świątkowski*

|                                                                     |     |
|---------------------------------------------------------------------|-----|
| 1. Introduction . . . . .                                           | 159 |
| 2. Legal sources of investment arbitration . . . . .                | 160 |
| 2.1. Bilateral investment treaties . . . . .                        | 160 |
| 2.2. Multilateral investment treaties . . . . .                     | 161 |
| 3. Investment dispute resolution clauses in Polish BITs . . . . .   | 161 |
| 4. Poland in investment arbitration . . . . .                       | 163 |
| 4.1. Poland as a respondent . . . . .                               | 163 |
| 4.2. Polish investors as claimants . . . . .                        | 163 |
| 4.3. Poland as a place for investment arbitration . . . . .         | 164 |
| 5. Enforcement of investment arbitration awards in Poland . . . . . | 166 |
| 5.1. General . . . . .                                              | 166 |
| 5.2. Enforcement in Poland against Poland . . . . .                 | 168 |
| 5.3. Enforcement in Poland against other states . . . . .           | 169 |

|                                                              |     |
|--------------------------------------------------------------|-----|
| <b>Selected Polish publications on arbitration</b> . . . . . | 171 |
|--------------------------------------------------------------|-----|



|                                                                                                            |     |
|------------------------------------------------------------------------------------------------------------|-----|
| <b>Authors of this Book</b> .....                                                                          | 174 |
| <b>Professional Partners</b> .....                                                                         | 175 |
| <br><b>Code of Civil Procedure</b> (excerpt)                                                               |     |
| <b>Part Five. Arbitration</b>                                                                              |     |
| Chapter I. General Provisions .....                                                                        | 179 |
| Chapter II. Arbitration agreement .....                                                                    | 181 |
| Chapter III. Composition of the arbitral tribunal .....                                                    | 182 |
| Chapter IV. Jurisdiction of the arbitral tribunal .....                                                    | 185 |
| Chapter V. Conduct of arbitral proceedings .....                                                           | 186 |
| Chapter VI. Making of Award and Termination of Proceedings .....                                           | 188 |
| Chapter VII. Applications to set aside the award .....                                                     | 190 |
| Chapter VIII. Recognition and enforcement of an arbitral award and a settlement<br>reached before it ..... | 192 |

## **Rules of the Court of Arbitration at the Polish Chamber of Commerce**

### **Part One. Arbitral Proceedings and Mediation**

#### **Chapter I. General Provisions**

|                                                             |     |
|-------------------------------------------------------------|-----|
| § 1. Court of Arbitration .....                             | 196 |
| § 2. Jurisdiction of the Court .....                        | 196 |
| § 3. Scope of Jurisdiction .....                            | 197 |
| § 4. Competence .....                                       | 197 |
| § 5. Place of Arbitration .....                             | 197 |
| § 6. Principles Governing the Proceedings .....             | 198 |
| § 7. Equal Treatment of Parties .....                       | 198 |
| § 8. Governing Substantive Law .....                        | 198 |
| § 9. Language of Arbitration .....                          | 198 |
| § 10. Rule of Due Diligence .....                           | 199 |
| § 11. Service of Letters and Written Notices .....          | 199 |
| § 12. Confidentiality of Proceedings .....                  | 200 |
| § 13. Excluding the Possibility of Raising Objections ..... | 200 |
| § 14. Liability .....                                       | 200 |
| § 15. Substitution for President of the Court .....         | 201 |

#### **Chapter II. Arbitrators and Mediators**

|                                                                |     |
|----------------------------------------------------------------|-----|
| § 16. Qualifications of an Arbitrator and Mediator .....       | 201 |
| § 17. Lists of Arbitrators and Mediators .....                 | 202 |
| § 18. Prohibitions Relating to Arbitrators and Mediators ..... | 202 |
| § 19. Arbitral Tribunal .....                                  | 202 |
| § 20. Parties' Right to Appoint Arbitrators .....              | 203 |
| § 21. Default Appointment .....                                | 203 |
| § 22. Principles for Appointing Arbitrators .....              | 204 |
| § 23. Multiple Parties .....                                   | 204 |
| § 24. Agreement with Arbitrator .....                          | 205 |





|                                                                           |     |
|---------------------------------------------------------------------------|-----|
| § 25. Challenge of arbitrator . . . . .                                   | 205 |
| § 26. Replacement of Arbitrator and Continuation of Proceedings . . . . . | 206 |

### **Chapter III. Proceedings before the Court**

|                                                                             |     |
|-----------------------------------------------------------------------------|-----|
| § 27. Commencing Arbitration . . . . .                                      | 206 |
| § 28. Conservatory and Interim Measures . . . . .                           | 207 |
| § 29. Statement of Claim . . . . .                                          | 207 |
| § 30. Payments and Curing Deficiencies in the Statement of Claim . . . . .  | 208 |
| § 31. Submissions During the Course of Proceedings . . . . .                | 209 |
| § 32. Statement of Defence . . . . .                                        | 209 |
| § 33. Counterclaim and Set-off Claim . . . . .                              | 209 |
| § 34. Admitting Third Party to Participate in Pending Proceedings . . . . . | 210 |
| § 35. Suspension of Proceedings . . . . .                                   | 210 |
| § 36. Hearing . . . . .                                                     | 211 |
| § 37. Evidence . . . . .                                                    | 211 |
| § 38. Record of Oral Hearing . . . . .                                      | 212 |
| § 39. Closure of a Hearing . . . . .                                        | 213 |
| § 40. Discontinuance of Proceedings . . . . .                               | 213 |

### **Chapter IV. Awards and Decisions**

|                                                                |     |
|----------------------------------------------------------------|-----|
| § 41. Court Rulings . . . . .                                  | 213 |
| § 42. Awards . . . . .                                         | 214 |
| § 43. Contents of an Award . . . . .                           | 214 |
| § 44. Partial Award and Preliminary Award . . . . .            | 215 |
| § 45. Form, Signature, Date and Delivery of an Award . . . . . | 215 |
| § 46. Ruling in the Case of a Settlement Agreement . . . . .   | 216 |
| § 47. Correction and Interpretation of an Award . . . . .      | 216 |
| § 48. Supplementing an Award . . . . .                         | 217 |
| § 49. Publication of Awards . . . . .                          | 217 |

### **Chapter V. Mediation**

|                                                                      |     |
|----------------------------------------------------------------------|-----|
| § 50. Commencement of Mediation Proceedings . . . . .                | 217 |
| § 51. Payment of Mediation Fee and Demand the Other Party . . . . .  | 218 |
| § 52. Mediator . . . . .                                             | 218 |
| § 53. Mediation Proceedings . . . . .                                | 218 |
| § 54. Completion of Mediation Proceedings . . . . .                  | 219 |
| § 55. Giving the Settlement Agreement the Form of an Award . . . . . | 219 |

## **Part Two. Resolution of Disputes Involving Infringement of Rights in Result of Registration of the Internet Domain Name "pl"**

### **Chapter I. General Provisions**

|                                                             |     |
|-------------------------------------------------------------|-----|
| § 56. Application of Provisions of these Rules . . . . .    | 220 |
| § 57. Jurisdiction of the Court and Governing Law . . . . . | 220 |
| § 58. Language of Proceedings . . . . .                     | 221 |
| § 59. Delivery . . . . .                                    | 221 |
| § 60. Time Limits . . . . .                                 | 222 |
| § 61. Party Representation . . . . .                        | 222 |



## Chapter II. Arbitrators and Mediators

|                                                           |     |
|-----------------------------------------------------------|-----|
| § 62. List of Arbitrators and Mediators . . . . .         | 222 |
| § 63. Arbitral Tribunal . . . . .                         | 223 |
| § 64. Appointment of Arbitrators by the Parties . . . . . | 223 |
| § 65. Default Appointment . . . . .                       | 224 |
| § 66. Acceptance of Arbitrator's Duties . . . . .         | 224 |
| § 67. Challenge of an Arbitrator . . . . .                | 225 |

## Chapter III. Mediation

|                                                                  |     |
|------------------------------------------------------------------|-----|
| § 68. Commencement of Mediation Proceedings . . . . .            | 225 |
| § 69. Request for Mediation . . . . .                            | 226 |
| § 70. Mediator . . . . .                                         | 226 |
| § 71. Delivery of Documents in the Course of Mediation . . . . . | 226 |
| § 72. Course of Mediation . . . . .                              | 226 |
| § 73. Settlement Agreement in Mediation Proceedings . . . . .    | 227 |

## Chapter IV. Arbitral Proceedings

|                                                    |     |
|----------------------------------------------------|-----|
| § 74. Commencing Arbitration . . . . .             | 227 |
| § 75. Statement of Claim . . . . .                 | 228 |
| § 76. Statement of Defence . . . . .               | 228 |
| § 77. Evidence . . . . .                           | 228 |
| § 78. Witnesses . . . . .                          | 228 |
| § 79. Hearing . . . . .                            | 229 |
| § 80. Completion of Arbitral Proceedings . . . . . | 229 |
| § 81. Issuance of an Award . . . . .               | 229 |
| § 82. Settlement . . . . .                         | 229 |
| § 83. Discontinuance of Proceedings . . . . .      | 230 |

## Chapter V. Implementation of awards and settlements

|                                                                                        |     |
|----------------------------------------------------------------------------------------|-----|
| § 84. Notices Addressed to NASK . . . . .                                              | 230 |
| § 85. Transmitting Awards and Settlement Agreements to NASK<br>for Execution . . . . . | 230 |
| § 86. Effective Date of these Rules . . . . .                                          | 231 |

