

CONTENTS

| | |
|--|------------------|
| <i>List of Maps, Tables, and Figures</i> | <i>page</i> xiii |
| <i>Conventions</i> | xxiv |
| <i>Abbreviations</i> | xxvii |
| <i>Acknowledgments</i> | xxviii |
| | |
| Introduction | I |
| <i>by Michael Loewe, University of Cambridge, and Edward L. Shaughnessy, University of Chicago</i> | |
| The Development of Historical Approaches and the Impact of Archaeology in the Study of Ancient China | 2 |
| The Sources | 7 |
| Literary Sources, 7 • Material Sources, 10 | |
| China's Idealized Past | 11 |
| The Scope of the Present Volume | 13 |
| | |
| Calendar and Chronology | 19 |
| <i>by Edward L. Shaughnessy, University of Chicago</i> | |
| The Environment of Ancient China | 30 |
| <i>by David N. Keightley, University of California, Berkeley</i> | |
| Geography | 30 |
| The Coastline, 30 • The Major Rivers, 31 | |
| Climate and Environment | 33 |
| | |
| I China on the Eve of the Historical Period | 37 |
| <i>by Kwang-chih Chang, Harvard University and Academia Sinica</i> | |
| The Palaeographic Stage of East Asia and Its Settlement by Humans | 37 |

| | |
|---|-----|
| Beginnings of the Agricultural Way of Life | 42 |
| Regional Cultures of the Early Farmers | 47 |
| Xinglongwa, Xinle, and Hongshan Cultures, 48 • | |
| Yangshao Culture (5000–3000 B.C.), 49 • Dawenkou Culture (5000–3000 B.C.), 52 • Majiabang and Hemudu Cultures (5000–3500 B.C.) 52 • Daxi Culture (5000–3000 B.C.) 53 • | |
| Dapenkeng Culture (5000–2500 B.C.) 54 | |
| Formation of a Chinese Interaction Sphere and the Development of Regional Cultures | 54 |
| The “Ten Thousand States” on the Eve of the Historical Period | 59 |
| Mythology, China’s Origins, and the Xia Dynasty | 65 |
| The Cosmogony of the Ancient Periods, 66 • The Heroes, 68 • | |
| The Legendary Kings, 70 | |
| The Question of the Xia Dynasty | 71 |
| 2 Language and Writing | 74 |
| <i>by William G. Boltz, University of Washington</i> | |
| The Chinese Language | 75 |
| Austroasiatic, 81 • Indo-European, 83 • Periodization, 88 • | |
| Typology, 91 • Linguistic Philosophy, 95 • The Sound System of Old Chinese, 100 | |
| The Chinese Script | 106 |
| The Zodiographic Stage, 109 • The Multivalent Stage, 114 • | |
| The Determinative Stage, 118 | |
| 3 Shang Archaeology | 124 |
| <i>by Robert Bagley, Princeton University</i> | |
| The Archaeology of the Shang Dynasty | 126 |
| The Archaeology of Bronze Metallurgy | 136 |
| A Definition of the Bronze Age, 136 • The Development of the Bronze Industry, 139 • The Archaeological Record, 155 | |
| The Early Bronze Age | 158 |
| Erlitou and the Erlitou Culture, 158 • Zhengzhou: Type Site of the Erligang Culture, 165 • Panlongcheng and the Erligang Horizon, 168 • The Emergence of Regional Powers: Xin’gan and the Wucheng Culture, 171 • Sites of the Transition Period in Anhui, Hebei, and Shaanxi, 175 • Anyang ca. 1200 B.C., 180 • The Middle Yangzi Region: Southern Hubei, Northern Hunan, 208 • The Chengdu Plain: Sanxingdui, 212 • Sufutun, 219 • The Northern Zone, 221 • The Wei River Valley, 226 • Archaeology and Traditional History, 229 | |

| | |
|--|-----|
| 4 The Shang: China's First Historical Dynasty by David N. Keightley, University of California, Berkeley | 232 |
| Sources | 233 |
| Traditional Accounts, 233 • Bronze Inscriptions, 235 * | |
| Oracle-Bone Inscriptions, 236 | |
| Chronology | 247 |
| Absolute Dating, 247 • Relative Dating, 249 | |
| Time and the Calendar | 249 |
| Royal Shang Religion | 251 |
| The Upper Pantheon, 252 • The Ancestors, 255 • Treatment of the Dead, 263 | |
| The Dynastic State | 269 |
| The Political and Cultural Landscape, 269 • The Royal Lineage, 270 • Non-Royal Lineages, 272 • Local Officers, Chiefs, and Rulers, 272 • King List and Polity, 273 • Royal Succession and Temple Names, 273 • The Royal Women, 274 • Political Geography, 275 • Agriculture, 277 • Tribute Offerings and Service, 281 • Dependent Labor, 282 • Mobilization and Warfare, 284 • Slave Society, 285 • Personnel Decisions and Incipient Bureaucracy, 286 | |
| Political and Military Developments | 288 |
| The Legacy of Shang | 289 |
| 5 Western Zhou History by Edward L. Shaughnessy, University of Chicago | 292 |
| Sources | 293 |
| <i>Shang shu</i> , 294 • <i>Shi jing</i> , 295 • <i>Zhou Yi</i> , 295 • Later Histories, 296 • Bronze Inscriptions, 296 • The Extent of Writing During the Western Zhou, 297 | |
| Legendary Evidence for Zhou Before the Conquest of Shang | 299 |
| Inscriptional and Archaeological Evidence for Zhou Before the Conquest of Shang | 302 |
| Traditional Accounts, 303 • Shang Oracle-Bone Evidence, 305 • Archaeological Evidence, 305 | |
| The Zhou Conquest of Shang | 307 |
| Campaigns Under King Wen, 307 • The Conquest Campaign, 309 • The Death of King Wu and the Succession Crisis, 310 • Colonization of the East, 311 | |
| The Philosophical Foundation of Zhou Rule | 313 |
| The Role of Heaven in Royal Authority, 314 • The Debate Between Zhou Gong and Shao Gong, 315 • The Retirement of Zhou Gong, 317 | |
| Consolidation of Zhou Rule | 317 |

| | |
|--|-----|
| Appointments to Rule Lands as Seen in Bronze Inscriptions, | 318 |
| • Military Expansion, 320 • King Zhao's Southern Campaign, | 322 |
| The Middle Western Zhou Reforms | 323 |
| War with the Xu Rong, 323 • Reform of the Zhou Military, 325 • | |
| Reform of Court Offices, 326 • Land Reforms, 326 | |
| The Decline of the Zhou Royal House | 328 |
| The Development of the Late Western Zhou Worldview | 331 |
| Changes in Ritual and the Development of Poetry, 332 • Poetic | |
| Evocations and the Origin of the <i>Zhou yi</i> , 338 | |
| The Decline and Fall of Western Zhou | 342 |
| The Legacy of Western Zhou | 351 |
| 6 Western Zhou Archaeology | 352 |
| <i>by Jessica Rawson, Merton College, Oxford</i> | |
| The Material Record | 353 |
| Criteria for Dating, 358 • Inscriptions, 364 • Tombs, Hoards, | |
| and Archaeological Finds as Sources of Information, 368 | |
| Pre-Conquest Shaanxi Province and the Zhou Conquest | 375 |
| Pre-Conquest Shaanxi, 375 • Adjacent Areas, 382 • The | |
| Conquest, 385 | |
| Early Western Zhou | 390 |
| The Zhouyuan, 390 • The Capitals Feng and Hao, 393 • | |
| Small States and Outposts in Other Parts of Shaanxi, 397 • | |
| The States of Northern Central China, 403 | |
| Middle Western Zhou | 414 |
| Sites and Their Bronzes, 414 • Southern Connections, 423 • | |
| Eastern China, 425 • The Han and Huai Rivers, 427 • Bells, 427 | |
| • The Use of Jade, 430 | |
| Late Western Zhou | 433 |
| The Ritual Revolution, 433 • The State of Jin and Other Late | |
| Western Zhou Finds Outside Shaanxi, 440 | |
| Conclusion | 446 |
| 7 The Waning of the Bronze Age: Material Culture and Social | |
| Developments, 770–481 B.C. | 450 |
| <i>by Lothar von Falkenhausen, University of California, Los Angeles</i> | |
| Settlement Sites and Activity Areas | 453 |
| Bronzes: General Stylistic and Technological Trends | 463 |
| Cemeteries and Tombs in Northern and Central Henan | 470 |
| Finds from Jin Cemeteries | 481 |
| Finds from Qin Cemeteries | 486 |
| The Cemeteries at Qufu | 497 |
| Tombs of the High Elite in the Eastern Part of the Zhou Realm | 501 |

| | |
|---|------------|
| The Middle Han River Basin | 510 |
| Early Chu Finds | 514 |
| The Southeastern Regional Cultures | 525 |
| Other Southern Regional Cultures | 539 |
| The Northern and Northeastern Regions | 542 |
| Conclusion | 542 |
| 8 The Spring and Autumn Period | 545 |
| <i>by Cho-yun Hsu, University of Pittsburgh and Academia Sinica</i> | |
| The Fall of the Western Zhou | 545 |
| The Beginning of the Spring and Autumn Period | 547 |
| The Zhou States, 547 • The Non-Zhou Peoples, 548 | |
| The Formation of the <i>Ba</i> System | 551 |
| The Leadership of Zheng, 551 • The Leadership of Qi, 553 • Interstate Meetings, 556 • The Leadership of Jin, 558 • The Emergence of Chu, 560 | |
| A Multistate System | 562 |
| A Balance of Power, 562 • The Rise of Wu and Yue, 563 • The Transformation of the <i>Ba</i> System, 565 | |
| Social Developments | 566 |
| Kinship Structure, 566 • Inclusion of Non-Zhou Elements, 569 | |
| Changes in State Structure | 570 |
| Secondary Feudalization, 570 • State Administration, 572 • Local Administration, 574 | |
| Economic Developments | 575 |
| Land Tenure and Production, 576 • Metallurgy, 578 • Commerce, 580 • The Appearance of Currency, 581 | |
| Intellectual Developments | 583 |
| The <i>Shi</i> , 583 • A Weakening Tradition, 584 • Confucius, 585 | |
| Conclusion | 586 |
| 9 Warring States: Political History | 587 |
| <i>by Mark Edward Lewis, University of Cambridge</i> | |
| Sources | 588 |
| Chronicles, 588 • Works on Political Methods, 589 • Government Documents, 589 • Military Treatises, 590 • Persuasions, 590 • Texts of the Schools, 591 • Ritual Texts, 591 • Geographic Works, 592 • Poetry, 592 • Han Anecdotal Collections, 592 | |
| The States | 593 |
| Yan, 594 • Qi, 595 • Wei, 595 • Zhao, 596 • Hann, 596 • Qin, 596 • Chu, 597 | |

| | |
|--|------------|
| The Ruler-Centered State | 597 |
| Institutions of the Warring States | 603 |
| The Formation of a Multistate World | 616 |
| The Military Arts | 620 |
| Composition, 621 • Armaments, 622 • Size of Armies, 625 • Scale, 628 • Defensive Walls, 629 • The Arts of War, 630 | |
| Alliances and Persuaders | 632 |
| The Decline of Wei, 353–322 B.C., 634 • Qin's Territorial Consolidation, 635 • The Period of Qi, 301–284 B.C., 635 • The Period of Zhao, 284–260 B.C., 638 | |
| Scholars and the State | 641 |
| Human Geography | 645 |
| 10 The Art and Architecture of the Warring States Period | 651 |
| <i>by Wu Hung, University of Chicago</i> | |
| Art and Architecture of the Living | 653 |
| Architecture: Cities and Palaces, 653 • Art: Vessels, Ornaments, Painting, and Sculpture, 675 | |
| Art and Architecture for the Dead | 707 |
| Architecture: Graves and Graveyards, 708 • Art: Grave Furnishings, 727 | |
| 11 The Classical Philosophical Writings | 745 |
| <i>by David Shepherd Nivison, Stanford University</i> | |
| Sources | 745 |
| The Political Context | 747 |
| Basic Concepts | 748 |
| Confucius | 752 |
| As a Writer and Transmitter, 753 • <i>Li</i> , 755 • Consideration (<i>shu</i>), 756 • Rectification of Names, 757 • Attitude to History, 758 | |
| Mozi | 759 |
| Moral Values, 760 • Impartial Caring, 763 | |
| Fourth Century: Yang Zhu, Zi Huazi | 765 |
| <i>Yi</i> ("Honor") and Its Value, 765 • The Cultivation of <i>Sheng</i> , 767 • The Mutual Recognition of Kings: The Jixia Academy, 769 | |
| Mencius | 770 |
| The Acquisition of <i>De</i> , 771 • The Goodness of Human Nature and the Cultivation of Morality, 773 • Nourishment of <i>Qi</i> and Ethical Values, 775 | |
| The Sophists | 779 |
| Hui Shi: The Fallacy of Absolute Terms, 779 • Six Mohist Chapters: Limits and Their Possibility, 780 • Four Types of Argument, 781 • Gongsun Long and the White Horse, 782 | |

| | |
|--|-----|
| Zhuangzi | 783 |
| The Basis of Knowledge: Non-Involvement, 783 • | |
| Detachment, Emotions, and Their Origin, 784 • <i dao<="" i=""> and Its</i> | |
| Comprehension, 788 • Accommodation with Death, 789 | |
| Xunzi | 790 |
| The Mind and Its Capacity, 792 • The Origins of Morality and | |
| the Need for <i>Li</i> , 794 • The Lessons and Values of the Past, 796 | |
| • "Zheng Ming," 797 | |
| Han Feizi, Laozi, Legalism, and Daoism | 799 |
| The Relations of Ruler and Minister, 800 • The <i>Laozi</i> , <i>Zhuangzi</i> , | |
| the <i>Dao</i> and <i>De</i> , 802 • <i>Fa</i> , <i>Shu</i> , and <i>Shi</i> , 806 | |
| The <i>Lü Shi chunqiu</i> and Correlative Thinking | 808 |
| Yin-Yang and the Five Phases, or Powers, 809 • Correlative | |
| Thinking and Science, 810 | |
| 12 Warring States Natural Philosophy and Occult Thought | 813 |
| by Donald Harper, University of Arizona | |
| Definitions and Sources | 815 |
| Warring States Natural Experts and Occultists and Their Texts | 820 |
| Astrology and the Calendar | 831 |
| The Structure of Heaven, 833 • Almanacs and Other | |
| Astro-Calendrical Texts, 843 | |
| Turtle and Milfoil Divination | 852 |
| Yin-Yang and Five Phases | 860 |
| Religion and Magic | 866 |
| Medicine | 874 |
| Conclusion | 883 |
| 13 The Northern Frontier in Pre-Imperial China | 885 |
| by Nicola Di Cosmo, Harvard University | |
| Periodization of the Northern Zone Cultures | 888 |
| The Second Millennium B.C., 889 • Western Zhou to Early | |
| Spring and Autumn (ca. 1000–650 B.C.), 890 • Mid-Spring and | |
| Autumn to Early Warring States (ca. 650–350 B.C.), 890 • Late | |
| Warring States to Qin (ca. 350–209 B.C.), 892 | |
| The Northern Frontier in the Second Millennium B.C. | 893 |
| The Northern Zone Complex: Defining Characteristics, 893 • | |
| Geographic Distribution, 896 • Relationship with the Shang | |
| Civilization, 902 • Relationship with Northern and Central | |
| Asia, 905 • Northern and Western Peoples in the Historical | |
| Records, 907 | |
| Western Zhou to Early Spring and Autumn (ca. 1000–650 B.C.) | 909 |

| | |
|---|------|
| Transition to Pastoral Nomadism, 909 • Evidence of Pastoralism in the Northern Zone, 912 • Distribution of Northern Cultures, 914 • Historical Survey, 919 | |
| Mid-Spring and Autumn Period to the Mid-Warring States (ca. 650–350 B.C.) | 924 |
| Development of Early Nomadic Cultures in Northern China, 924 • Major Archaeological Cultures, 927 • Metal Artifacts Associated with Early Nomadic Sites, 944 • Historical Survey, 947 | |
| Late Warring States to Qin (ca. 350–209 B.C.) | 951 |
| Archaeological Cultures of the Northern Zone During the Late Warring States, 952 • Relations Between the Northern Nomads and Central States, 960 • Chinese Knowledge of the Northern Peoples, 962 • The Rise of the Xiongnu, 964 | |
| 14 The Heritage Left to the Empires | 967 |
| <i>by Michael Loewe, University of Cambridge</i> | |
| The Creation of Empire and Its Hazards | 969 |
| The Lessons of the Past | 971 |
| Religious Rites | 978 |
| The Teachings of the Masters | 982 |
| Huang Lao Thought and <i>Xing Ming</i> , 986 | |
| The Tradition of Kingship | 988 |
| A Sense of Unity | 991 |
| Mythology, 992 • Terminology, 992 • Zones and Hierarchies, 995 • A Cosmic System, 997 • Imperial Claims and Harsh Realities, 998 | |
| Institutional Norms and Administrative Practice | 1002 |
| The Concept and Practice of Law, 1003 • Documentation, 1010 • The Calendar and Registers of the Population and the Land, 1012 • The Offices of State, 1016 • Military Organization, 1020 • Attempts at Economic Control, 1022 | |
| Cities, Palaces, and Royal Shrines and Tombs | 1024 |
| Conclusion | 1031 |
| <i>Bibliography</i> | 1033 |
| <i>Index</i> | 1113 |

INDEX

References to figures, maps, and tables are in bold type. Chinese characters for names and terms are given on their first occurrence in the text of each chapter.

- abdication, of Yao, 991
Academia Sinica, 127, 130
Academicians (*boshi*), 973
Acheulean hand-axe complex, 40–1
acupuncture, 875
administration: jurisdictions, of Shang, 287, and kinship, 17; procedures of, 603; provincial, 574–5; of Qi, 554; structures of, 573, 574–5, 647–9, 655; state, 572–4
Afanasievo culture, 87
affixes, of language, 91
Africa, as origin of human evolution, 42–1
afterlife, 496
agate, 423, 431
Agnean dialect, 83
agrarian reforms of Shang Yang, 613
agriculture: attempts to increase production, 1023; beginnings of, 42–7; encouragement of, 613, 1023; Li Kui's theory of, 605; in Northern zone, 886; regional cultures and, 47–54; seed plants, 43–7; Shang, 277–81; use of bronze tools in, 577–8
Ailao Yi, 992
Alagou, Xinjiang, 941, 944
aliens, concept of, 549–50
alliances of Warring States, 632–45
almanacs, 843–52
Altai region, 925, 926
Altar to the Soil, 665
altruism, 755, 764
Aluchaideng, Inner Mongolia, 945, 953, 954–5, 957
amulets, 430, 443
An Zhimin, 56n.55, 132n.9
Analects (Lun yu), 7, 16, 19, 95–8, 591, 641, 746, 752, 755, 759, 767, 768, 983, 993
ancestors, 256; banquets for, 386; character of worship to, 258, 260; cults of, 649; five-ritual cycle, 245, 250–1; influence of, 375; and sacrifices, 430; Shang, 233, 234–5, 255–62; status of, 368; tablets and temples of, 257–8, 665, 707–8; titles of, 249
Andersson, J. G., 4, 39, 49, 55, 127, 900, 937
animal guardian, of tombs (*zhenmushou*), 507–8, 519, 533, 534, 538, 736, 738, 740
animal husbandry, 280–1, 654, 886, 911
animals: motifs, 68, 152–3, 398; domesticated, 46; jade, 199; mythical, 687–9, 691, 704–5; and northern peoples, 889; sacrificial, 184, 193, 212–13, 258, 280, 281, 938, 940; shapes, 420, 423, 430, 443, 447. *See also* Animal Style; dogs; dragons; horses; human sacrifice
Animal Style, 896, 913, 924, 927, 929, 932, 935, 939, 945, 946, 957, 958
Antennae Style, 933, 939–40, 945, 953
Anyang, 124, 180–208, 404; chariots at, 903; contacts with neighbors, 158, 221; dates

Anyang (*cont.*)

of, 190–1; excavations at, 10–11, 14, 127–31; M18, 196–7; rule by kings of, 230–1; oracle inscriptions, 4, 180–1; and origin of Chinese language, 75; stylistic developments at, 146–7; as source of oracle bones, 127. *See also* Fu Hao

Anyi, 618, 661, 1026, 1027

appointments: to office, 610; recorded in bronze inscriptions, 318–19

archaeo-astronomy, 248

archaeology: beginnings of, 126–36; biases of record of, 11; of bronze metallurgy, 136–58; and history, 14, 229–31; impact of, 2–7; and northern cultures, 927–44, 952–60; and popular religion, 869–71; and regional cultures, 57; and tomb manuscripts, 819; traditional scope of, 124; vogue for pluralism, 58; of Zhou sites, 356–8, 451, 452

Archaeology of Ancient China, The (Chang), 58

archaism, 439, 479

Archer Yi, 67

archery, 384, 397, 927, 960

architecture, 159–60; ceremonial, 459; of cities, 653–65; of Eastern Zhou, 454–5; of palaces, 663–75; at Xiaotun, 184. *See also* *hangtū*; palaces

arcs (*huang*), 431

argument, forms of, 762, 781–2

aristocracy, of north, 911, 959

armaments and armor, 185, 633–4, 624, 625, 728. *See also* bronze weapons; iron weapons

armies, 621, 625–8

arrowheads, 932

art: innovation in, 692; skeletal style, 50; motifs, 16; X-ray style, 50. *See also* decoration

Artisans (Gong), 285

astro-calendrical calculations, 839–41, 843, 844, 848–52, 867

astrologers and astrology, 810, 813, 817, 820, 825, 840, 849, 827–52

astrological circle, 835

astronomy, 23, 248, 251, 810, 831

Australopithecus, 38–9

Austroasiatic languages, 81

authority, of kings and emperors, 551–2, 988

authorship of texts, 745

axes, 47, 197, 220, 223; disk, 163–4; shaft-hole, 197, 222–4; socketed, 895, 899, 919; *yue*, 63. *See also* ge

axial age, 746n.4

Ba, 976, 989

Ba (state), 616, 635

Ba Ning, 607

Ba people, 570

ba system, 551–62, 565–6, 559, 565, 567–8

bawang, use of title, 989

Bagley, Robert W., 108n.70

Bai Di (White Di), 550, 691, 933–4, 937, 947–51, 980

Bai Yue, 563

Baicaopo, 410

Baifu, Beijing, 902

Baihu (White Tiger), 851

Baihu tong (Comprehensive discussions in the White Tiger Hall), 976

Baijiacun, 718

Baijiazhuang, 167

Baijinbao culture, 899–900

Bailizhou, Hubei, 515

balance (*cheng*), 987

bamboo strips, 55, 90–1, 107–8, 299, 590, 726, 830, 847n.81, 869, 1010

"Ban" (poem), 335

Ban Gu, 588, 971, 1000

banners, 741–3

Banpo, Shaanxi, 132, 694, 718, 721

banquets, 523

Bao Bing, 255

Bao Ding, 255

Bao Si, 349

Bao Xi (hero), 69

Bao Yi, 255

Baode, 222n.163, 228n.176

Baoheyu, Hunan, 539n.167

Baoji, 229, 353, 356, 369, 372, 373, 383, 385, 397, 421, 431n.132, 476, 493

Baopuzi, 52, 873

Baoshan, Hubei, 646, 679, 705, 726, 732,

739, 821n.20, 1012; divination record,

854–5, 857, 870

- Baotou, Inner Mongolia, 931–2
 Baoxi, 845
 Baqitun, Shaanxi, 708–9, 718
 barbarians, 346–7, 350, 544, 547, 549
 barter, 581
 Ba-Shu culture, 541
 beads, 411, 412, 422, 423, 431, 434
 beheading, 193, 263, 264
 Bei Di, 932
 Bei Rong, 548, 922, 924
 Beigong, 844
 Beishanding, Jiangsu, 528, 529, 533
 Beiwu, 458
 Beiyao, 406
 Beizhaizi, Shaanxi, 718, 721
 Beizhicun, Henan, 481n.40
 bells, 141, 541; decoration on, 153; in
 Anyang, 209–10; in Erligang culture, 158,
 209; in Fu Hao's tomb, 197; sets of,
 419–20, 427–30, 429, 436, 438, 685–7,
 723; at Sanxingdui, 213; signaling, 533;
 supporter of, 686; in Xin'gan tomb,
 172–3, 175; in Zeng Hou Yi's tomb,
 676n.68; of Zhou, 229. *See also bo, ling,*
nao, yongzhong
 belt hooks, 697
 belt ornaments, 889
bi (ritual jade), 699
 Bian He, 800
Bian nian ji (Chronicle of years), 588
 Bian Que, 830
Bianfa, 1008–9
 Bianjiazhuang, Shaanxi, 493, 495
Bibing tu (Repel weapon chart), 870, 871
 Bin diviners, 24, 303, 306
Binfá (Theory of illness), 1007
 Bing (Shang leader), 271
Bing quan (The use of armed force), 1021
 Binxian, 402
 Biot, Édouard, 2
 bird motifs, 374, 414, 415, 423, 425, 431
 bird-script inscriptions, 534, 536
 birth, compared with merit, 315–7, 968
 Biyong, 980
 Black Pottery culture, 55, 127, 130
 Black, Davidson, 39
 blood oath, 599–600
bo (bell type), 173
 Bo (Shang officers), 272, 284
 Bo Dong bronzes, 414–15, 417
Bo gui, 537n.158
Bo Ju li (bronze vessel), 410, 411
 Bo Ju, 410
 Bo Maofu, 406
 Bo Qi (Lord of Wuan), 632, 637, 639, 641
 Bo Qin, 312
 Bo Yu, 347
 boat pit, 727
 Bodde, Derk, 68
 bodyguards, 621
 Bofu, 546
 books, 973; absence of, 745; burning of,
 645, 808, 975, 1003; emergence of, 587
 Boqin Tai (platform), 670
 bow and arrow, 920
 bow: composite, 207n.140, 208; convex,
 620; peachwood, 873
 "bow-shaped object," 197, 198, 222, 895–6
 Brahmi script, 84n.15
 Braidwood, Robert, 56
 bride giving, 964
 Bronze Age, defined, 136–9
 bronze casting. *See* casting and casting
 technology
 bronze inscriptions, 321, 692, 693; on
 appointments to rule lands, 318–19;
 calligraphic conventions of, 364–5; from
 Chengzhou, 406; earliest, 107; in
 Hougudui tomb, 506; investiture, 325–6,
 326–8, 419; on land tenure, 326–8, 419; of
 legal cases, 418–19; purpose of, 448; from
 Qin, 487; similarity to oracle bone
 inscriptions, 89; as source on Shang, 235;
 standardization in, 417–19; uniformity
 of, 438–9; value of, 297; and vessel
 sequence, 364; in Western Zhou, 15,
 22–3, 296–8; Zhou, 364–8; and Zhou
 conquest, 387
 bronze vessels, 138, 411, 454; animal designs
 on, 60; animal shapes of, 209–10, 211;
 assemblages of, 521, 522; changing shapes
 of, 374–5; composition of tomb
 assemblages of, 174, 176–7, 196–7, 202;
 decoration of, 142, 144–55; in Fu Hao's
 tomb, 196–7; functions of, 163, 202;
 hammered, 217; hoards or deposits of,

bronze vessels (*cont.*)

167, 178, 209; iconographic significance of, 262; inscribed, 182, 196, 219–21, 229; of northern peoples, 893–5, 894, 916, 917; pattern block for, 464, 465–8; replica, 435–6, 442–3, 444, 445; at Sanxingdui, 215, 216, 217; sculptural, 441–2; sequences of, 359–60, 364; shapes of, 154; stylistic trends of, 463–70; types and nomenclature of, xxii–iii, 162, 162n.43, 167–8, 359–60, 364, 490–1, 492; in Warring States period, 676–8; Western Zhou changes in, 331; at Xin'gan, 172–4; in Yangzi region, 134; and Zhou conquest, 385–7

bronze weapons, 359, 383–4, 411, 412; from Erlitou, 161, 163–4; from Northern Complex, 222–5; in Spring and Autumn period, 463–70, 578–9; of Warring States period, 622; from Wei River valley, 179; of Wu and Yue, 533–4; Zhou, 400–1

bronzes: in Northern Zone, 893, 898, 918, 944; pictorial, 700, 702, 703

brush, writing, 108, 405, 1010

buckles, 932, 945, 954

Budonggou, Inner Mongolia, 959

buffalo head ornament, 398, 399

buffalo horns, 410

building codes, 655

building structures, 391, 393. *See also* architecture

Buqi gui (bronze vessel), 347n.136

Bureau of Cultural Relics, 133

bureaucracy, 286–8, 293, 323, 325, 351

burials/burial forms, 158, 160–1, 185–92,

219–20, 268, 498; catacomb tombs, 496n.66, 932, 940; cave-chamber (*dongshi mu*), 527, 719; changes in pattern of, 414, 419–22; of children, 462, 482n.45; of Chu elite, 523–4; cist graves, 719; cliff-cave, 527; construction of, 498; earthen pyramids, 711; flexed mode, 495–6; *guo*, 475, 483–4; funerary rituals of, 707–8, 708n.126; grave platforms (*lingtai*), 715; hierarchy of, 60, 518–19; hollow-brick (*kongxinzhuan mu*), 719; hollow cave, 381; importance of tomb, 652; jar, 489; joint, 505; on layer of rocks (*shichuang*), 528;

mound (*dun*), 425, 503, 506, 526, 527–8, 713, 716; pit deposits, 52, 178–9, 506, 710–12; and religious cult, 642; royal, 184, 709–17, 1028–30; shaft tomb, 168–70; shaft tomb with ramp, 185, 187–92, 219–20, 358, 407; as sources of information, 369–71; in Spring and Autumn period, 470–81; terrace pavilions, 710, 713; tomb passageway (*mudao*), 477, 486, 503, 506, 528; tumuli, 709, 710, 713–16, 1029; vertical pit, 537–8, 539, 718–21, 940; Western Zhou, 358; of Wey state, 407–8; wooden chamber, 220, 482, 486, 721, 925; *xuanguan zang*, 719; Zhou features of, 386–7; of Warring States period, 708–27. *See also* coffins; Fu Hao; mortuary customs

burning of books, 645, 808, 975, 1003
Buzhou Mountain, 68

Cai (state), 509, 547, 554; satellite of Chu, 556

Cai Hou Shen, 517, 523, 524–5

Cai Hou sheng (bronze vessel), 524

Cai Mo, 864

Caishu Du, 310, 311

calculation and arts, 824

calendar, 249–51, 831–2, 1012–15; lunisolar, 846, 1013–14; reform of, 19–20

calligraphy, 245, 364–5

Cambridge History of China, 1, 5, 12

canals, 605, 647

“Canon explanations” (*Jing shuo*), 95–6

“Canons” (*Jing*), 95–6

Cao (state), 485, 547, 560

Cao Dingyun, 265

Cao Shen, 988

capital cities. *See* cities

capitation tax (*fu*), 615

captain (*shi*), 326

carp, 744

casting and casting technology, 141–6, 170, 197n.116, 210, 217, 359, 531–8; “cast-on”

technique, 685; centralized control of, 417; changes, in Western Zhou, 331–2; decline of, 419–22; local, 406; lost-wax process, 15–16, 142, 523, 568, 578, 675, 677, 679n.75; organization of, 365–6;

- piece-mold method, 677; sites, 393; in Spring and Autumn period, 578–80
 catalogue of Han library, 821–2
 cattle, 918
 cavalry, 624, 950, 952, 960
 celestial regions, 831
 cemeteries: for aristocrats, 458–9; of Jin state, 357, 440; at Liulihe, 357; of Lu state, 357; at Qufu, 357, 408–9, 455, 457, 497–501, 660–1; royal, 709–17; of Spring and Autumn period, 470–81; at Tianma-Qucun, 357, 404, 424, 433, 444, 446, 447; types of, 708; in Wu, 528; at Wuyang, 656, 659, 661, 664, 665, 713–16, 718; of Yan state, 357; at Zhangjiapo, 395–7
 Central Asia, contact with, 400, 925–6
 Central Asian Metallurgical Province, 905n.48, 906
 centralization, 542–4
centum languages, 84, 86
 ceramics. *See pottery*
 Cha (Shang leader), 271
 Chancellor (*chengxiang*), 1017–18
 Chancellor of State (*xiangguo*), 1017–18
 Chang Di, 550
 Chang Jiang. *See Yangzi River*
 Chang, K. C., 56, 135n.17, 274
 Chang'an, 356, 393, 394, 821, 970
 Changping, 729, 918; battle of, 629, 640–1
 Changqing, 221
 Changtaiguan, Henan, 698, 726, 734–6, 735
 Changwu, 402
 Changzhi, Shanxi, 681
 Chaodaogou, Hebei, 895, 899
 Chaoyu culture, 380
 Chardin, Teilhard de, 900
 "charges," in oracle bones, 243
 chariot box, 482, 483
 chariots, 131, 191, 384; in Anyang, 158, 197, 202–8, 222; burials of, 181, 203–8, 204, 219, 369, 387, 474, 395–7, 396, 406, 413, 414, 422–3; fittings of, 178, 185, 192, 221, 222n.163, 359, 423; human-drawn (*nian*), 494–5, 495; introduction of, 87; mural of, 707; in northern cultures, 903–5, 960; in Wei, 603; used by Xianyun, 920; Zhou emphasis on, 397. *See also horses*
- Chavannes, Édouard, 2–3
 Chawuhu Pass, 913
 Chen (state), 485, 547, 555
 Chen Mengjia, 305
cheng (bronze vessel), 469n.24
 Cheng of Wang (Wang Cheng), 284
 Cheng Tang, 233, 248
Cheng, 987n.52
 Chengcheng, 403
 Chengdu Plain. *See Sanxingdui*
 Chenggu, Shaanxi, 178–9, 197, 229, 411
 Chengpu, battle of, 543, 560
 Chengzhou, 311, 314, 353, 387, 405–6, 506, 546–7
 Chengziyai, Shandong, 55, 59, 127, 130
 Chenjia Dashan, 742–3
 Cheqiao, Hubei, 870
 Chi Di, 550, 555, 937, 947–51
 Chifeng, Inner Mongolia, 914–15
 Childe, Gordon, 44
 children, burial of, 482n.45
 chime stones, 185, 201n.127, 723
 China: as name, 59, 993–5; unity of, 12
 "Chinese," use of term, 42, 75
 Chinese language: archaic period, 88–9; Austroasiatic influences on, 81–3; classical period, 90–1; determinative stage, 118–23; differences from Tibeto-Burman, 78–80; Indo-European influences on, 83–8; linguistic philosophy, 95–100; loanwords, 76, 80, 81, 82; multivalent stage, 114–18; origins of, 75–80; periodization of, 88–90; pre-classical period, 89; script, 106–9; sound system, 100–6; and Sino-Tibetan language family, 77–80, 79; typology of, 91–5; vowel system, 94; zodiographic stage, 109–13
 Chiqi Tai (platform), 669
 Chisongzi, 882
 Chiyou (hero), 69
 Chong, citadel of, 307
 chronicles, destruction of, 588
 chronology: and absolute dating, 247–8; of cemeteries, 497–501; and relative dating, 249–51; of *Shi ji*, 21; of Spring and Autumn period, 471, 476
 Chu (state), 330, 479, 481, 510–11, 513, 514–25, 538, 539–40, 547, 560–2, 569, 759;

Chu (state) (*cont.*)

alliances, 638; army of, 626; assumption of royalty, 516; and *ba* system, 565–6; burial customs of, 523–5; copper mines, 578; dominance of, 559, 562–3; expansion of, 616–18; political reorganization in, 574; reemergence of, 617; relocates capital, 654–18; rise of, 553, 556; seen as Man state, 549; shamanistic tradition in, 704; sources on, 590; territorial expansion of, 567–8; tombs of, 718, 723, 726; wars of, 600–1; Xiang lineage, 632

Chu ci (Verses of Chu), 67, 592, 644, 704, 841–2

Chu Gong (Ruler of Chu), 516–17

Chu people, 342

Chu Shuzhisun Peng, 520–1

Chu Silk Manuscript, 821n.20, 845–6, 846, 847–8, 854n.99

Chu You Wang, 723

Chu Wang, use of term, 516

Chui (hero), 69

Chujayu, Hebei, 895

Chunhua, 228, 229n.177, 383, 395, 403

Chunqiu (Spring and Autumn annals), 7, 450n.1, 497, 547, 598, 753, 754, 972, 973, 977–8, 985, 993–4, 1014

Chunyu Yi, 875, 878

Chunyu Yue, 974

Chust culture, 913

Cili, 1012

Cimmerians, 920

cinnabar, 160, 161, 167, 205

Cishan culture, 44–6

cities, 1024–7; capital, 654; concentric, 660;

idealized, 665, 666. *See also* architecture

city walls, 157, 160, 168, 183n.96, 212, 358,

457, 458; construction of, 165, 166

civil warfare, of Warring States period, 616

clan-name insignias (*zuming, zuhui*), 113, 114

Classical Chinese, 89, 90–1

climate, 33–6, 277

cloud ladder, 623, 623

coast line, changes in, 30–1

coffins (*guan*), 475, 724–5; boat-shaped wooden, 527, 719; nested (*chongguan*), 195, 472, 483, 489, 503, 519; paintings on, 741, 742; stone-cist, 937; wooden, 937

coins, 581–2, 607, 960, 1023–4; bronze, 581; knife-, 926, 933, 934; spade- (*bu*), 581

collective responsibility, 614–15

commanderries (*jun*), 1019–20

commerce, 580–1

commercialization, 467, 582

compound characters, 119–21

concentration of power, 603–4

concentric cities. *See* cities

Confucianism, emergence of, 583; writings of, 591

Confucius (Kong Fuzi, Kong Qiu), 752–3;

attitude toward history, 758–9; on consideration (*shu*), 755, 756–7; and correlative thinking, 811; and disciples, 750, 641–2, 785; and divination, 826, 852–3; family history, 585–6; and Laozi, 784n.73, 799; on *li*, 755, 761; and Mencius, 771, 772, 777; on morality, 751–2; and rectification of names (*zhengming*), 757–8; references to, 983–4; on Shang dynasty, 233; as *shi*, 583–4; on *Shi jing*, 337–8; as writer and transmitter, 753–4; and Zhuangzi, 786. *See also* *Analects; Chunqiu*

cong (jade shape), 164, 443

cong tubes, 262

conquest sequence of Five Phases, 864

conscription: labor, 280, 282–4; military, 1021

consorts, royal, 258, 261

contract (*quan*), 609

copper and bronze metallurgy, 136–41, 578–80; alloys, 197n.116; archaeology of, 136–58; large-scale, 141–2; scale of, 137–8; small-scale, 139–41; stylistic

development of, 146–55; used for inlay, 679, 681; Zhou innovations in, 450. *See also* casting and casting technology; metalwork

copper mines, 170, 174, 530–1, 539, 578, 944

cord-hook design, 836–9, 837, 838, 841

correlative cosmology, 336, 349, 808–12, 814, 815–16; emergence of, 823–4, 831, 845; in Han thought, 818–19, 860–6; and vessel theory, 877–8. *See also* *yin-yang; Five Phases*

corvée labor, 580

- cosmic board (*shi*), 820, 839, 840, 840–1, 842, 843
 cosmic system, 997–8
 cosmogony, 66–8
 cosmology, 841, 843
 counties. *See xian*
 covenant strips (*mengshu*), 451, 458, 462
 cowry shells, 164, 167, 196, 205, 212, 220, 581
 cremation, 944
 crops, 278–9, 577
 crossbow, 622–3
Cuan Xiang, 607
 cult, Shang, 251–2
 cultural diffusion, in Northern Zone, 930
 cultural diversity, 135–6
Cuo. *See King Cuo of Zhongshan*
 currency, 581–2
 curvilinear style, 679, 681
- Da Dai Li ji* (Dai the Elder's record of ritual), 234
 "Da guo" (hexagram), 338
Da Jia, 250
Da Ke ding (bronze vessel), 328, 440
Da ya (Greater encomia), 295
Da Yi, 250, 254, 258
Da Yu ding (bronze vessel), 320, 321, 440
Dadian, Shandong, 503
 daggers, 222–3, 225; Antennae Style, 933; bronze, 935; characteristic of northern peoples, 889, 893, 894, 898, 944–5; curved-blade, 915; jade, 474–5. *See also ge*
Dahezhuang, 901
Dai (state), 570, 950
Dai Gong, 571
Dai Kanwa jitén, 6–7
Dajing, 915
Daliang, 618, 619, 630
Dan, 869
Dan Zhu, 71
 dance and dancers, 676, 739, 873
Dantu, Jiangsu, 319
Danyang, 425, 426, 514, 515; battle of, 635
Dao, 750–1, 788–9, 802–6, 825–6, 827, 987, 1008
Dao de jing. *See Laozi*
Dao yuan, 987n.52
- Daode*, 986
 Daoism, 591, 882n.174
Daojia (Dao specialists), 822, 985, 988
Daoyin tu, 876, 881
 Dapaozi cemetery, 915–16
 Dapenkeng culture, 54
Dashui (Great Water), 855
Dasikong, Hebei, 268, 895, 902
Dawenkou, Shandong, 33, 52
 Daxi culture, 52, 53
Dayuancun, 393
Dazhao ceremony, 726
dazong, 256n.45
de (virtue), 749–50, 771–3, 802–6, 811–12, 837, 847
 death, conceptualizations of, 496
 decoration: abstract, 675; bird ornament, 365; border, 415; categories of, 415, 467–8, 694; curvilinear, 679, 681; double "f" motif, 530; *gaogu yousi miao* (floating silk threads from antiquity) style, 744; Geometric Style, 932; interlace motifs, 677–8; late Spring and Autumn innovations in, 544; purpose of, 415, 417, 435; regional styles of, 683–4; repetitive designs, 360; snake pattern, 898–9; star-band pattern, 507; thread relief lines, 415; triple bands, 360; zoomorphic designs, 360. *See also Animal Style; Antennae Style; taotie motifs*
 decorative system, bronze, 144–6
 decree (*zhao*), 1004
 deer motif, 947
 deer stones, 896n.14, 925
 definition: linguistic, 95; problems of, 782
 deities, 851
Demiéville, Paul, 3
 demonology, 817
 dependent labor, 282–4
Deshan, 733
 detachment, 784–8
 determinatives, 118–23
Di ("barbarians"), 300, 301
Di (high god), 252–3, 299–300, 847, 980
di (royal title), 637
Di Ku, 253n.38
Di people, 549, 550, 555, 559, 569–70, 887, 921–7, 922, 923, 934, 947–51, 992–3

- diamond and boss pattern, 402
 diffusion, 131–3, 543
ding (bronze vessel type), xxii, 145, 146, 149, 174, 397–8, 398, 403, 415, 435, 438, 439, 441, 470, 489, 692, 693; cast iron, 579; covered, 469, 523, 525
ding (pottery vessel), 59
 Dingtao, 597, 637
 diplomats, 590
 directions, 852, 854
 diversity, cultural, 17, 451
 divination and diviners, 24, 240–1, 242, 272, 817; and bureaucratic routines, 287; content of inscriptions, 287; formulas, 260–1; procedures, 296; religious assumptions of, 245–7; scapulas, 178; terminology of, 339; topics, 237, 240–1, 243. *See also* milfoil divination; turtle divination
 Dog Officers (*Quan*), 272
 dogs (sacrificed or in burials), 160, 162, 166, 167, 170, 178, 184, 189, 192, 196, 219–20, 225, 479, 727, 916, 918, 927
 Dong bronzes, 414–15, 417
Dong gui (bronze vessel), 324
 Dong Hu, 891, 930, 965
 Dong Zhongshu, 611, 973, 983, 988, 1023
 Dong Zuobin, 130, 241, 249, 261n.36
 Dongdoucheng, 732
 Dongfang Shuo, 984
 Dongguo (Eastern Guo), 476
 Dongjiacun hoard, 390–1, 439
 Dongnangou, Hebei, 916, 918
 Dongyueshi, 718
dou (bronze vessel), xxii, 435–6, 438; 469n.24, 489, 681, 682, 682, 683
dou (pottery vessel), 59
 Dou Gouwutu (Zi Wen), 756
 double cities, 660–5
 Doujital, 380
 dragon motif, 149, 152, 414, 431, 432, 433, 465, 468, 479, 493, 506; abstraction of, 494; coiled, 386, 399–400, 677, 688, 688, 689, 691, 700, 864; jade, 199, 200
 dragon-kiln (*longyao*), 530
 drainage system, 675
 drums, 149–51, 151, 209, 685, 723; stone (*shigu*), 451n.2, 1010
du, function of, in Qi, 615–16
 Du Qin, 1000
 Du Yu, 853
 Duan Ganmu, 649
 Duanmu Si, 582, 755
 Dubo, 347
 DuBois, Eugene, 39, 40
dui (bronze vessel), xxii
 Dujiangyan, 635, 647
 Duke of Zhou. *See* Zhou Gong
 Dunhuang, 4
 Duo Ma Qiang, 908
Duo You ding (bronze vessel), 347n.136, 920
 dwellings, 455, 456, 915, 929
 dynastic cycle, 15
 dynastic titles, 232
- E, 330
E jun qi jie, 1016
 earrings, 225
 earthen foundations, 665–8, 672–3
 earthquakes, 348, 349, 546
 eastern capital, in Western Zhou, 312
 eastern origin of Zhou, 303, 305, 306n.35
 Eastern Yi group, 56, 324, 325, 509
 Eastern Zhou: calendar of, 251; as “meat eaters,” 281; palaces of, 669–70. *See also* Spring and Autumn period; Warring States period
 Eberhard, Wolfram, 303n.29
 eclipses, records of, 23, 29, 248
 economic developments, 575–82, 1022–4
 edict (*zhi*), 1004
 Egypt, village plans of, 371
 elephants, 193, 209, 212, 213. *See also* ivory
 elite culture, 450, 453; burials, 501–10
 empire: creation of, 969–71; ideal of, 1000
 Empress Dou, 984, 988
 Empress Lü, 970
 enclosures, walled, 526–7
 environment, 33–6
 epistemology, 783
ercentai (second level ledge), 52, 160, 358, 369, 371, 378, 494, 501; in Fu Hao’s tomb, 195; at Lajiazui, 168, 170; at Pinggu, 177; in Shang burials, 267; at Sufutun, 219; at Xibeigang, 187
 Erkeqian, 929–30

- Erligang, 133, 165–6, 222, 694, 709, 1024; bronze fabrication methods, 142–6; culture, 165–71; horizon, 157, 170, 208; influence of, 170–1, 176, 217, 228; and Panlongcheng bronzes, 134, 168–71; and secondary civilizations, 157–8; stylistic development, 146–55; visual culture of, 157; and Wucheng culture, 174; Zhengzhou type site, 165–8
- Erlitou, Henan, 72–3, 133, 140n.18; architectural tradition, 160; culture, 158–65; influence of, 217; jades, 163–4; and large-scale metallurgy, 141–2; weapons, 163–4
- "Essay on White Horse" (Bai Ma Lun), 782–3, 787
- ethical values, 751, 760, 775–9
- euphemism, 347, 350
- exorcism, 258, 871, 875
- fa* (model), 806–8, 988, 1006, 1008–9
- Fa jing* (Classic of law), 604–6
- "Fa mu" (poem), 336–7
- faience beads, 400–1
- Fairbank, John, 1, 5
- Fajia*, 988, 1008
- Fan (state), 505
- Fan Shu, 919–20
- Fan Sui, 638–41
- fang (recipe), 825
- fangding* (bronze vessel type), 172, 173, 196, 197, 504
- fanglei* (bronze vessel type), 178, 196
- Fangmatan, Gansu, 847–8, 869
- Fangshan, 353, 410
- fangshi* (masters of techniques), 644; (recipe gentleman), 818, 827
- fangyi* (bronze vessel type), 155, 196, 443, 445
- Fanjiayaozi, Inner Mongolia, 932, 936
- Fanshan site, Zhejiang, 60–1
- Feixi site, 175–7
- Fen River valley, 303
- Feng (Zhou capital), 390, 393–7
- feng* and *shan* rites, 3, 645, 650, 980, 981
- Feng shan shu* (Monograph of the *Feng* and *Shan* sacrifices), 644
- Feng you* (bronze vessel), 435
- Feng zun* (bronze vessel), 362
- Fengchu, Shaanxi, 392, 669
- Fenghuangling, Shandong, 502–3
- Fengxi, 394, 395, 396, 422, 433
- Fengxiang, Shaanxi, 356, 402, 493, 718
- Fenshuling, 718
- Ferghana region, 913
- feudalism, 554, 566, 568; disintegration of, 575; secondary, 570–2. *See also ba*
- Feuerwerker, Albert, 132n.8
- fiefs (*feng*), 607–8
- Field Officers (*Tian*), 272
- Fifth Year Qiu Wei ding* (bronze vessel), 327, 328
- figurines, 732–40; grave (*muyong*), 733–4, 736–7
- filial piety (*xiao*), 749
- final elements, of syllables, 103–4
- Fingarette, Herbert, 756n.21
- Fire Master (*Huo shi*), 864
- First Emperor of Qin, 818, 827; alleged bastardy of, 969; and burning of books, 808; inscriptions of, 998; and northern peoples, 844, 952, 964; palace complex of, 673–5; performs rites, 981; and state cult, 644–5; and succession, 990; symbolic acts of, 650; terra cotta army of, 621; tomb of, 717, 1029–30; on unification, 998
- fishing, 929
- Five Monarchs (*Wu di*), 974
- Five Phases, 809–10, 814, 816, 883, 986; conquest sequence of, 864; on cosmic board, 841; and divinatory tradition, 642; emergence of, 823–4, 831, 845; in Han thought, 818, 819, 860–6; production sequence, 865; theory of, 644; and warfare, 632
- Five Processes. *See* Five Phases
- Five Virtues (*wu de*), 865
- five-ritual cycle, 287
- flexed burial, 495–6
- flower-shaped edge, 898–9
- Former Lords, 253–4
- fortifications, 629, 665
- foundries: at Houma, 459, 462–3, 467, 485, 578, 685, 687, 697; location of, 365; subordination of, 359

- Four Lands, 269
 Four Lords, 608, 636, 643
 Four Mountain Peaks, 980
 frontier. *See* Northern Zone
fu (bronze vessel), xxii, 498, 942–3
Fu (diviner), 272
Fu Hao (Mu Xin; Mother Xin), 194, 264, 275; tomb of, 144n.31, 147, 173, 173–4, 194–202, 195, 196, 209, 224, 902
Fu Ji, 275
Fu Jing (Lady Jing), 281
Fu Sinian, 55–6
Fu Xi (hero), 70, 974, 977, 1000
Fu Xi (Lady Xi), 272
Fu zun (bronze vessel), 410
Fufeng, 356, 414–18, 418
 Funan site, 175–7
 funerals. *See* burials
 funeral parks (*lingyuan*), 652, 709–13
 furnace, 579
Fusu, 990
Fuxi, 845

Gan De, 829
Gan Mao, 631
Gansu, 937–8
Gansu-Ningxia region, 900–2
ganzhi system, 105, 249, 274, 291, 835
Gaocheng, Hebei, 579. *See also* Taixicun
gaogu yousi miao (floating silk threads from antiquity) style, 744
Gaojiabao, 386, 415
Gaozhuang, Shaanxi, 718
Gaozi, 771, 775, 776–7, 781, 791
 garment hooks, 692, 697–8, 698
 garrisons, 548–9, 567, 572, 577
 gates (*que*), 653, 672
ge (axe type), 158, 205, 220, 223–4, 400, 411, 622, 898, 947; bronze, 161, 178, 185, 189, 197, 474; jade, 163, 164, 168, 189–91, 201, 213, 217, 443
 genealogies, 21, 973–4
Geng (state), 571
Gengfa, 1008–9
 Gentlemen of the Palace (*langzhong*), 1019
 geography, 30–3; sacred, 649–50; texts on, 592
 ghosts (*gui*), 708n.126
 gift giving, 580, 607
 Gobi region, 925
 gods, distinctions of, 252–5
 gold, 212, 220, 607, 960; on bronze heads, 213; as inlay, 679; ornaments, 166, 177, 222, 225, 384, 927, 945, 947, 954, 955; in Xiongnu tombs, 952
Gombrich, E. H., 678
gong (bronze vessel), xxiii
Gong Duzi, 778
Gong Gong, 68, 846
gong he (Joint harmony), 344–5
Gong He, 346
Gong Ling (Royal Mausoleum), 716
Gong Liu, 300, 333
Gong you, 537n.159
Gongfang, 288, 907–8
Gongsun, 568
Gongsun Chou, 771, 775, 777, 786
Gongsun Long, 782–3, 787
Gongsun Longzi, 780
Gongsun Yang. *See* Shang Yang
Gongzi Wuji (Lord of Xingling), 639
Goumang (spirit of Wood), 864
Goutu, 981
 government: of empire, 1017; operation of, 968; provincial, 998
Graham, A. C., 96, 99, 867n.128, 877n.158, 882n.174
 grammar, 95
Grand One. *See* Taiyi
Granet, Marcel, 3, 873
 grave construction, 160–1; at Panglongcheng, 168; at Zhengzhou, 167. *See also* burials; *ercengtai*; *yaokeng*
 grave goods, 264–6, 498–501, 726, 728–44
 Great Temple, 256n.45, 258
 Great Wall, 629, 951, 965
 "Greater pick" (*Da qu*), 95–6
 grief, 789–90
 group responsibility, 763
gu (bronze vessel type), xxiii, 162, 174, 175, 382
Gu (Shang leader), 237, 271, 288
Gu Gong Danfu, 300–3, 333
Gu Ji, 855
Gu Jiegang, 4, 72, 126–7, 131
Gu shi (Ancient history), 589

- Gu shi bian* (Discriminations on ancient history), 4, 10, 297
guan (pottery vessel), 358, 934
 Guan Jingzhong, 949, 993
 "Guan ju" (poem), 337
 Guan Zhong, 554, 556, 557, 573, 583–4, 604, 745n.2, 758, 980
Guang yun, 100–1
 Guanghan, Sichuan, 404. *See also* Sanxingdui
 Guanshu Xian, 310, 311
 Guanzhong. *See* Qin
Guanzi, 589, 776, 778, 809, 828, 831–2, 877, 976, 1015, 1023; "Neiye" essay, 880–1
 Guard of the Many Horse (Duo Ma Wei), 285
 Guards (*Wei*), 272
Guben Zhushu jinian (ancient Bamboo Annals), 248
gui (bronze vessel type), xxii, 343, 397–8, 398, 403, 415, 425, 435, 438, 439, 441, 469, 470, 489, 504, 523, 532
gui (pottery vessel), 358
gui (wooden vessel), 520
 "Gui mei" (hexagram), 339
 Guifang people, 320, 908, 919
 Guiling, battle of, 628, 634
guiqi (ghost vessels), 708, 728, 730
Guizang, 857
 Gun (hero), 69, 71
 Guo (state), 439, 443, 476–7; and multistate system, 15
Guo feng (Airs of the states), 295, 336
 Guo Gong Changfu, 342, 921
 Guo lineage, 471–8
 Guo Moruo, 253n.38
 Guo of Zhi (Zhi Guo), 284
guo ren (people of the state), 549, 554, 572, 577, 599
Guo yu (Sayings of the states), 70, 819, 820, 821; on fall of Western Zhou, 348; as source on Shang, 234; as source on Spring and Autumn period, 547
Guozi Zi Bo pan (bronze vessel), 347n.136
 Guojiazhuan, 203, 221n.158
 Guoxianyaozi, Inner Mongolia, 932, 934
Guozhong xu gai (bronze vessel), 342
 Gushi people, 944
 Guweicun, Henan, 698, 710
 Hai (hero), 69
 Hall of Spiritual Light (Lingguang dian), 661
 halls of worship, 980
 Hammurabi, code of, 1004
 Han dynasty, xxv, 17, 818, 819, 821–4, 860–6, 889; cities in, 1026–8; consolidation of strength by, 970–1; government structure of, 1017–20; knowledge of past, 971–2
Han Feizi, 7, 589, 624, 802, 804, 805, 814n.1, 841–2, 995, 1021–2
 Han Feizi, 609, 644, 791, 798–802, 805, 843, 907–8, 973, 975, 984, 986
 Han Gaodi, 981
 Han Huidi, 987
 Han River valley, 33, 427, 510–14
Han shu (History of [former] Han), 90, 588–9, 605–6, 821–4, 841, 867, 941, 978, 979, 1001
Han shi wai zhuan (Outer tradition of Master Han [Ying]), 592
 Han Wendi, 844, 987
 Han Wudi, 976
 Han Xiandi, 991
 Han Ying, 592
 Han Yu, 993
 Han Zhaodi, 990
 Handan, Hebei, 661, 665, 668–9, 984, 713, 717, 1027
hangtu (stamped-earth) construction, 127, 358, 391, 409; city walls, 165–6; in Erlitou culture, 159–60; at Xiaotun, 184
 Hangu Pass, 635, 637
 Hann (ministerial house), 574, 575
 Hann (state), xxv, 596, 606, 618, 619, 626, 636, 637, 640, 654, 718, 760. *See also* Shen Buhai
 Hansen, Chad, 783n.70
 Hanshu culture, 927
 Hao (Zhou capital), 390, 393–7
hao ran zhi qi (nourishing vast *qi*), 776
 Hayashi Minao, 501n.76, 509n.96, 512n.104
he (bronze vessel), xxii
 He (diviner), 272

- He Bo (Lord of the River), 982
 Heaven, 795; cycles of, 832; nine palaces (*jiu gong*) of, 840–1, **842**; role of, 314–15; structure of, 833–43; as understood by Xunzi, 795. *See also* Mandate of Heaven
 Heavenly Stems and Earthly Branches (*tian gan di zhi*), 102, 249–50. *See also* *ganzhi* system
 Hedin, Sven, 900
 hedonism, 767
Heguanzi, 9, 591
 Hei Di, 981
 helmets, 624, 626, 896
 hemerology, 825, 832, 847, 848–52
 Hemudu, Zhejiang, 46, 52–3, 53, 68, 178n.82
 Hengshan (sacred mountain), 980
 hereditary offices and titles, 557, 974–5
 hermits, 767–8
 heroes, 68–70
 heroism, in war, 1020
 hexagrams, 245, 295, 338–42, 853, 854, 855, 857–9, 982
 Heyatou, Shandong, 501–2
Hezi Corridor, 937–8
 hierarchy: after death, 290; as seen in tomb contents, 263, 267, 472, 484, 485, 489, 499, 519; of Shang royal family, 271; of society, 799; titles of, 272
 historical precedent, use of, 315–16
 historical record, 55, 971–2
 historiography, 2–7, 350; and idealized past, 11–13; and multidisciplinary approach, 13–14; native traditions of, 8; and Xia dynasty, 71–2
 hoards, of bronze vessels, 371, 373–5, 402, 435
 hollow-brick tombs. *See* burials and burial forms
 Holocene Climatic Optimum, 33
 Holocene, 43
Homo erectus, 39–41
Homo habilis, 39
Homo sapiens, 41–2
 Hong Yao, 315
 Hongshan culture, 48, 135n.17, 202
 horizontal alliances, 629–34
 horses: 192, 193, 203–4, 206–8; burials of, 727; fittings of, 912, 936, 945, 953, 959; gear of, 197, 205; as gifts, 963; on mural, 707; in northern China, 886; pits, 387, 413, 474, 484–5, 494, 498, 502, 528, 727; in Qijia culture, 901; riding of, 912, 916; rising demand for, 950; role of, in nomadic pastoralism, 909–10; sacrifices, 918; used by northern peoples, 933. *See also* chariots
 hostages, 552, 948, 999
 Hou (lords), 271
 Hou (Shang officers), 272
Hou Han shu, 324
 Hou Ji (Lord of Millet), 69, 300, 980
 Hougang, 127, 130, 163n.47, 184, 267, 268
 Hougudui, Henan, 467, 506–10, 715
 Houjiazhuang, 184, 263, 902
 Houma, Shanxi, 457–8, **464**, 467, 481, 485, 670, 685, 687, 694n.92, 697
Houmu hu (bronze vessel), 500
 Houtu (spirit of Soil), 864
 Houzhuang, Gansu, 946–7
hu (bronze vessel type), xxiii, **154**, 441, **442**, 470, **480**, 489, 504, 513, 682, **684**, 684–5
hu (flask), 374
hu belt, 936
Hu gui (bronze vessel), 343, **344**
 Hu people, 951–2, 963
 Hua Xia states, 550, 565
 Hua, 993
 Huai Gong, 602
 Huai people, 549
 Huai River valley, 427, 505–7
Huainanzi, 68, 836, 848, 849, 987, 998
 Huairou, 718
 Huan Gong, 571, 665, 748
 Huan Gong Tai, 663, 665, 1026
 Huan River, 183
 Huan Yuan, 986
 Huang (state), 511
 Huang Di (Yellow Emperor), 69, 70, 857, 865, 974, 977, 984, 1014
Huang Di si jing (Four classics of Huang Di), 987
 Huang Jun Meng, 505
 Huang Lao thought, 591, 986–8, 1007
 Huang Xie (Lord of Chunshen), 639, 643,
 791

- Huang Yin, 254
Huang Zi hu, 466
 Huang'er of Zheng, 341
 Huangchi conference, 564
Huangdi (emperor), as title, 989
Huangdi neijing (Inner classic of Huangdi), 823, 866, 877, 878, 879
 Huangdui, 433
 Huangjiazhai, Qinghai, 918
 Huanglong (Yellow Dragon), 870
 Huangniangniangtai, 901
 Huangpi. *See* Panlongcheng
 Huangzhou, 170n.62
 Huashan (sacred mountain), 980
Huayang guo zhi (Record of Huayang state), 589
 Huayuancun, 393, 395, 431
 Huayuanzhuang, 184
 Huhai, 964n.202, 990
 Hui (hero), 69
 Hui Shi (Huizi), 779–80, 783
 Huixian, Henan, 353, 717, 731
 Huizi, 779–80, 783
 Hulusitai, Inner Mongolia, 936
 human beings, duty of, 788–9
 human body, 877
 human figures, 442, 495, 686–7, 686
 human head designs, 431, 432, 433
 human nature, 773–4, 793
 human sacrifice, 166, 170, 178, 181, 184, 187, 196, 203–4, 213–13, 266–7; abolition of, 602; in Erlitou culture, 159; figurines substituted for, 733–4; in Qin, 494; in Shang, 290; of slaves, 286; in Spring and Autumn period, 458–9, 486, 501, 502, 503, 506, 519; at Sufutun, 219–20; tribute offerings for, 281; at Xibeigang, 189–94
 Hundred Schools philosophers, 16
 hunting, 289, 929
 hunting dogs. *See* dogs
 Hunyuan, Shanxi, 681
 Huoshao gou site, Gansu, 140
 Huoshu Chu, 310, 311
 Hushenhabao Taishan, Hebei, 931
 Hushu culture, 174
 Huyao, Anhui, 507
 Huzhou, 526
 hybrid animals, 688–9
 hybrid forms, 992–3
 hygienic exercises, 830, 876, 879–83
 iatromancy, 855, 857
 icehouse (*lingyin*), 459
 illness, 855–7, 879
 immortality cults, 827, 981
 impartial caring, 763–5, 768, 772
 Imperial Counsellor (*yushi dafu*), 1017
 Imperial Messenger (*yezhe*), 1019
 incantation, 817, 871–3
 industrial organization, 141–2, 278
 infantry, 625
 initial elements, of syllables, 105–6
 inlaid bronzes, 675, 679–85, 680
 Indo-European languages, 83–8
 Inner Asia, knowledge of, 892, 963
 Inoue Satoshi, 274n.88
 inscriptions: bird-script, 534, 536; decline of, 675. *See also* bronze inscriptions; oracle-bone inscriptions
 insignia, 608–9
 Institute of Archaeology, Chinese Academy of Social Sciences, 4, 133
 Institute of History and Philology, Academia Sinica, 4
 intellect. *See* mind
 intellectual developments, 16, 583–6. *See also* philosophical thought
 intendants (*zai*), 326
 interaction sphere, 58–9
 intercalary months, 20, 251
 intermarriage, between Chinese and alien peoples, 569–70
 interstate meetings, 556–8, 560, 562, 564, 569, 619
 intensive farming. *See* agriculture
 invasion from north, 546
 inventory lists (*qiance*), 726
 investiture inscriptions, 325–6
 iron, 177, 400, 940, 959; for armor, 624; industries, 534; introduction of, 16; metallurgy, 891–2, 946–7; meteoritic, 177n.80; objects, 474–5, 494, 542, 578; technology, 913–14, 930, 933, 938, 946–7, 953, 956; tools, 654; use of, in agriculture, 578

- irrigation projects, 279–80, 605, 635, 646, 647, 654
 ivory, 191, 195. *See also* elephants
- jade and jades: animal designs on, 60; *bi*, 63–4; in bronze vessels, 209, 217; *cong*, 63–4; in Erlitou culture, 163–4; in Fu Hao's tomb, 197–202; inscribed, 163n.47, 182n.93, 201; ornamental, 410, 441, 503, 698, 699–700, 700, 701; recut, 109; ritual (*ruiyu*), 61, 63; shapes or types of, 163, 201–2, 213, 217; technology of, 197–201; uses of, 422, 424, 430–3, 432. *See also* *ge*
- Jaspers, Karl, 746n.4
- Java Man, 39–41
- Jettmar, Karl, 926n.122
- Ji (city), 1027
- Ji (state), 408–9
- ji* (technique), 825
- Ji clan, 505
- Ji Zhong, 655–6
- Ji'nan cheng, 660
- jia* (bronze vessel), xxii, 148, 149, 150–2, 153, 174, 175
- jia* (specialists), 822n.22, 823
- jia gu wen*. *See* oracle-bone inscriptions
- Jia Yi, 970, 976, 977, 983, 984, 1001
- Jiagezhuang, 718
- Jiahu site, Henan, 46
- jian* (bronze vessel), xxiii
- "Jian" (hexagram), 340–1
- Jian Jia, 273
- Jianbi-Dagang necropolis, 528–9, 533n.155, 537, 538
- jianchu* (establishment and removal), 848
- jiang* (general), 631–2
- jiang* (river), origin of term, 82
- Jiang clan, 22, 664, 890, 922
- "Jiang Han" (poem), 346
- Jiang culture, 380
- Jiang Shang, 553
- Jiang Yan culture, 306
- Jiang Yuan, Lord of Millet, 299–300, 333
- jiangjun* (military officer), 1018
- Jiantu conference, 560
- jiao* (bronze vessel), xxii
- Jiaoxian, 409
- Jiezi, 986
- Jijiahу, Hubei, 515
- jili* (auspicious rituals), 707
- Jili, 301–2
- Jimо, 1027
- "Jin" (hexagram), 339
- Jin (state), 312, 350, 353, 357, 404–5, 433, 440–6, 457–8, 472, 547, 748, 760; administrative units, 574; and *ba* system, 565–6, 567–8; casting in, 579; cemeteries, 481–6; copper mines, 578; leadership of, 558–60, 562–3; legal code in, 584–5; military strength, 571; and multistate system, 15; partition of, 595; succession dispute, 557; territorial expansion of, 567–8; wars against Di, 948, 949
- Jin Dao Gong, 561–2
- Jin Hui Gong, 573
- Jin Li Gong, 561
- Jin Wen Gong, 553, 558–60, 561, 568, 569, 584, 754, 759–60
- Jin Wen Hou, 747, 759
- Jin Xian Gong, 558–9, 567, 568, 569, 570, 571, 573, 923
- Jinben Zhushu jinian* (modern Bamboo Annals), 248
- Jincun, Henan, 687, 698, 700, 720–1
- Jing fa* (Classical model), 591, 987n.52, 1007
- Jing Gong, 486, 501–2, 506, 670
- Jingdi, 984
- Jingjiazhuang, 494n.60
- jingmai* (conduit vessels), 877
- Jinjiecun, 382–3
- Jinshengchun, Shanxi, 681
- Jinyang, 1026–7
- jiqi* (sacrificial vessels), 707–8, 728, 729, 731
- Jique Palace, Xianyang, 673–5, 674
- Jisun lineage, 598
- Jiu zhang suan shu*, 1015
- Jiujiang, 1027
- Jixia academy, 663–4, 769–70, 776, 790, 806, 824, 880, 983
- Jixian, 225–6, 717
- Jiyang, 409
- journals, Chinese, 5
- Ju (state), 502, 503, 509, 569
- jue*. *See* orders of honor

- jue* (bronze vessel type), xxii, 174, 176, 221, 382, 443
jun (administrative unit), 614, 655
 Jundushan, Beijing, 931
 Junior Servitors (Xiao Chen), 278, 285, 286–7
junzi (gentleman), 583n.113, 752, 995
- Kaiyuan zhanjing* (Divination classic of the Kaiyuan Era), 829
 Kalinowski, Marc, 816n.6, 833n.56, 861n.109
 Kane, Virginia, 209n.144
 Kang Hou, 407
Kang Hou gui (bronze vessel), 387, 407
 Kangshu Feng, 312
Kaogongji (Records for examining the artisans), 457, 665
Kaogu (Archaeology), 5–6
Kaogu xuebao (Archaeological research), 5–6
 Karasuk culture, 223, 888, 894, 896, 905, 906, 911
 Karlgren, Bernard, 3, 100, 103, 331, 360n. 10
 Kayue culture, 901
Ke ding (bronze vessel), 513n.106
 Kemp, Barry, 371
 Keshengzhuang, Shaanxi, 718, 721
 Kexingzhuang, 393
 Khotan, 197n.119
 kilns, 459, 462n.19, 580
 king (*wang*), as title, 602–3, 769
 King Ai of Wei, 21
 King Cheng of Zhou, 294, 311, 312, 313–14, 318, 360, 514, 976, 980
 King Cheng Wang of Chu, 517n.118
 King Cuo of Zhongshan, 689, 692, 710–12, 727, 730–1, 839
 King Dao of Chu, 617
 King De Gong of Qin, 980
 King Di Xin of Shang, 24, 232, 233, 246, 248, 287, 310
 King Di Yi of Shang, 24, 246, 287
 King Ding of Shang, 273
 King Fuchai of Wu, 564, 601, 614
 King Gong of Zhou, 327, 328, 360
 King Goujian of Yue, 564
 King Helü of Wu, 601
 King Helü of Yue, 621
- King Huan of Zhou, 552
 King Hui of Chu, 601, 676n.68, 835
 King Hui of Liang, 21–2, 619, 770
 King Hui of Wei, 21–2, 628, 632
 King Hui of Zhou, 555
 King Huicheng of Qi, 769, 770, 771
 King Huiwen of Qin, 716
 King Huiwen of Zhao, 638
 King Kang of Zhou, 294, 317–20, 360, 409, 976
 King Kaolie of Chu, 1027
 King Li of Zhou, 21, 23, 331, 335, 342–3, 350–1, 546, 920
 King Ling of Chu, 601, 1027
 King Min of Qi, 636, 829–30
 King Mu of Zhou, 293, 322–5, 331–2, 365, 409, 414, 921, 962, 963
 King Ping of Zhou, 349, 350, 546, 551, 552, 558, 747
 King Wei of Qi, 22, 664, 830, 981
 King Wen of Chu, 1027
 King Wen of Zhou, 292, 295–6, 301–2, 307, 309, 315, 333–4, 563, 865, 1006
 King Wu Ding of Shang, 9, 908
 King Wu Geng of Shang, 311
 King Wu of Qin, 716
 King Wu of Zhou, 23, 233, 292, 294, 309–11, 316, 360, 366, 558, 751, 758, 821, 857
 King Wu Yi of Shang, 919
 King Wuling of Zhao, 602, 615, 624, 628, 635, 670, 960–1
 King Xiang of Qi, 950
 King Xiang of Wei, 21, 22, 853, 857
 King Xiang of Zhou, 560
 King Xiao of Zhou, 328, 350–1, 434
 King Xiaocheng of Zhao, 640
 King Xiaowen of Qin, 716
 King Xuan of Qi, 22, 602, 664, 771–3, 981, 1026, 1027
 King Xuan of Zhou, 298–9, 346–8, 546, 551, 920, 921
 King Yi of Zhou, xxv, 328, 350–1, 434, 516
 King Yih of Zhou, xxv, 328, 335, 350–1, 434
 King You of Zhou, 348, 349–50, 551, 747, 922
 King Zhao of Chu, 601
 King Zhao of Qin, 639, 716, 869, 961

- King Zhao of Yan, 638, 664, 981, 1027
 King Zhao of Zhou, 293, 322–3, 360, 365, 409, 414
 King Zhuang of Chu, 567
 King Zhuangxiang of Qin, 716
 King Zu Geng of Shang, 24
 king and kingship: as diviner, 262; claims to title of, 769; as title, 619, 989–90; tradition of, 988–91
 kings: lists of Shang, 25, 273; of Warring States, 28–9; of Western Zhou, 25
 kinship, 17, 60; *zongfa*, 566–9, 570, 571, 585
 Knapp, Camilla, the authors' deep thanks to, *passim*
 knife-coins, 926, 933, 934
 knives, 59, 161, 197, 201, 208, 222–4, 893, 894
 Knoblock, John, 98, 810n.147
 Kokel' cemetery, 945
 Kucheian dialect, 83
 Kui (hero), 69
 Kuiqiu, agreement of, 557
 Kunlun, 907
 kurgans, 943, 944
 labor organization, 543
 laborers (*zhongren*), 280, 282–4, 285
 lacquer, 158–9, 178, 195, 205, 475, 519, 679–85, 705, 706, 732, 744, 820, 833–6, 834; painted, 702, 704, 704
 Lady Long Ying, 505
 Lai people, 549
 lamps, 689, 690
 lance, 620, 622
 land tenure, 326–8, 576–8
 landownership, 582, 600, 611, 646
 Langjiazhuang, 734, 739
 Langye, Shandong, 999
 Lao Ai, 1021
 Lao Dan, 802
 Laomu Tai, 665, 667, 688
 Laoniupo, Shaanxi, 203, 228, 229, 378, 382, 404
Laozi or *(Classic of the way and virtue), 591, 746, 802–6, 838, 881–2, 984, 987
 Laozi, 784n.73, 799, 984
 Laufer, Berthold, 3
 law and legal codes, 323, 584–5, 590, 603, 605–6, 610, 612, 1003–10
 Lchashen, 207
 lead, 213
 Legalist school, 604–5, 763, 797
 legends, 299–302
 Legge, James, 2
 legitimization, political, 230
lei (bronze vessel), xxiii, 209, 217, 399, 399
 Leigong (Thunder Lord), 870
 Leigudun, Hubei, 511, 676, 679, 681, 684–5, 721–3, 722, 730, 741
leiven (spirals), 151
 "Lesser pick" (*Xiao qu*), 85–6
li (bronze vessel), xxii, 174, 435–6, 438; Chu-style, 514; high-necked pocket-footed (*gaoling daizu*), 307; linked-crotch (*liandangli*), 306, 307; shapes of, 418
li (pattern), 987, 988
li (pottery vessel), 358, 379–82, 380
li (propriety, rites), 504, 749, 755, 761, 774, 974–6, 978–82
 Li (state), 307
li (vessel), 898–9
 Li Bing, 635, 647, 1020
 Li Daoyuan, 592
 Li Er, 802
Li fangyi (bronze vessel), 325
Li gui (bronze vessel), 387, 388–9
Li ji (Record of rites), 7, 732–3, 809
 Li Ji, 127
 Li Kui, 589, 604–5, 641, 649
 Li Ling, 815n.3, 847
 Li Mu, 962
 Li Si, 799–800, 808, 969, 974–5, 984, 998, 999, 1000, 1010, 1018–19
 Li Xueqin, 241, 505, 509, 511, 846n.79, 853n.96
li yi (order and right), 774, 794
 Lian Po, 632, 638–9, 640, 765
 Liangzhu culture, 60–5, 62, 65, 135n.17, 138n.12, 148n.36, 178n.81, 202, 443; jades, 163–4
 Lianqi, Anhui, 534
 Lianshan, 857
 Liao River, 48
lieding (bronze vessel), 489, 504
 Lijialou, Henan, 453, 477–9, 480*

- Lijiapan, 959
 Lijiaya, Shaanxi, 718, 721
 Lijiazui, 168–70, 169, 187, 197
 Lin Hu people, 891, 931–2, 956
 Lin Xiangru, 638, 765
 Lin Xin, 273
 Lin Yun, 222, 226, 896n.14
 Lineages of the Princes (*Zi Zu*), 271
 lineages/lineage groups, 269–72, 451, 566, 599–600; cemeteries of, 709; importance of, 748; ministerial, 598; temples of, 717
 linen. *See* textiles
ling (bell type), 161–2, 197, 205, 213
Ling fangyi (bronze vessel), 406
 Lingbao, 18on.87
 Lingnan region, 540–1
 Lingshi, 225–6
 Lingshou, Hebei, 689–92, 1029
 Lingtai, Gansu, 400, 402, 918
 linguistics: differentiation, 79–80; evolution, 75–7; philosophy, 95–100
lingyin (senior official), 1018
 Lintong, Shaanxi, 387, 402, 718
 Linyou, 402
 Linzheyu, Shaanxi, 899
 Linzi, 595, 643, 655, 656, 657, 661, 662–3, 665, 713, 718, 1016, 1025–6
 Lishan mausoleum, 717
 literacy, 182
 literary sources, 7
 literature: destruction of, 1003; extant in Han, 821–4
 Liu An, 970, 1027
 Liu Bang, 969, 990, 1001, 1012
 Liu Chang, 970
 Liu De, 985
liu qi (six vapors), 821, 862
 Liu Qu, 983
 Liu Shi, 983–4
Liu tao (Six quivers), 1021
 Liu Xiang, 592, 642, 798, 971, 1014
 Liu Xin, 248, 821, 971, 1014
liubo game board, 839
 Liuji culture, 381
 Liuji Doujitai type, 306–7
 Liujiadianzi, Shandong, 502, 509
 Liujiaya, 512–13
 Liulige, Henan, 477–9, 682
 Liulihe, 357
 Liulin, 228n.176
liuren shi (six-ren cosmic board), 839, 840
 Liutaizi, Shandong, 414
 Liyu, Shanxi, 468, 481
 loanwords, 76, 80, 81, 82
 Loehr, Max, 132n.9, 146–7, 223–4, 331
 logographs, 112, 117
 Long Tai (Dragon Platform), 668
 Longshan culture, 34–5, 56, 59–65, 65, 202, 232, 658
 Longxian, 402
 lost-wax process, 142, 523, 568, 578, 675, 677, 679n.75
 Loufan people, 934
 Lower Xiajiadian culture, 177, 897–8
 Lu (state), 312, 347, 408–9, 455, 457, 547, 553, 555, 593, 597, 748; cemeteries of, 497–501; invades Ju, 569; and multistate system, 15; taxes in, 573, 577; weakening of, 559, 584
 Lu Ai Gong, 564
 Lü Buwei, 808, 969, 1018
Lu Dong you (b), 418
 Lü Empress, 970
 Lu Jia, 975
 Lü Shang, 604
Lu shi (Great history), 589
Lü shi chunqiu (Mr. Lü's Spring and Autumn [annals]), 591, 648, 745, 819, 962–3, 969; on armaments, 622–3; calendar in, 831–2; and correlative thinking, 808–12; on funerary structures, 717; "Jin shu" essay, 874; on political change, 865; on soldiers, 621, 627; on Taiyi, 870; and Yang Zhu, 767; on Zi Wei, 828
 Lu Wu Gong, 347
 Lu Xi Gong, 584
 Lu Zhuang Gong, 923
 Luanhezhen, Hebei, 729
Lufengpithecus, 38
 Luhun Rong, 548
 Lüliang Mountains, 303
Lun Yu. See Analects
 lunar eclipses, 23, 29, 248
 Luo (state), 515, 922
 Luo Bi, 589

- Luo Zhenyu, 126–7
 Luoshan, 220
 Luoyang, 353, 440, 455, 471, 656, **656**, 665, 697, 717, 720–1, 747
 Lutaishan, 427
- Ma Qiang, 908
 MacNeish, Richard S., 46
 macrobiotic hygiene, 830, 876, 879–83
 magic, 814, 816–17, 826, 839
mai (vessels), 875, 876–8
Mai zun, 318–19
Maifa (Theory of the pulse), 1007
 Mailla, Joseph de, 2
Maishu (Vessel book), 876, 880
 maize, 43
 Majiabang culture, 52–3
 Majiazhuan, Shaanxi, 459, **460**–1
 Major, John, 850n.87
 Malayo-Polynesian, 54
 Maling, battle of, 627, 634, 769
 Mallory, J. P., 87
 Man people, 549, 992–3
 Man Yi people, 992
 Mandate of Heaven, 230–1, 292, 314, 332, 550, 566, 778
 Mangzhang, Henan, 382
 manuscripts. *See* Mawangdui manuscripts
 Many Archers (*Duo She*), 283, 285, 286
 Many Artisans (*Duo Gong*), 286
 Many Dog officers (*Duo Quan*), 280, 286
 Many Horse officers (*Duo Ma*), 280, 283, 285, 286
 Many Jiang officers (*Duo Qiang*), 279
 Many Princely Lineages, 275
 Many Yin officers (*Duo Yin*), 279
 Maodun, 965
 Maoqinggou, Inner Mongolia, 933, 934–6, 953
 Map Chamber, 326n.85
 maps, 1012, **1013**
 marble, 195
 Marxism and archaeology, 132
 Mashan, Hubei, 699, 742
 Maspero, Henri, 3
 Matsumaru Michio, 260n.35
 mausoleums. *See* burials and burial forms
 Mawangdui manuscripts, 810, 826, 830, 851–3, 857, 858–9, 866, 868, 872–5, 879, 972, 984, 1007
 Mawangdui silk painting, 116, 870
 Mawangzhen, 393
 Mazhuan, 732
 measurement, units of, 610
 medicine, 825, 827, 830
 Mei Tsu-lin, 81, 82–3
 Meixian, 402, 440
 Mencius, 16, 770–1, 976; and Confucius, 753, 771, 772, 777; and correlative thinking, 811; on detachment, 785–6; and ethical values, 775–9; on goodness of human nature, 773–5; on punishment, 1007; on *qi* (energy), 775–9; and science, 810; on virtue (*de*), 771–3; and Yang Zhu, 768
 Mencius, 591; on astrologers, 827; contents of, 771; on kingship, 989; on Kuiqiu agreement, 557; language of, 90; read by Zhuangzi, 784; on well-field system, 576, 605, 648
Meng gui (bronze vessel), 418
 Meng Ji, 505
 Meng lineage, 632
 Meng Tian, 964, 965, 973, 975, 1021
 Meng Wu, 1021
 Meng Yi, 973
 Mengjin, 307, 309, 311
 merchants, status of, 582
 merit, compared with birth, 315, 317, 968
 metal inlay, 468, **469**, 523
 metallurgy, of Northern Zone, 905n.48, 906, 911. *See also* copper and bronze metallurgy
 metalwork: hammered, 140, 141, 217n.153. *See also* axes; bells; bronze vessels; casting and casting technology; daggers; knives; mirrors
 Mi clan, 515
 Miaodigou site, Henan, 56
 Miaopu Beidi, 183
 Miaoqian, Anhui, **532**
 Middle Chinese, 77, 101, 103, 104
 migratory movements, 926
 milfoil divination, 820, 832, 852–60
 military: command of, 968; equipment of, 622–4; instability of, 417–19; merit ranks, 630; officers, 590, 630–2, 1018;

- organization, 474, 648, 1020–2; reforms, 615; service, 611, 614, 630; treatises on, 590
- millet, 43, 44, 44–6, 278–9, 577, 963; *Panicum miliaceum*, 45, 50, 278; *Setaria italica*, 45, 50, 278
- mind, capacity of, 792–4
- mineral resources, 530–1, 539
- mines and mining, 623, 915. *See also* copper mines
- ming* (description), 987
- Ming Shi Qing zun* (bronze vessel), 387
- Ming tang, 980
- mingqi* (spirit vessels), 445, 474–5, 476, 482, 489, 493, 498, 504, 727–32; ceramic, 499, 515; development of, 652
- ministerial houses, 574–5
- ministerial lineages, 598
- ministers, rulers' trust in, 801
- mirrors, 140, 197, 198, 222, 494, 692–7, 695, 696, 839, 895
- Miu Ji, 869
- Mo Di, 983
- mobilization, 284–5
- modes of argument. *See* argument
- Mohists, 95–6, 98–9, 641–2, 780–1
- moldmaking, 142
- money lending, 608
- money, 607, 960. *See also* coins; currency
- moral values, 750, 751–2, 760–3, 773–5, 974–6
- Morohashi Tetsuji, 6
- morphology, 91
- mortuary cult, 194
- mortuary customs: followers-in-death, 266–7; and hierarchy of dead, 267–8; of Shang, 263–8; use of bronze buttons, 929, 931; of Warring States period, 707–44. *See also* burials; grave goods; human sacrifice; *mingqi*
- Mother Xin (Mu Xin). *See* Fu Hao
- mounded tombs, 425, 503, 506, 526, 527–8, 713, 716
- Mount Song, 253
- Mount Tai, 3, 980
- Mountain Power, 253
- mountains, sacred, 980
- mouth organs (*xiao*), 723
- Moutuo, Sichuan, 542
- Mozi, 16, 759–60, 808; and correlative thinking, 811; on ethical values, 776–7; on impartial caring, 763–5; moral values, 760–3; on *yi*, 765–6
- Mozi*, 7, 99, 761–3, 776–7; content of, 761, 780; denunciations of Ru, 642; on Five Phases, 814n.1; military chapters, 623–4, 627
- Mu tianzi zhuan* (Biography of the Son of Heaven of Mu), 323n.73, 921, 962, 963, 964
- Mu Xin. *See* Fu Hao
- multidisciplinary approach, 13
- multistate system, 562–6
- murals, 705, 707, 707
- music and musical instruments, 428, 430, 436, 676, 685–7, 723, 728, 739, 820–1. *See also* bells
- musicians, figurines of, 739–40
- mutual responsibility, units of, 614–15
- Muye, battle of, 233, 248n.28, 292, 309, 330
- muyong* (grave figurines), 733
- Muzidun, 425
- mythical vs. ideal, 12–13
- mythology, 65–71, 973–4, 979, 992
- Nalin'gaotu, Shaanxi, 959
- Nangong Kuo, 315, 320
- Nanshan'gen, 904, 916, 918, 933
- Nanwan Reservoir, 427
- Nanzhuangtou site, Xushui, 45, 46
- Nanzihui, Shaanxi, 486
- nao* (bell type), 173, 197, 209–10, 210, 213, 429
- Nao, 253–4
- natural disasters, 348, 349, 546
- natural philosophy, 815–17, 825–8, 884; literature of, 821–3, 847–8, 851–2; and milfoil diviners, 854; and *yin-yang*, 866
- nature, investigation of, 813–15
- Nature Powers, 253–4
- Near East, 183, 206, 208; writing in, 109n.73
- Needham, Joseph, 831
- Neolithic hypothesis, 55–6
- Neolithic period, 31, 136, 202; begins in China, 49; defined, 47
- Neolithic Revolution, 44

- nested coffins, 195, 472, 483, 489, 503, 519
 Nianzipo, 380
niao zun (bronze vessel), xxiii
 Ningxia, 938–40
 Ningxiang, 209
 Niucun, 457n.12
 Niujiaopu, Shanxi, 733
niuzhong (bell type), 506
 Nivison, David S., 23n.12, 248n.28
 nomadism: distinctive elements of, 952–3;
 early cultures of, 890; economy of, 886,
 911, 918, 924; mounted, 891, 920;
 pastoral, 909–14
 non-involvement, 783–4
 Norman, Jerry, 78, 81, 82–3, 104
 Northern Complex, 222–6
 Northern Zone, 158, 197, 221–6, 228;
 archaeological cultures of, 927–44,
 952–60; archaeological sites, 897, 915,
 928, 942, 954; artifacts of, 944–7;
 Chinese knowledge of, 962–4; defining
 characteristics of, 893–6; distribution of
 northern cultures, 914–19; geographic
 distribution, 896–902; in historical
 records, 907–9; historical survey of,
 919–24, 947–51; and North and
 Central Asia, 905–6; northern nomadic
 cultures of, 924–7; pastoral nomadism,
 909–14; periodization of, 888–93;
 relations with central states, 960–2; rise
 of Xiongnu in, 964–6; in second
 millennium B.C., 893–909; and Shang
 civilization, 902–9; in Spring and
 Autumn period, 924–51; in Warring
 States period, 951–66; in Western Zhou,
 909–24. *See also* Ordos
 Nü Wa, 67, 68, 69, 846n.79
 nuclear area hypothesis, 56–7
 numerology, 843, 850
 oases, 909, 910
 oaths, 1010, 1011, 1012
 occult and occultists, 814, 816–18, 825–8,
 884; and cord-hook design, 839;
 flowering of, 847–8; literature of, 821–3,
 851–2; and milfoil diviners, 854; and *yin-*
 yang, 866
 officers. *See* military
 officials, 326, 1017–20; changing
 nomenclature of, 602–3; dependent,
 603–4, 606; hierarchy of titles, 612–13;
 high officers (*dafu*), 566; ministers (*qing*),
 566; payment of, 606; procedures for
 appointment of, 610–11; scholars as, 644;
 Shang, 272–3; sheriff (*shou*), 575; *shi*, 566;
 steward (*zai*), 575
 Okamura Hidenori, 537–8
 Old Chinese, 77, 94–5, 100–6, 104, 105
 omen-verse (*yao*), 341
 "On the Way of Being an Official," 610
 onager, 909
 oracle bone inscriptions, 184, 238–9;
 advances in study of, 14; at Anyang,
 180–1; authenticity of, 8; and calligraphy,
 245; on childbirth, 244; and Chinese
 written language, 75, 88–9; diviners, 237,
 240–1, 243, 240–1, 245; divination
 procedures, 238; divination record, 242;
 and evidence for Zhou, 305; influence on
 historiography, 4; importance of, 126;
 king's ability to read, 247; late, 246; and
 lineage groups, 269–70; method of
 inscribing, 236; origin of, 106–7
 oracle bones: and northern peoples, 899,
 907; plastrons, 236, 238, 245n.21;
 scapulas, 236, 242, 281, 287; stored at
 Xiaotun, 252; turtle shells, 236, 281, 287,
 297n.12, 341; uninscribed, 159, 178; in
 Zhouyuan, 393
 orders of honor (*jue*), 612, 1022
 Ordos complex, Inner Mongolia, 891, 898,
 918, 931, 944–5, 952–60. *See also*
 Northern Zone
 orientation, of tombs, 496
 ornamentation bands, 465
 ornaments, 698–9, 932, 954–5
 outer enclosures (*guo*), 458
 overlordship (*ba*), 977. *See also* *ba* system
 overpopulation, 911
 overseers (*sefu*), 614
 oxen, 578
 Pace of Yu (*Yu bu*), 873
 painting, 740–4; *mo gu* (boneless)
 technique, 707
 palace cities (*gongcheng*), 660, 662

- palace structures, 326n.85, 458, **462**, 665–75, 1027–8
 palatalization, 86, 104
 palynological studies, 33, 43
 Pamir region, 913, 944
pan (bronze vessel), xxiii, 470, 489, 532–3, **678**
 Pan Geng, 232, 233, 236, 273
 Pan Gu, 66–7
 Pang Juan, 631
 Panhu, 992
 Panlongcheng, 134, 150, 168–71, 224, 404
 paradox, 805
Paranthropus, 38–9
 paronomastic use of graphs, 115–20, **115**
 past: as golden age, 976; idea of, 11–13, 975;
 knowledge of, 971; wisdom of, 796–7
 pastoral economy, 886, 912
 Pastors (*Mu*), 272
 patriarchy, 557, 566
 pattern-block technique, 465–8, 516
 pavilions, 710, 713
 Pazyryk, 926, 941
 peachwood bows, 873
 peasantry, 343, 576, 612, 647
 Peiligang culture, 34, 44–6
 Peking Man (*Sinanthropus pekinensis*), 4,
 39–41
 Pelliot, Paul, 3
 Pengbao, 940
 Pengtoushan site, 46
 Pengxian, 219n.154, 229n.179, 399
 “people of the field.” *See ye ren*
 “people of the state.” *See guo ren*
 periodization: of language, 88–9; for
 Northern Zone, 888
 petroglyphs, 904
 pharmacology, 43
 philosophical thought, 748–52, 982–6
 phoenix, 691, 744
 phonetic compounds, 120
 phoneticization, 109–10
 phonology, historical, 103–4
 phonophorics, 120–1
 Physician He, 821, 862
 physicians (*yi*), 820, 874, 875
 pictographs, 109–14, **111**
 pictorial art, 700, 702–7, 740–4
 Piggott, Stuart, 87
 pigs, 918
 pillar gates. *See gates*
 Pingdingshan, Henan, 407, 427
 Pinggu, 177
 Pingliangtai, 282
 Pingqiao, Henan, 505, 508
 Pingshan, Hebei, 689–92, 726–7, 950
 Pingwang City, 457
 Pingyang culture, 493, 927
Pithecanthropus erectus, 39
 Plain of Zhou, 197n.12, 350n.145. *See also*
 Zhouchuan
 plant motifs, 152
 plaques, 422, 423, 433, 434, 889, **935**, 945,
 954
 platform (*tai*), 653, 665–6, 668–70, **671**;
 stamped earth, 454
 plow, 578
 poetry, 15, 295, 309–10, 332–8, 592; imagery
 of, 336, 338; epic, 919–20; omen-verse
 (*yao*), 341; *xing* (evocation) form of,
 336–7, 338–42
 political fragmentation, 451
 political geography, 275–7
 political religion, 644
 political roles, 587, 589, 590, 603–4
 political structure, 570–5
 polyphony, 116–17
 Poo, Mu-chou, 867n.131
 Pope, Geoffrey G., 41–2
 population registers, 611, 615, 630, 645–6,
 648, 1016
 porcelain, 171–2, 529–30
 Postglacial Climatic Optimum, 34
 pot burials, 462
 Potang, Zhejiang, 454, **456**, 533
 pottery: of Baijinba culture, 900;
 bisexualism in, 50; black, 731–2; bronze
 copies of, 421; of Cishan and Peiligang
 cultures, 45; container vessels, 475;
 cooking vessels (*li*), 475; cord marking,
 53; and cultural classification, 47–8;
 engraved designs on, 53; *fendang li*, 379;
 firing of, 731; glazed, 171–2, 217, 530, 580;
 high-fired, 406; imitations of ritual
 vessels, 489, 493; inscriptions on, 65, 108;
- liandang li
, 379; in Lower Yangzi region,

- pottery: (*cont.*)
 529–30; low-fired earthenware, 530;
 painted, 127, 130, 132, 493; painted spirit
 vessels (*mingqi*), 729–32, 730, 731;
 primitive, 171–2; rocker-stamped, 48;
 sequences of, 356; typology of, 358,
 379–82; and shamanism, 53; spherewide
 distribution of styles, 58–9; stoneware,
 530; unglazed, 530; workshops, 393; of
 Yangshao culture, 50
- pou* (bronze vessel type), 179
- pounded earth. *See* *hangtu*
- power struggles, in Spring and Autumn
 period, 570–2
- powers, divine, 252, 253–5
- precious metals, in Ordos, 953
- primates, 38–9
- primogeniture, 566
- Prince Hua (Zi Hua), 270
- Prince Jie (Zi Jie), 275
- Prince Yu (Zi Yu), 270
- private landownership. *See* landownership
- processions, royal, 650
- production sequence of Five Phases, 865
- professional class. *See* *shi*
- proto-porcelain, 526, 530n.144
- Proto-Zhou Culture (*Xian Zhou wenhua*),
 305–7
- provincial government, 998
- provisioners (*shanfu*), 326
- Psarras, Sophia-Karin, 542n.173
- Puducun, 393, 397n.60
- Pugu, state of, 312
- Pulleyblank, E. G., 81, 83, 87, 92–4,
 100n.56, 102–6, 921n.101
- punishments, 612, 1004–7, 1009, 1022
- Puyuan, Henan, 67
- pyromancy, 281
- qi* (energy; vapor), 775–9, 814, 860–2, 877,
 878, 880, 881
- Qi (Hou Ji, Lord of Millet), 300
- Qi (son of Yu), 71
- Qi (state), 307, 312, 347, 408–9, 486n.31,
 547, 553–6, 560; administrative units of,
 615–16; army of, 626, 628; attacked by
 Rong people, 922; and *ba* system, 565–6;
 chronology, 21–2; dominance of, 559, 561,
 562–3; expansion of, 616; fiefdoms
 in, 607–8; gathers scholars, 643;
 mobilization by, 573; opposes Qin,
 632; palace, 663–4, 670; served by
 Su Qin, 633; territorial expansion of,
 567–8, 598–9, 602, 636; Tian lineage,
 598–9
- Qi Hou, 949
- Qi Huan Gong, 553–7, 567, 568, 571, 573,
 665, 754, 758–9, 980
- Qi Jing Gong, 663
- “Qi Yue” (poem), 576
- Qi Xiao Gong, 558
- Qian Mu, 303, 305
- Qian Xuantong, 72
- Qianfu lun*, 571
- Qiang Jia, 256n.46, 273
- Qiang lineage, 273
- Qiang people, 887, 895, 908
- Qiangjia, 433, 434, 436–7
- Qiangjiacun, 440
- Qianxian, 402
- Qianyang, 402
- Qiao (Shang leader), 271, 284
- Qicun, 718
- Qie yun*, 100
- Qijia culture, 139–41, 197, 414, 900, 918
- Qin (Shang leader), 271, 288
- Qin (state), 16, 350, 459, 547, 569, 597;
 administrative units, 574; army of, 625–6,
 628, 632; and *ba* system, 565–6; bronze
 typology, 490–1, 492; cemeteries from,
 486–97, 709–12, 717; and Chu, 601;
 chronicles of, 588; defensive walls of,
 629–30; dominance of, 632–4; fiefdoms
 in, 608; legal code of, 590, 606, 610, 612;
 northern peoples during, 892–3; palace
 complex, 673–5, 706, 707; proclaims first
 empire, 969; relocates capital, 654; self-
 image of, 17; and Shang, 486; Shang
 Yang's reforms in, 611–15; state cult,
 644–5, 650; strength of, 561, 562–3, 573;
 succession dispute, 559; terra cotta army,
 621, 624; territorial consolidation and
 expansion of, 567–8, 616–17, 635, 636,
 639–40; tombs of, 718; water as sign of
 power of, 818. *See also* First Emperor;
 unity

- Qin Empire, collapse of, 969
Qin Gong yongzhong, 488
 Qin Huiwen Hou, 603
 Qin Mu Gong, 567, 670, 974
 Qin Shihuang. *See* First Emperor
 Qin Xiao Gong, 974, 1009
 Qing Di, 980
Qingdao zhifu (Favor-granting prayers), 867
 Qingjian, Shaanxi, 225n.170, 228
 Qingslong (Azure Dragon), 851, 870
 Qingslongshan, 528, 529
 Qinweijia, 901
 Qishan, 228, 301, 302, 376, 378
Qiu Wei ding (bronze vessel), 418–19, 439
 Qiu Wei, 327
 Qiu Xigui, 241
 Quan Rong people, 323–4, 350, 390, 545,
 548, 922, 923–4
quan sheng (keeping life complete), 766
 Qucun, 472, 477
 Que (diviner), 272
 Queen Mother of the West (*Xiwangmu*),
 323
 Qufu, Shandong, 357, 408–9, 455, 457,
 497–501, 660–1
 quietism, 804–5
 Qunbake, 913
 Qusou, 907
 Quwo, 481–2, 558, 571
- rain-making dances, 457
 Ran Geng, 578
 Ranyou, 575
 Rao Zongyi, 847n.80
 rationing, 580
 Rawson, Jessica, 332
 recipe gentlemen, 818, 827
 reclamation of land, 550
 record keeping, in Shang, 287, 299
 Rectification of Names (*zheng ming*), 95,
 96–8
 regional cultures, 49, 57, 486; and Chinese
 interaction sphere, 54–9; Dapenkeng, 54;
 Dawenkou, 52; Daxi, 53; Hemudu, 52–3;
 Hongshan, 48; Majiabang, 52–3; northern
 and northeastern, 542; southeastern,
 525–39; southern, 539–42; Xinglongwa,
 48; Xinle, 48; Yangshao, 49–50, 52
- regional variety of ceramic vessels, 379–82
 registers, of grave goods. *See* inventory lists
 registration. *See* population registers
 reign dates, 25–9
 religion: and ancestors, 255–62; importance
 of king to, 262; popular, 16; of Shang,
 251–69; and treatment of dead, 263–8;
 upper pantheon, 252–5
ren (benevolence), 751, 774, 777
ren (men), 282–3
ren yi (morality), 751, 773, 778
 Renfang, 248, 289, 908
Rengui jingwu liuchu bianguai (Human and
 demonic spectral entities), 867
renqi (vessels for the living), 728
rensheng (human offerings), 734
 Renshi, 844
renxun (companions in death), 734
 replica bronzes, 442–3
 resurrection, after death, 869
 revealed law, 1003
 rice (*Oryza sativa*), 43, 44, 46–7
 rime groups, 101–2, 105
Rishu (Day book), 843
 rites. *See* *li*
 ritual objects (*liqi*), 675, 676, 692
 Ritual Revolution/Reform, 15, 332–8, 360,
 421, 433–40, 469–70, 523, 532, 543–4
 ritual texts, 591–2
 ritual vessels, 359–60, 364, 382–4; new styles
 of, 414; sets of, 369, 371, 386; Shang,
 influence on Zhou, 359–60; uniformity
 of, 419; and Zhou conquest, 385–7
 rituals: attitudes toward, 584–5; auspicious
 and inauspicious, 707; *bin*, 260; changes
 during Zhou, 332–8; cycles of, 250–1;
 hosting, 261; importance of, 369; and
 war, 64; *xiang*, 260
 River Power, 233, 253, 254n.41
 rivers, 31–3
 road system, 581, 582, 647
 Rong Man, 921
 Rong people, 300, 301, 549, 555, 558, 559,
 562, 569–70, 596, 616, 887, 921–4, 992–3
 Rong Yi Gong, 342
rongqi (weaponry), 729
 roof tiles, 391, 393, 454
 royal authority, 487

- Royal Lineage (Wang Zu), 270–1
 royal progresses, 650
 royal virtue. *See de*
 Ruibo Liangfu, 342
 Ruichang, Jiangxi, 174–5
 Rujia (Ru specialists), 642, 822, 853, 983, 985, 1008
 Rujiazhuang, 419–22, 421, 429, 431, 433, 434, 454
 ruler, responsibilities of, 768, 800–2, 812
 Rushou (spirit of Metal), 864
 sacred mountains, rites to, 980
 sacrifice. *See animals; dogs; horses; human sacrifice; Sanxingdui*
 sage rulers, 68
 Saka culture, 891, 925, 941–4
 salaries, 607–8
 salvage archaeology, 133–4
San huang (Three August Ones), 55, 70, 72
 San Yisheng, 315
 Sanjiaocheng, 938
 Sanjiaoping, Shanxi, 535
 Sanjiazhuang, 183
 Sanjiazi cemetery, 929
 Sanmenxia, Henan, 439, 552, 708
 Sanxingdui, Sichuan, 11, 135, 201, 212–19, 214, 215, 230, 421, 541
satem languages, 84, 86
 Sauer, Carl, 431, 54
 scapulimancy, 55, 130
 Schmandt-Besserat, Denise, 109n.73
 scholars and scholarship, in state, 641–5
 schools of thought, 641, 982–6
 science, use of term, 815
 scribes (*shi*), 326, 327, 333, 405
 script, 106–9
 sculpture and ornaments, 685–700
 Scythians, 886, 890–1, 914, 920, 924, 936–7, 941, 944, 947
 Scytho-Siberians, 891
 seals, 609
 Second Emperor of Qin, 975, 984, 990
 Seidel, Anna, 607
 Seima-Turbino complex, 901
 Sekino Takeshi, 662
 self-cultivation, 750, 756–7
 semisubterranean dwellings, 455, 915, 929
 settlement patterns, 35
 sexagenary cycle, 19, 836, 838
 Shaanxi, 375–82, 397–403, 439, 446–7
 Shaanxian, 717
 Shajing culture, 891, 900, 937–8, 947
 Shajingcun, 937–8
 shaman (*wu*) cults, 866
 shamans and shamanism, 50, 51, 52, 53, 63–4, 70–1, 262, 704, 974, 979, 982
Shan hai jing (Classic of mountains and seas), 67, 70, 592, 644, 688, 822–3, 962, 981, 992
 Shan Rong, 548, 922–3, 929, 931–7
 Shang Di (God on High), 252n.37, 644, 868, 979
 Shang dynasty: agriculture during, 277–81; ancestors of, 255–62; archaeological sites, 128–9; area of activity, 276; and bronze inscriptions, 235; bureaucracy of, 286–8; and calendar, 20, 249–51; chronology of, 232, 247–9; contact with other cultures, 14–15; contact with Zhou, 305; dependent labor, 282–4; divination, 237, 240–1, 243, 245–7; duration of, 130; dynastic state, 269–88; genealogy of, 234–5; identification of kings, 106; influence on Zhou, 384–7; king as royal shaman during, 262; legacy of, 289–91; mobilization and warfare, 284–5; nonroyal lineages, 272; Northern Complex in, 886; northern peoples during, 887, 889–90, 893–909; and oracle-bone inscriptions, 236–47; and origins of Chinese language, 75; political geography of, 275–7; political and military developments, 288–9; reign dates, 24; royal religion of, 251–69; royal lineage, 124–5, 270–1; royal succession, 273–4; slave society, 285–6; source of name, 232–3; textual accounts of, 9; traditional accounts of, 233–5; of dead, 263–8; tribute offerings and service, 281–2; women, 274–5; writing in, 106–8; Zhou conquest of, 307–13; Zi lineage, 255. *See also* hangtu construction; oracle bones
Shang gu (remote past), 12

- Shang Jia, 254, 255
Shang jun shu (Book of Lord Shang), 589, 613, 769, 1009
 Shang River, 233
Shang shu (*Shu jing*), 5, 7, 70, 287n.121, 821n.20; authenticity of, 746; and bronze inscriptions, 298; composed, 293–5; dating of contents, 746; dating of Western Zhou, 317–18; edited by Confucius, 753; and end of Shang, 314; on *fa* and *xing*, 1006; “Hong Fan” chapter, 810; language of, 89; “Pan Gong” chapter, 9; quoted by Mozi, 760; as source on Shang, 234; on unity, 994, 996–7; “Yu gong” chapter, 592, 648, 649, 907; on Zhou Gong, 317; Zhou Gong’s writings in, 292
- Shang Yang (Gongsun Yang; Wei Yang), 589, 621, 642, 969, 973, 984–5, 1000, 1007; agrarian reforms of, 613; as army commander, 631, 634; book of, 769; as chancellor, 1017–18; conception of history, 807; constructs Jique Palace, 675; Han Feizi on, 806; fief of, 608; influence of, 1008–9; inspired by Li Kui, 605; legal code, 605; moves capital, 673; pathways’ grid, 647; and population registration, 611–15; regulates funerary design, 716; writings of, 589
- Shangcunling, Henan, 471–8, 693n.92
 Shangguan’gang, Henan, 505, 508, 509
 Shangguo, Shanxi, 481–2, 483
 Shangma, Shanxi, 481–5, 519
 Shanqiu conference, 562
shanyu (Xiongnu leader), 952, 965
 Shao Gong Hu, 334–5, 343, 347
 Shao Gong Shi, 294, 312, 313, 318
 Shao Gong, 294, 315–17, 344–5
 Shao Tuo, 855, 856
 Shaochen, Shaanxi, 391, 393, 394
 Shaofang, 288
 Shaogou, 694, 731–2
 Shaoling, conference at, 556
 She Gong, 322
shen (spirit, divinity)
 Shen (state), 510
 Shen Buhai, 589, 606, 609, 641–2, 769, 806, 808, 826n.34, 973, 984, 986, 1018
Shen Buhai, 769
 Shen Dao, 589, 664, 806, 986, 988
 Shen Nong, 43, 69, 70, 768, 977, 1000
sheng (bronze vessel), 523, 524, 524, 525
sheng (life), 767–9, 773
shengqi (articles of daily life), 728, 731
Shenzi, 769
shi (cosmic board), 820, 839, 840, 840–1, 842, 843
shi (knight; man of service, officer), 478, 479, 518n.126, 566, 572, 582–4, 604, 728, 806–8
Shi ben (Generations’ roots), 69–70, 588, 972
 Shi Dan, 1023
 Shi Gui, 255
Shi ji, 8; Chavannes’ translation of, 3; and chronology, 21–3; chronology of Shang kings, 106–7; on Chu state, 330, 514, 601; on Confucius, 983; errors in, 22; on fall of Western Zhou, 342, 344–5, 348–9; on Lian Po, 765; list of Zhou kings, 364; on Lü Buwei, 643; on medicine, 830n.49; on predynastic history, 300; on rites in Lu, 985; and Shang dynasty, 72; Shang Yang’s biography in, 611; validity of, 10; view of Western Zhou, 296, 318; on Warring States period, 588; “Zhou benji,” 300; on Zou Yan, 824
Shi jing (Classic of poetry), 7, 292, 648, 821n.20; on ancestors, 334–5; composed, 293–4, 295; as criticism or satire, 335–7; “Da ming” poem, 309–10; as evidence for rituals, 332–5; language of, 89; “Gong Liu,” 300; “Mian,” 301; and origins of Zhou, 376, 378; quoted by Mozi, 760; rime groups, 101–2, 105; “Sheng min,” 299–300; as source on Shang, 234; on Xianyun, 919–20
shi qi (eating vapor), 880
Shi Qiang pan (bronze vessel), 374, 439
 Shi Ren, 255
 Shi Shen, 829
Shi wen (Ten questions), 878
Shi Zai ding (bronze vessel), 440
 Shiertaiyingzi, Liaoning, 916, 930
 Shihuigou, Inner Mongolia, 957
 Shijiahe culture, 201, 432

- Shilacun, 940
Shiliu jing (Sixteen classics), 591, 987n.52
 Shilou, Shanxi, 225–6, 227, 228n.176
 Shimen, battle of, 618
Shishi xingjing (Star Classic of Mr. Shi), 829
 Shixianggou, 165n.51, 282
 “Shiyue zhi jiao” (poem), 348–9
 Shizuitou culture, 380
 Shou Ling, 1029
 Shou, polity of, 474
 Shouchun, 1027
 Shouguang, 221n.159, 409
shu (arts, methods), 806–8, 825
shu (calculation), 825
shu (consideration) 755, 756–7
 Shu (state), 218–19, 541, 616, 618, 635
Shu jing. See Shangshu
 Shu Liangge, 585
 Shu people 549, 570
 Shu Xiang, 585
Shui jing zhu (Commentary on the classic of rivers), 592
shui guan (Water Office), 864
 Shuihudi, Hubei, 843, 847
 Shuihudi almanacs, 844, 851–2, 865, 866, 868, 872, 873
 Shuijiangoumen, Inner Mongolia, 936
 Shuliang He, 752
 Shun (sage ruler), 69, 71, 759, 974, 975, 979, 990–1
Shuo wen jie zi, 82, 861
Shuo yuan (Garden of expositions), 592, 757–8
Si Mu Wu fangding, 137, 185n.103, 195n.113, 211
 Siberia, 163, 222, 905, 911, 913–14, 918
 Sichuan Basin, 541–2, 635, 647
 Sidun, Jiangsu, 62
 silk, 178, 699, 741–3, 743. *See also* Mawangdui silk painting
 silver, 607, 954
Sima fa, 590
 Sima Guang, 589, 978
 Sima Jizhu, 984
 Sima Qian, 588, 673, 805, 971, 977–8, 984; and Chinese chronology, 21, 22; creates *Shiji*, 972; and dating of Zhou conquest, 248; on Shang origins, 233–5; and *Shi ben*, 69; Table of Six States, 565; and Xia dynasty, 72–3
 Sima Rangju, 631
 Sima Tan, 822n.22, 823, 832, 971, 972, 977, 984
Sinanthropus pekinensis, 39–40
 Sino-Tibetan language family, 77–80, 85
 Sivin, Nathan, 161n.109, 812n.150
 Siwa culture, 900, 901
 Siwashan, Gansu, 901
 skeletal style, 50
 skepticism, 848
 slaves, 282, 285–6
 Small Temple, 258
 smelting, 463
 So, Jenny, 677n.72
 social developments, 566–70
 social mobility, 546, 585, 649
 social organization, 453, 455
 solar eclipses, 23
 solar stations, 834
 Son of Heaven, 832, 979
 Song (state), 547, 552, 554, 555, 559, 560, 561, 571, 593, 637
 Song Gao (sacred mountain), 980
Song Gong Luan hu (bronze vessel), 467
Song gui (bronze vessel), 298
 Song Jing Gong, 828
 Song Keng (Song Xing), 766
 Song Ping Gong, 669
Song Sixing Zi Wei (Star Director Zi Wei of Song), 828
 Song Xing, 766, 771, 777, 782
 Song Xu, 562
 Song-Nen Plain, 927
 sophists, 779–83
 soul, human, 723, 743–4
 sources, fabrication of, 5; literary, 7–10; material, 10–11; philosophical, 745–8; on Warring States philosophy, 745–8
 Southern Huai Yi, 330–1, 346, 347
 Southern Yi people, 324
 spade-coins (*bu*), 581
 specialized workers, 466–7
 spirit clothes (*ming yishang*), 732
 spirit tablet, 257
 spirit vessels. *See mingqi*
 spirit world, 868

- spoon, 896
- Spring and Autumn period: archaeological sites of, 452; *ba* system in, 551–62, 565–6; beginning of, 547–51; bronzes of, 463–70; cemeteries and tombs of, 470–81; Chu polity during, 514–25, 560–2; commerce, 580–1; currency, 581–2; economic developments, 575–82; and expansion of state, 597–8; and fall of Western Zhou, 545–7; in Han River basin, 510–14; high elite tombs of, 501–10; interstate meetings, 556–8; intellectual developments, 583–6; Jin cemeteries of, 482–6; Jin state, 558–60; kinship system, 566–9; land tenure, 576–8; local administration, 574–5; metallurgy, 578–80; multistate system, 15, 562–6; non-Zhou peoples, 548–51, 569–70; northern people in, 889, 890–2, 924–51; periodization of, 450n.1, 471, 476; Qi state, 553–6; Qin cemeteries of, 486–97; Qufu cemeteries of, 497–501; reign dates, 25–6; secondary feudalization in, 570–2; settlement sites, 453–63; *shi*, 582–4; social developments, 566–70; southeastern regional cultures of, 525–39; southern regional cultures of, 539–42; state administration, 572–4; state structure, changes in, 570–5; states of, 548; Wu state, 563–5; Yue state, 563–5; Zheng state, 551–2; Zhou states, 313, 547–8
- standardization, in bronzes, 417–18
- standardization, in inscriptions, 419, 438–9
- star catalogs, 829
- state capitals, 654, 666
- state cult, 500, 644–5, 978, 981
- state structure, changes in, 570–5
- statecraft, 826n.34
- States-lord Li, 327
- status distinctions, 368, 472, 474–6, 499, 1024
- steel, 579
- stellar lodges, 833, 834, 840, 854
- stem and branch numerology, 843, 850
- steppe oases, 909, 910
- stone chimes, 685–7
- stone drums, 451n.2, 1010
- stone polishing, 47
- stone tablets, 462
- stratification, social, 60, 137, 157, 178, 472, 544, 953, 956
- strips. *See* bamboo strips; wooden strips
- Su (state), 474
- Su Bingqi, 57–8, 380
- Su Che, 589
- Su Qin, 633–4, 637, 1016, 1026
- Suburban Sacrifice (*jiao*), 459
- succession question, 71, 559–60, 990
- Sucun. *See* Chenggu
- suffixes, of language, 93–4
- Sufutun, Shandong, 163n.44, 203n.132, 219–21, 382, 404
- Sui (state), 288, 511, 556
- Sui (Year), 856
- Sui Ren (hero), 69, 70
- Suide, Shaanxi, 225n.170, 228
- Suixian, Hubei, 11, 170n.62
- Sujialong, Hubei, 512
- Sujigou, assemblage at, 936
- Sujigou, Inner Mongolia, 957–8
- sumptuary privileges, 485
- Sun Bin*, 590, 622, 624, 630, 631
- Sun Bin, 634
- Sun Wu, 630, 631
- Sunshu Ao, 1018
- Sunzi bing fa* (Sunzi's art of war), 1021
- Sunzi*, 604, 622
- Superintendent, Metropolitan (*neishi*), 1018
- Supervisor of Lands (*situ*), 326, 327, 407
- Supervisor of the Horse (*sima*), 326, 327
- Supervisor of Works (*si gong*), 326, 327
- supporter of bells, 686
- Supreme Commander (*taiwei*), 1017
- surnames, appearance of, 571
- swords, 946
- syllables, division of, 94, 103–4
- T'ai chan, Le* (Chavannes), 3
- Tagar culture, 888
- Tai Bao, 365, 409, 513
- Tai Dian, 315
- Tai Gong, 645
- Tai Gong liu tao*, 590
- Tai Gong Wang, 312
- tai* platforms. *See* burials and burial forms
- Tai Yang (Grand Yang), 851

- Tai Yin (Grand Yin), 837, 849–50, 851
Taichan shu (Book of the generation of the fetus), 837
 Taigongmiao, Shaanxi, 487
 Taipuixiang, Henan, 481n.40
 Taishan (Grand Mountain), 851
 Taisui, 871
 Taiwang (Great King), 300–1
 Taixicun, Hebei, 175, 177–8
 Taiyi (Grand One), 841, 851, 855, 856, 981
 Taiyin (Grand Yin), 841
 talent, recruitment of, 649
 tally, military, 609
 Tang, 997
 Tangshu Yu, 312, 404, 558
 Taohongbala, Inner Mongolia, 932, 933–4, 946, 953
 Taosi site, Shanxi, 60, 61, 65
taotie motifs, 148–9, 151, 152–3, 374, 360, 397–8, 405, 410
 Tarim Basin, 83, 84, 87
 taxation, 573, 577, 600, 601, 602, 611, 615, 621
te (evil), 847
 teacher-disciple relationship, 756–7
 temple names, 273–4
 Teng, state of, 408–9
 Tengxian, 409
 Tengzhou, 657–60
 terrace pavilion, 653, 672–3
 territorial expansion, 567–8
 textiles, 178, 196, 698–9, 699. *See also* silk
 thread-leaf motif, 428
 Three August Ones (*San huang*), 974
 Three Dynasties, 55, 64, 71–2, 558, 563, 759
 Three Royal Ages (*San wang*), 12
 Three Senior Statesmen, 1017
 Three Supervisors (*can you si*), 326
 Tian, worship of, 979, 981
 Tian (ministerial house), 575
 Tian Dan, 638, 950
 Tian Di (God of Heaven), 868
 Tian family, 664
 Tian He, 1025
 Tian Hou Yan, 22
 Tian Ji, 634
 Tian Pian, 986, 988
 Tian Qi, 713
Tian Wang gui (bronze vessel), 366, 367, 368, 400
 Tian Wen (Lord of Mengchang), 607–8, 635–7, 638, 639, 660
 “Tian wen” (Heaven questions), 841, 843
 Tian Zifang, 649
 Tianma-Qucun, 357, 404, 424, 433, 444, 446, 447
Tianwen qixiang zazhan (Miscellaneous astrological and meteorological divination), 844
 Tianxingguan, Hubei, 726, 741, 858
 Tianyi (Heaven One), 844
 Tibeto-Burman, 77–80
 Tiejianggou, Inner Mongolia, 930–1
 tiger motif, 172, 691–2
 time, cycle of, 832–3
 tin, 161, 266
 titles: hierarchy of, 612–13; to reward service, 612
 TLV (bronze mirror), 839
 Tocharian language, 84, 86
 Tomb Officers (*Mudafu*), 709
 tombs/tomb forms. *See* burials/burial forms
 Tongchuan, 228, 403
 Tongguan Pass, 311
Tongjian gangmu, 2
 Tonglushan, Hubei, 539, 578–9
 tools, 474, 534, 578
 Torah, 1004
 Touman, 965
 towers (*guan*), 653, 672
 trade, 213, 347, 467, 580, 613, 957, 960, 963; with Northern Zone, 952
 Transbaikalia, 913, 925
 transition period, 133n.10, 147, 150, 157, 165, 172, 175–80, 208–9, 217–18
 transmission of texts, hazards of, 8
 transportation, 580–1
 tribal confederations, 963
 tribute offerings, 281–2, 580
 tripods, 142, 478, 531–2, 539, 541
 troops. *See* military
 Tufang, 284, 288, 908
 tumuli. *See* burials and burial forms
 Tunxi, 425
 turquoise, 162, 164, 178, 191, 194–5, 225, 679, 945

- turtle divination, 820, 832, 852–60. *See also oracle bones*
- Tuva, 925, 945
- Twitchett, Denis, 1, 5
- unification, imperial, 550, 969
- uniformity, of material culture, 447–8
- unity, 550, 812, 991–2; and calendar, 1012–15; of China, 812; and cosmic system, 997–8; and documentation, 1010–12; and diversity, 17; and economic control, 1022–4; ideal vs. mythic, 12; imperial claims to, 998–1002; law, 1003–10; and military organization, 1020–2; and mythology, 992; and offices of state, 1016–20; and registration of population and land, 1016; and terminology, 992–5; zones and hierarchies, 995–7
- universal love (*jian ai*), 763–4
- Upper Paleolithic period, 68
- Upper Xiajiadian culture, 889, 891, 912, 914–18, 930, 931
- urbanization, 582
- usurpation of power, 598–9
- validity of sources, 294
- vertical alliances, 629–34
- vertical pit graves. *See burials and burial forms*
- vessel sets, 421, 434, 435–7, 469–70, 489
- vessel theory, 875, 876–8
- vessel types, 419–22
- Vietnamese, words in, 82–3
- virtue. *See de*
- vision (*guan*), 987
- Wagner, Donald B., 475n.31
- Wai Bing, 25
- waist pit. *See yaokeng*
- Waley, Arthur, 97
- walls, 288, 526–7; construction of, 17; defensive, 629–30, 665, 961, 1021. *See also city walls*
- wan* (bronze vessel), xxii
- wan guo* (10,000 states), 60, 64, 72
- Wang Chong, 977
- Wang Fu, 571
- Wang Guowei, 126
- Wang Hai, 253–4
- Wang Jian, 632
- Wang Jie, 275
- Wang Mang, 971, 975–6, 986, 990–1, 1024, 1030
- Wangcheng (Royal City), 455, 655
- Wangchenggang, 282
- Wangjiashan, Jiangsu, 533
- Wangjiatai, Hubei, 841, 857
- Wangshan, Hubei, 536, 698, 733
- Wangzi Qiao, 882
- Wangzi Wu, 520n.129, 521
- Wangzi Yingci lu (bronze vessel), 479n.38
- Wannian county, Jiangxi, 46
- warfare, 64, 284–5, 320, 322–5, 598, 616–18, 628–32, 763, 911
- Warring States period, 16; alliances during, 632–45; astrology and calendar in, 831–52; bronze art in, 676–8; city architecture in, 653–65; correlative cosmology in, 808–12, 860–6; divination in, 852–60; figurines in, 732–40; formation of powers in, 575; grave furnishings, 727–44; human geography of, 645–50; institutions of, 603–16; intellectual history, 21–2; iron industries, 534; lacquerware and inlay art in, 679–85; medicine in, 874–83; military arts during, 620–32; multistate pattern, 616–19; natural experts and occultists, texts of, 820–30; northern peoples during, 890–3, 951–66; palace structures in, 665–75; philosophical concepts of, 748–52; philosophical sources on, 745–8; pictorial art in, 700, 702–7, 740–4; reign dates, 27–8; religion and magic in, 866–74; royal cemeteries in, 709–17; ruler-centered nature of, 597–603; sculpture and ornaments in, 685–700; sources on, 588–93, 815; spirit vessels in, 728–32; states of, 593–7; textual accounts of, 9; underground tomb structures in, 717–27; view of Western Zhou, 296. *See also names of philosophers and schools*
- warrior class, 911
- washing vessels, 523
- watch stations, 629
- water as sign of Qin's power of, 818
- water transportation, 581

- water-washed stones, 381, 391
 wealth, distribution of, 582
 weapons. *See* armaments and armor; bronze weapons; iron weapons
 Weber, Charles D., 702n.112
 Wei (state), xxv, 347, 560, 571, 575, 760; alliances of, 617, 636, 637; army of, 626, 627, 628; cemeteries in, 710–12, 717; chronology, 21; code of, 590; decline of, 618, 632, 634–5; defensive walls of, 630; gathers scholars, 643; palace in, 670; relocates capital, 654
 Wei Bo Xing, 374, 430, 435, 436, 438
 Wei Hui Hou, 621, 634
Wei Liaozi (Yu Liaozi), 9, 590, 1021
 Wei Ran, 638, 639, 1018
 Wei River valley, 33, 226–9, 306, 352, 390, 488, 647
 Wei Wen Hou, 649, 949
 Wei Yang. *See* Shang Yang
Wei Zi Peng yufou (bronze vessel), 469
 "Wei tian zhi ming" (poem), 332
 Weidenreich, Franz, 39, 41
 well-field (*jing tian*) system, 576–7, 605, 611, 648
 Wen Ding, 302
 Wen Hui Hou, 618–19
 Wen Wang (Cultured King), 301–2
 "Wen wang" (poem), 333–4
 Wen Zhi, 829–30, 874, 880
 Wendi, 970
Wenwu (Cultural relics), 5–6
 Wenxian, 599–600
Wenzi, 9
 Western Asia, 131, 468
 Western Zhou dynasty, 15; achievement of, 352–3; archaeological evidence for, 305–7, 354–5, 368–75; bells produced during, 427–30; bronze inscriptions of, 296–7, 302–5, 318–19; capitals of, 393–7; chronology, 22–3; climate during, 35; conquest of Shang, 307–13, 385–8; consolidation of rule by, 317–23; dating of, 358–60, 364; decline of, 328–31, 342–51, 545–7; eastern capital, 312; and eastern China, 425–6; extent of, 319; inscriptions of, 364–8; kinship system, 566–7; later period, 331–42, 433–46; legacy of, 352; legendary evidence for, 299–302; material record for, 353, 356–8; middle period sites, 414–23; northern peoples during, 889, 890, 909–24; origins of, 375–85; outposts of, 397–403; palaces of, 669–70; as a paradigm, 292–3; philosophical foundation of rule by, 313–17; reforms of, 323–8; relations with north, 919; Ritual Revolution during, 433–40; sources on, 293–9; and southern regions, 423–5; and states of north central China, 403–13, 440–6; use of jade, 430–3; writing during, 297–9; worldview of, in later period, 331–42; Zhouyuan, 390–3
 Wey (state), xxv, 312, 353, 407–8, 477, 547, 554, 559, 593, 597, 617; and Di, 555, 948; merchants in, 582; succession dispute, 557
 wheat, 43, 577
 wheeled vehicles. *See* chariots
 White Horse, conundrum of, 782–3
 wine flasks (*hu*), 434, 435
 wine offerings, 258
 wine vessel, tubular (*you*), 400, 401
 wine-cooling vessels, 523
 Wittfogel, Karl A., 279n.102
 Wolpoff, M. H., 41
 women: as brides in diplomacy, 964; burial of, 485; of Shang royal house, 274–5
 wooden strips, 299, 589, 1013. *See also* bamboo strips
 wooden vessels, 475, 519
 Woolley, Leonard, 131
 word families, 92–3
 workshops, 141–2, 393, 457, 459, 462–3, 468–70, 479, 481, 494
 writing, 181–3; beginning of, 107; brush, 108, 182, 405; evidence of, at Wucheng, 171; invention of, 63; materials for, 90, 182; in Shang, 287; utilitarian vs. ceremonial, 106; during Western Zhou, 297–9; in Yellow River valley, 55. *See also* oracle-bone inscriptions; bronze inscriptions
 Written Burmese, 77
 Written Tibetan, 77
 Wu (state), 486n.31, 526–39, 547, 561, 563–5, 569, 580, 600–1, 997
wu cai (five materials), 863

- Wu di* (Five Emperors), 55, 70, 72
Wu Ding, 181, 182, 185, 193, 196, 202, 232,
 237, 243, 264, 270, 272, 274; central
 religious role, 277–8; oracle-bone
 references to, 305; reign dates, 247–8;
 wars of, 288
Wu Geng, 233
Wu Gong, 487, 558
Wu Peng (shaman hero), 69
Wu Qi, 604, 621, 630, 631, 649
wu sheng yu zhi (decoration overpowers
 substance), 675
Wu Sheng, 855
Wu Wang Gufa jian (bronze weapon), 535
Wu Wang, 288n.123
wu wei (doing nothing), 804–5, 998
Wu Xian (hero), 69
Wu Zi, 607
Wucheng culture, 171–5
Wudi, 870, 970
Wugong, 402
Wuguancun, 184, 263, 265, 268
Wuhui ding (bronze vessel), 513n.106
Wuji, Prince of Wei, 805
Wushier bingfang (Recipes for fifty-two
 ailments), 866, 868, 872, 873, 874, 875–6,
 879
Wusun culture, 891, 925, 941, 943
Wuxing zhan (Five stars divination), 844,
 850
Wuyang Tai (platform), 664, 665, 667,
 688
Wuyang, 656, 659, 661, 664, 665, 713–16,
 718
Wuzhong people, 922–3
Wuzi, 590

Xi ci, 853, 858, 859
Xi Jia pan (bronze vessel), 346
Xi Jia, 346
Xi Rong (Western Rong), 920
Xi Wang Mu (Queen Mother of the West),
 962, 981–2
Xi Wu (Wei general), 869
Xi'an, 378
Xia dynasty, 20, 71–3, 131, 248, 126, 127, 558,
 563, 747, 993
Xia group, 56

Xia Nai, 202n.130
Xiadu, 624
Xiahou Zao, 840
Xiajadian, 177
xian (administrative unit), 574, 599, 602,
 613–14, 655, 1019
xian cult, 827, 882–3
Xian Gong, 602, 618
Xian Yu, 989
Xian, house of, 572
Xiang Jia, 273
Xiang Yu, 588, 969, 1001
Xiangbaobao cemetery, 943–4
Xiangfan, Henan, 510–11
Xiangong of Qi, 1025
Xiangtu (hero), 69
Xianrendong site, Jiangxi, 46
Xianyang, 402, 673–5, 705, 707, 1028
Xianyu, 948
Xianyun people, 346, 347, 350, 887, 919–20,
 921
Xiao Gong, 612, 1000
Xiao He, 588, 625, 1012
Xiao Ji, 275
Xiao jing (Classic of filial piety), 90
Xiao Wang, 275
Xiao Ya, 336
Xiao Yu ding (bronze vessel), 919
Xiaobaiyang, Hebei, 931
Xiaoguo (Little Guo), 476
Xiaomintun, 282
Xiaotun, Henan, 127, 183–4, 236, 251–2,
 259, 267–8, 276, 902; agriculture in, 278,
 282; lack of wall at, 288; royal lineages at,
 275
Xiasi, Henan, 470, 520–3
Xiata, Xinjiang, 943
Xibeigang, 130, 131, 184, 185–92, 203, 220,
 263, 267; *M1001*, 185–92, 186, 188, 189,
 190; sacrificial burials at, 192–4
Xibo Jifu, 346
Xigoupan, Inner Mongolia, 933, 936, 944,
 953, 956, 957
Ximen Bao, 605, 647, 649
Ximennei, Anhui, 523
xin (mind), 792
Xin xu (New preface), 592
Xin Yi, 365

- Xin'gan, Jiangxi, 14, 171–5, 197n.119, 201n.127, 209, 213, 224, 229, 404, 411; sacrificial pits, 135
- Xinbo, 322
- Xincun, 477
- Xindian culture, 900, 901
- Xing (diviner), 272
- xing* (forms; reality), 987
- xing* (punishment), 1006–7, 1009
- Xing (state), 555, 949
- Xing gui* (bronze vessel), 363
- xing ming* (form and name), 609, 986–8
- Xingde* (punishment and virtue), 837, 844, 847, 849–51
- Xinghou Zhi, 318–19
- xingjie* (release of physical form), 882–3
- Xinglongwa culture, 48, 52
- Xingu pai* (Believing in Antiquity School), 10
- Xinjiang, 925, 941–4
- Xinle culture, 48
- Xintian, 457–8
- Xinyang, 704
- Xinyuan county, Xinjiang, 942
- Xinzhen, Henan, 427, 479, 656, 658, 661, 662
- Xiong Ji, 275
- Xiong Tong, 516
- Xiong Yi, 514
- xiongli* (inauspicious rituals), 707
- Xiongnu: emergence of empire, 886–7, 952, 964–6
- Xiqing, 907
- Xishu, 348
- Xizhong (Xia official), 659
- X-ray style, 50
- xu* (bronze vessel), 435–6, 438, 507
- Xu (state), 325, 485, 538, 547
- Xu people, 549
- Xu Rong, 323–5
- Xu Shen, 122n.82, 861
- Xu Xing, 768
- Xu Zheng, 66
- Xuan, 288
- Xuanming (spirit of Water), 864
- Xue (capital of Xue), 657–60
- Xue (state), 503–5, 509
- Xuechang, Shandong, 503–5
- Xujianian, Gansu, 901
- Xun Kuang. *See* Xunzi
- Xun Yue, 978
- Xunxian, Henan, 353
- Xunyi, 402
- Xunzi (Xun Kuang, Xun Qing), 16, 643, 728, 730, 790–1, 1008; and correlative thinking, 811; on Heaven, 795; and Mencius, 791–2; on the mind, 792–4; on morality, 794–6; and Mozi, 791; on the past, 796–7; on quietism, 804–5; and Rectification of Names, 97; “Zheng ming” essay, 797–9
- Xunzi*, 95–6, 591, 592, 824, 976, 995, 997
- Xuzhou, conference at, 564–5
- Ya Chou emblem, 219–21
- Yan (state), 15, 312, 353, 357, 409–13, 431, 440, 486n.49, 547, 570, 960; alliances of, 634; army of, 626–7, 628; attacked by Rong, 555; defeat of, 637; defensive walls of, 629; expansion of, 931, 950, 951; power struggles in, 602; relocates capital, 654; ruler becomes “king,” 603; served by Su Qin, 633, 637
- Yan Di, 857
- Yan Hou, 365, 409–10
- Yan Hou yu* (bronze vessel), 410
- Yan Hui, 785
- Yan tie lun* (Discourses on salt and iron), 985, 1022, 1023, 1030
- Yan Wenming, 46
- Yan Ying, 585
- Yancheng, Jiangsu, 526–7
- Yandi, 864
- Yandunshan, Jiangsu, 425, 537
- Yang (ministerial house), 574
- Yang Huo, 575
- Yang Zhu, 765–70, 773
- Yangdi, 1026
- Yangjia, Guangxi, 539n.168
- Yanglang, Ningxia, 938–40, 944, 959
- Yangshao culture, 33, 34, 49–50, 52, 55, 56, 67, 900
- Yangshao village, 4
- Yangzi River valley, 32; centralization of power in, 600–1; climate, 34–5; cultures of, 52–3; middle, in early Bronze Age,

- 173, 208–12, 213, 229; rice cultivation in, 46
- Yanling, battle of, 561
- Yanqing, Beijing, 912, 933
- Yanshi, 158. *See also* Erlitou
- Yantou, Shaanxi, 902
- yanxinghe* (pouring vessel), 508, 508
- yanyue qi* (musical instruments), 728
- Yanzi *chunqiu* (Master Yan's Spring and Autumn [annals]), 590
- Yao* (Essentials), 826, 859
- Yao (sage ruler), 69, 71, 759, 974, 975, 991
- Yaojiawan, Shaanxi, 462
- yaokeng* (waist pit), 161, 358, 369, 371, 378, 387, 479; at Fu Hao's tomb, 196; at Lijiazui, 168, 170; in Shang burials, 267; at Sufutun, 219; at Taixicun, 177; at Xibeigang, 187
- Yaoshan site, Zhejiang, 61
- Yaoxian, 403
- yarrow stalks, 982
- ye ren* (people of the field), 549, 554, 572, 573
- Yelang, 1001
- Yellow River valley: as center of Warring States, 593; as cradle of Chinese civilization, 57, 133; and development of civilization, 155–8; and evidence of writing, 55; metallurgical developments in, 141; millet cultivation in, 46; Neolithic cultures in, 49–50; shifts course, 32–3
- yi* (bronze vessel), xxiii, 470, 489
- yi* (dutifulness, honor, righteousness), 751, 754, 764, 765–7, 774, 777
- Yi (son of Shun), 71
- Yi ding* (bronze vessel), 331
- yi fa*, 1007
- Yi Gong, 347
- Yi Hou Ze gui* (bronze vessel), 319–20, 425, 537
- Yi Hou Ze, 319
- Yi jing* (Classic of changes; *Zhou yi*), 5, 7, 245, 292, 812, 822n.24, 826, 852, 853, 985; composed, 293–4, 295–6; enhanced stature of, 817; language of, 89; origin of, 338–42; and *Yi* mystical system, 854
- Yi Jiu, 349, 350
- Yi li* (Etiquette and rites), 591–2, 728
- Yi people, 83, 549, 992–3, 1001
- Yi Yin, 254, 604
- Yi Zhi, 777
- Yi Zhou shu* (Remainder of the Zhou documents), 9, 294–5, 310, 592
- Yidu, 409. *See also* Sufutun
- Yigu pai* (Doubting Antiquity School), 10, 72
- Yiluo Rong, 548
- Yimou (hero), 69
- "Yin benji" (Basic annals of the Yin), 233, 235
- Yin Jifu, 346
- Yin Wenzi, 782
- Yin yang jia (Yin-yang specialists), 822
- Yin yang wu xing*, 870
- Yin, 232
- Ying (state), 312, 407, 408, 427, 443, 516, 655, 1027
- Ying Huhai, 975
- Ying, prince of Wei, 769
- Yinghou, 312
- Yingkou, Hunan, 540
- Yingxu culture, 895–6
- Yinqeshan, 600
- Yinshan Mountains, 904
- Yinshu* (Pulling book), 876, 880, 881
- Yinxu, 180–1, 236, 263, 266, 270, 306
- yin-yang*, 809–10, 814, 816, 883, 986; and divinatory tradition, 642; emergence of, 823–4, 831, 845; in Han thought, 818, 819, 860–6; and warfare, 632; in the *Yi*, 859–60
- yin-yang jia* (yin-yang specialist), 818
- Yin-yang wu xing* (Yin-yang and Five Phases), 844, 851
- yiqi* (weapons and armor), 728
- Yiqi, Anhui, 529, 533, 537
- Yiqu Rong, 961
- yod, 104
- Yong (city), 459, 493–4, 660, 669–70, 709–10, 980
- Yong (diviner), 272
- Yong Fu (hero), 69
- Yong Ling (Eternal Mausoleum), 716
- Yongfu (Zhou commander), 324
- yongqi* (daily utensils), 728, 729

- you* (bronze vessel), xxiii, 397, 415, 425, 532, 540
 "You gu" (poem), 333
yu (bronze vessel), xxiii
 Yu (flood hero), 872-3
 Yu (sage ruler), 759
 Yu (state), 307, 369, 419-22, 428, 430-1, 502-3, 509; burials, 394-5, 397-400
 Yu (Zhou official), 320
Yu fangding (bronze vessel), 410
Yu gui, 342
 Yu Hou, 425
 Yu Hou Ze, 319
Yu Liaozi (*Wei Liaozi*), 9, 590, 1021
 Yu Qing, 1018
 Yu Woods, 324
 Yu, sage-emperor, 33, 71
Yuan (Wei) Zi Peng ge (dagger axe), 517-18
 Yuan lineage, 520, 522
 Yuan Zi Feng, 520
 Yue (Shang leader), 271
 Yue (state), 288, 526-39, 547, 563-5, 569, 580, 596, 600-1, 616
 Yue Chi, 1018
yue ling (ordinances of the months), 831-2, 845, 864
 Yue people, 81-2, 540, 541, 963
Yue Wang Fuchai jian, 536
 Yue Yi (general), 638
 Yuezhi people, 941, 965
 Yufang (enemy state), 284
 Yulongtai, Inner Mongolia, 936, 953, 957
 "Yun Han" (poem), 336
Yun jing, 100-1
 Yun River valley, 511
 Yunmeng, 588, 589-90, 610, 615, 646, 1027
 Yushi (Rain Master), 870
 Yushugou, Gansu, 938, 947
 Yutaishan, 708, 718, 740, 839
- Zang Wenzhong, 580, 584, 586
 Ze (state), 400, 402
 Zeng (state), 511-3
 Zeng Hou Yi, 11, 512-3, 523, 525, 675-8, 679n.75, 721-3, 724-5, 820, 833-6
 Zeng Xuan, 82
Zeng Zhong Youfu hu (bronze vessel), 465
 Zengpiyan site, Guangxi, 46
- Zengzi, 759
zhan (bronze vessel), 469n.24
 Zhandou cemetery, 927
 Zhang Binglin, 126n.1
 Zhang Changping, 511n.101, 512n.104
 Zhang Yi, 631, 634, 1018
 Zhangguizhuang, 718
 Zhangjiapo, 380, 385-6, 393, 395-7, 418
 Zhangqiu, 734, 740
Zhanguo ce (Stratagems of the Warring States), 116, 590, 949, 963, 973
Zhanguo zong heng jia shu (Documents of the Warring States strategists of alliances), 590
Zhanguo, as term, 976-8
zhao (decree), 1004
 Zhao (state), 596, 603, 617, 618, 619, 638-45, 760, 950, 951; cemeteries of, 713; defensive walls of, 629-30; palace district of, 668-9; tombs of, 717-18; and Xiongnu, 961-2
 Zhao Gao, 975
 Zhao Kuo, 640
 Zhao Mu system, 1030
 Zhao Qi, 770, 771
 Zhao She, 632, 638
 Zhao Sheng (Lord of Pingyuan), 639
 Zhao Shuai, 569
 Zhao Su Hou, 716
 Zhao Wang, 1018
 Zhao Yang, 569
 Zhao, house of, 572, 575
 Zhaojiahu, 515, 518-19, 718
 Zhaoqing, Guangdong, 679
 Zhe bronzes, 374
Zhe fang yi (bronze vessel), 361, 406
Zhenfa (Theory of the curative needle), 1007
 Zheng (state), 453, 477-9, 547, 551-2, 554, 559-61, 568, 573, 582, 593, 597, 922, 1026
 Zheng Gongshu, 568
 Zheng Huan Gong, 550, 551
 Zheng Mu Gong, 571
 Zheng Ruokui, 288n.123
 Zheng Zhuang Gong, 551-2, 567, 568
Zhengjiapo Beilü type, 306-7
zhengming (rectification of names) 757-8, 797-9

- Zhengzhou, 132
 Zhengzhou, 404, 551, 717
 Zhengzhou-Anyang sequence, 150n.38
zhi (edict), 1004
zhi ([moral] intelligence), 774
 Zhifangtou, 397–8, 400
zhih (bronze vessel), xxiii
 Zhijiang, 515
zhong, concept of, 756–7
 “Zhong fu” (hexagram), 338
 Zhong Shang, 269
zhongguo, as term, 59, 993–5
 Zhonghui, 659
 Zhongning, 940–1
 Zhongshan (state), 597, 603, 617, 683,
 684–5, 689–92, 949–50, 1029
 Zhongshan mausoleums, 710–12, 711, 714,
 715, 726–7
 Zhongtian Tai (platform), 670
Zhongyuan (Central Plain), as cradle of
 Chinese civilization, 55
 Zhongzhoulu, 471
 Zhou: area of origin, 302; calendar of, 20;
 conquest of Shang, 23, 229–31, 248,
 307–13, 308, 890; excavations of sites, 11;
 favored by Confucius, 759; idealization
 of, 15; as golden age, 977; migration of,
 303; origins of, 375–6; reign dates, 23, 24,
 29; relations with Qiang, 908; royal
 house, 597; textual accounts of, 9; treated
 with respect, 12. *See also* Western Zhou
Zhou Chunqiu (Zhou Spring and Autumn
 Annals), 348
 Zhou Gong (Duke of Zhou; Zhou Gong
 Dan), 292, 294, 295–6, 310–17, 344–5,
 553, 604, 751, 976, 979, 990–1, 993
 Zhou Ji clan, 505, 511
 Zhou Jiu, 821
Zhou li (Rites of Zhou), 7, 82, 591–2, 708,
 873–4, 996, 1013, 1015
 Zhou people, 228, 303, 304, 307
Zhou song (Zhou liturgies), 295
 Zhou states, 547–8
Zhou yi. *See* *Yi jing*
 Zhou Yongzhen, 511n.102
Zhou zhuan, 874
 Zhoujiadi, Inner Mongolia, 916
 Zhoukoudian, 4, 39, 40, 40
- Zhouyuan (Plain of Zhou), 353, 356, 390–3,
 439, 448; archaeological sites, 357, 358;
 chariot burials in, 395, 423; hoards at,
 374, 376
 Zhouzhi, 402
zhu bo (bamboo and silk), 1010
 Zhu Xi, 2
 Zhan Xu (“emperor”), 68, 70, 71, 979,
 1014
 Zhuanchang cemetery, 927
 Zhuangbai, Shaanxi, 374, 376–7, 390, 414,
 435, 439, 442
 Zhuangzi (Zhuang Zhou), 16, 784, 848; and
 Confucius, 786, 788–9; and the *,
 788–9; and death, 789–90; and
 detachment, 784–8; and grief, 789–90;
 and non-involvement, 783–4
Zhuangzi, 591, 744, 767, 802–6, 863, 881,
 981, 987, 994–5
 Zhufu Yan, 976
zhuhou (independent polities), 477, 525
 Zhukaigou culture, 897, 898–9
 Zhulong (Lamp Dragon), 689
 Zhurong (hero), 69, 864
Zhushu jinian (Bamboo annals), 7–8,
 21, 22, 302, 318, 588, 753, 972,
 1014; climate data from, 35; *on Gui*
Rong, 919; on Western Zhou, 296,
 342–3, 345, 347; on Zhou campaigns,
 322, 323
 Zhuyin (god), 67
 Zhuyuangou, 397
zhuizi (chief master), 762
 Zi Chan, 573, 584–5, 820–1
 Zi Geng, 521
 Zi Gong, 582, 755
 Zi Huaizi, 765–70, 771, 773–4, 808
 Zi Wei, 828–9
 Zi Wen, 756
Zi zhi tong jian (Comprehensive mirror for
 aid in government), 589
 Zibo, 409
 Zidanku, 742
 Zilu, 575
zithers (se, qin), 723
 zodiographs, 110, 112, 114, 117, 122
Zong heng jia shu, 637
zongfa. *See* kinship system*

INDEX

Zongzhou, 546
zoomorphic décor, 467
Zou (state), 597
Zou Heng, 132n.9, 305–7
Zou Yan, 648, 664, 810, 818, 822, 824–5,
 852, 865
Zu Geng, 249, 288, 305
Zu Jia, 249, 274
zun (bronze vessel), xxii, 152, 177n.74, 209,
 217, 397, 415, 425, 426, 435, 532, 532,
 677, 678, 684–5
zun (pottery vessel), 731, 731
Zuo zhuan (Zuo's tradition), 7, 591, 659,
 821; on alien people, 993; circulation of,

1003; and Confucius, 753; and conquest
sequence, 865; on divination, 341, 852,
 853, 857, 859n.106; on Five Processes,
 863–5; on horses, 624; language of, 90;
on military recruitment, 614; and
Physician He, 862; on platforms, 669;
reliability of, 547; on ritual and war, 64;
on Rong people, 923; and *shi*, 583; as
source on Shang, 234; as source on
Spring and Autumn period, 547; on
taxation documents, 1015; and vessel
theory, 877; on Warring States period,
589; on Zheng founders, 551; on Zhou
polities, 497