

# Contents

<i>Table of Cases</i>	xi
<i>Table of Legislation</i>	xiii
<i>List of Abbreviations</i>	xxi
<b>1. Background and Introduction</b>	<b>1</b>
A. Growth of transborder data flows	1
B. Growth of transborder data flow regulation	10
C. What are transborder data flows?	11
D. The changing role of the individual	14
E. Differentiating data transfers from 'mere transit'	15
F. Scope of the study	16
G. Towards a normative framework	19
<b>2. International Regulation of Transborder Data Flows</b>	<b>25</b>
A. Introduction	25
B. Early transborder data flow regulation	26
C. Protection of informational sovereignty	28
D. Free flow of data and freedom of information	31
E. Transborder data flows in international law	33
F. OECD Privacy Guidelines of 1980	35
G. Council of Europe Convention 108 and Additional Protocol	36
H. EU Data Protection Directive 95/46	40
I. APEC Privacy Framework	50
J. ECOWAS	51
K. World trade law	52
L. Organization of American States (OAS)	52
M. Data transfers by States, governments, and regulatory agencies	53
N. Madrid Resolution	58
O. Conclusions	58
<b>3. Typology of Regulatory Approaches</b>	<b>61</b>
A. Introduction	61
B. Differences between regulatory systems	61
C. Conclusions	79
<b>4. National, Private Sector, and Technological Approaches</b>	<b>81</b>
A. Introduction	81
B. Listing of national approaches	83
C. Private sector initiatives	92

D. Regulation through technology	96
E. Conclusions	99
<b>5. Analysis of Underlying Policies</b>	101
A. Introduction	101
B. Benefits and risks of transborder data flows	102
C. Underlying policies and corresponding risks	107
D. Conclusions	120
<b>6. Applicable Law, Extraterritoriality, and Transborder Data Flows</b>	121
A. Introduction	121
B. Transborder data flow regulation and applicable law rules	125
C. Extraterritorial application of fundamental rights law	129
D. Conflict of laws involving transborder data flow regulation	133
E. Example of Council of Europe Convention 108	138
F. Conclusions	141
<b>7. Compliance and Enforcement</b>	143
A. Introduction	143
B. Compliance responsibilities and informational requirements	144
C. Level of compliance and enforcement	146
D. Compliance in practice	148
E. Example of EU data protection law	151
F. Conclusions	154
<b>8. A Global Regulatory Framework for Transborder Data Flows</b>	157
A. Major trends and issues	157
B. Transborder data flow regulation as a form of legal pluralism	160
C. Suggestions for an improved regulatory framework	165
D. Concluding remarks	186
<i>Appendix: Data Protection and Privacy Law Instruments Regulating Transborder Data Flows (as of January 2013)</i>	189
<i>Bibliography</i>	257
<i>Index</i>	279