## **CONTENTS**

## Volume 1

Cont	ntributors		xiii
1.	An	Introduction to the "Handbook of Field Experiments"	1
	A.V.	Banerjee, E. Duflo	
	1.	The Impact on the Way We Do Research	2
	2.	The Impact on the Way We Think About the World	15
	3. (	Conclusion	21
	Refe	rences	21
Sec	tion	I. Some Historical Background	
2.	The	Politics and Practice of Social Experiments: Seeds of a Revolution	27
	J.M.	Gueron	
	1.	Why Focus on Welfare?	28
	2.	Why Experiment?	29
	3.	The Story	30
	4.	Major Challenges	32
	5.	Demonstrating Feasibility: The National Supported Work Demonstration	33
	6.	Social Experiments Reincarnated as a Partnership: Testing Feasibility Anew	
		by Evaluating State Initiatives	38
	7.	Using Randomized Controlled Trials to Test Full-Scale Programs: The Fight	
		Got Tougher	45
	8.	What Works Best? A Multiarm Test of Labor Force Attachment versus	
		Human Capital Development	50
	9.	The Momentum Shifts	53
	10.	Useful and Used	54
	11.	Lessons and Challenges	59
	Ackr	nowledgments	66
	Refe	rences	66

## Section II. Methodology and Practice of RCTs

3.	The Econometrics of Randomized Experiments	73
	S. Athey, G.W. Imbens	
	1. Introduction	75
	2. Randomized Experiments and Validity	78
	3. The Potential Outcome/Rubin Causal Model Framework for Causal Inference	81
	4. The Analysis of Completely Randomized Experiments	85
	5. Randomization Inference and Regression Estimators	94
	6. The Analysis of Stratified and Paired Randomized Experiments	99
	7. The Design of Randomized Experiments and the Benefits of Stratification	102
	8. The Analysis of Clustered Randomized Experiments	109
	9. Noncompliance in Randomized Experiments	114
	10. Heterogenous Treatment Effects and Pretreatment Variables	122
	11. Experiments in Settings With Interactions	131
	12. Conclusion	135
	References	135
4.	Decision Theoretic Approaches to Experiment Design and External Validity	141
	A.V. Banerjee, S. Chassang, E. Snowberg	
	1. Introduction	142
	2. The Framework	145
	3. Perspectives on Experimental Design	147
	4. Rerandomization, Registration, and Preanalysis	153
	5. External Validity	159
	6. Structured Speculation	161
	7. Issues of Particular Interest	165
	8. Conclusion	171
	References	171
5.	The Practicalities of Running Randomized Evaluations: Partnerships,	
	Measurement, Ethics, and Transparency	175
	R. Glennerster	
	1. Collaboration Between Researchers and Implementers	177
	2. Preparing for Practical Pitfalls in Field Experiments	192
	3. Ethics	200
	4. Transparency of Research	216
	5. Conclusion	238
	References	238

6.	The Psychology of Construal in the Design of Field Experiments	245
	E.L. Paluck, E. Shafir	
	1. Introduction	246
	2. Pilot: Seek Shared Construal of Behavior and the Situation Between	
	Investigators and Participants	253
	3. Design: Ensure the Intervention Design, Measurement, and Deployment	
	Achieve Shared Construal Between Investigators and Participants	256
	4. Interpret: How Do Investigators Construe What Matters in the Data?	262
	5. Concluding Thoughts References	265 266
	Therefores	200
Sec	tion III. Understanding Preferences and Preference Change	
7.	Field Experiments in Markets	271
	O. Al-Ubaydli, J.A. List	
	1. Introduction	272
	2. Preamble	274
	3. Main Results	280
	4. Methodological Insights	300
	5. Closing Remarks	302
	References	303
8.	Field Experiments on Discrimination	309
	M. Bertrand, E. Duflo	
	1. Introduction	310
	2. Measuring Discrimination in the Field	315
	3. Consequences of Discrimination	345
	4. What Affects Discrimination?	359
	5. Conclusion	382
	References	383
9.	Field Experiments on Voter Mobilization: An Overview	
	of a Burgeoning Literature	395
	A.S. Gerber, D.P. Green	
	1. Intellectual Context for Emergence of Field Experiments in Political Science	397
	2. How Do Experiments Address the Problems in the Prior Voter Turnout Research?	402
	3. An Overview of the Experimental Literature on Voter Mobilization	406
	4. The Effect of Messaging	417
	References	434

Contents

ix

10. Lab in the Field: Me	easuring Preferences in the Wild	439
U. Gneezy, A. Imas	U. Gneezy, A. Imas	
<ol> <li>Theoretically-Releva</li> <li>Using Lab-in-the Fig</li> <li>RCTs and for Target</li> </ol>	eld for Collecting Covariates as Complements to	44
	n Contexts and Cultures	452
4. External Validity	a contexts and calcales	456
5. Conclusion		459
References		461
11. Field Experiments i	n Marketing	465
D. Simester		
1. Papers that Report	Field Experiments	466
2. Pricing Topics		47
3. Advertising Topics		476
4. Product-Related Top	pics	479
5. Model Validation		480
6. Other Topics		481
7. Designing Experime	ents and Future Topics	484
8. Conclusions	Alica Deceases	488
Appendix: Summary of References	the Papers	490
References		494
Index		499
VOLUME 2		
Contributors		xii
Section I. The Challen	ge of Improving Human Capital	
1. Impacts and Determ	ninants of Health Levels in Low-Income Countries	3
P. Dupas, E. Miguel		
1. Introduction		2
2. Methodological Sec	tion	6
3. Experimental Estima	ates of the Impact of Health on Individual Productivity	18
	structural Determinants of Health	31
	Products and Healthcare	34
6. Supply of Health Ca	ire	69
7. Conclusion		82
References		84

6.	The Personnel Economics of the Developing State	467
	F. Finan, B.A. Olken, R. Pande	
	<ol> <li>Introduction</li> <li>Stylized Facts on the Architecture of the State and the Role of Individuals</li> <li>The Selection and Recruitment of Public Officials</li> <li>Using Incentives to Improve Performance</li> <li>Monitoring Mechanisms and Public Service Delivery</li> <li>Towards Smart(er) Governance: the Promise of e-Governance and Other Avenues</li> <li>Concluding Thoughts</li> <li>Appendix</li> <li>References</li> </ol>	468 470 482 491 500 505 507 509
7.	Designing Social Protection Programs: Using Theory and Experimentation to Understand How to Help Combat Poverty	515
	R. Hanna, D. Karlan	
	<ol> <li>Introduction</li> <li>Redistributive Programs</li> <li>Missing Insurance Markets</li> <li>Behavioral Constraints</li> <li>Market Failures Preventing Asset Accumulation</li> <li>Ideas Only Go So Far: Implementation Matters Too</li> <li>Conclusion: Key Areas for Further Work</li> <li>References</li> </ol>	516 519 528 530 537 542 545 548
8.	Social Experiments in the Labor Market	555
	J. Rothstein, T. von Wachter	
	<ol> <li>Introduction</li> <li>What Are Social Experiments? Historical and Econometric Background</li> <li>A More Thorough Overview of Labor Market Social Experiments</li> <li>Going Beyond Treatment—Control Comparisons to Resolve Additional Design Issues</li> <li>Conclusion</li> <li>References</li> </ol>	556 560 570 596 628 630
nde	X	639

		Contents
2.	The Production of Human Capital in Developed Countries: Evidence From 196 Randomized Field Experiments	95
	R.G. Fryer, Jr.	
	<ol> <li>Introduction</li> <li>A Method for Finding and Evaluating Field Experiments</li> <li>Evidence From 196 Randomized Field Trials</li> <li>Combining What Works: Evidence From a Randomized Field Experiment in Houston</li> <li>Conclusion</li> <li>References</li> </ol>	96 105 110 169 181 307
3.	Field Experiments in Education in the Developing Countries	323
	K. Muralidharan	
	<ol> <li>Introduction</li> <li>Field Experiments in Education—A Short Overview</li> <li>Selected Overview of Field Experiments in Education in Developing Countries</li> <li>Limitations of Field Experiments and Strategies for Mitigating Them</li> <li>Conducting Field Experiments in Education in the Developing Countries</li> <li>Conclusion</li> <li>References</li> </ol>	324 325 330 349 357 376 379
Sec	tion II. Designing Effective Social Programs	
4.	Social Policy: Mechanism Experiments and Policy Evaluations	389
	W.J. Congdon, J.R. Kling, J. Ludwig, S. Mullainathan	
	<ol> <li>Introduction</li> <li>What Are Mechanism Experiments?</li> <li>Why Do Mechanism Experiments?</li> <li>When to Do Mechanism Experiments Versus Policy Evaluations?</li> <li>Conclusion</li> <li>References</li> </ol>	390 394 397 409 422 423
5.	Field Experiments in Developing Country Agriculture	427
	A. de Janvry, E. Sadoulet, T. Suri	
	<ol> <li>Introduction</li> <li>A Review of FEs in Agriculture</li> <li>Agriculture and FEs: A Conceptual Framework</li> <li>Agriculture is Different: Implications for the Design and Implementation of FEs</li> </ol>	428 429 437 441
	5. Discussion: Using FEs to Reveal the Production Function in Agriculture	460

References

xi

463