TABLE OF CONTENTS

| Table of Cases | | xxiii | |
|----------------|----------------------|---|--|
| Ta | Table of Legislation | | xxxiii |
| 1. | | mpensating Victims of Violent Crime: Context and Purpose | 1.01 |
| | Ι | Introduction | 1.01 |
| | II | Establishing the Criminal Injuries Compensation Schemes A Justifications B The common law scheme C The statutory scheme 1. Administration and workload 2. Rethinking the purpose of compensation D Interpretation of the 2012 Scheme E Precedent in the Upper Tribunal | 1.05 1.05 1.11 1.16 1.16 1.19 1.26 1.33 |
| | III | Making Offenders Pay for Their Crimes A Justifications B Compensation orders C Restorative justice | 1.38 1.39 1.42 |
| | | PART I | |
| 2. | Cri | minal Injuries: Victimizing Events | |
| | I | Introduction | 2.01 |
| | Π | Paragraph 4: A Crime of Violence A The nature and the consequences of a crime of violence B Annex B: specific instances of a crime of violence 1. Annex B paragraph 2(1)(a): 'A physical attack' 2. Annex B paragraph 2(1)(b): 'Any other act or omission of a | 2.03 2.05 2.15 2.17 |
| | | violent nature which causes physical injury to a person' 3. Annex B paragraph 2(1)(c): 'A threat against a person, causing fear of immediate violence in circumstances which would cause | 2.23 |
| | | a person of reasonable firmness to be put in such fear' 4. Annex B paragraph 2(1)(d): 'A sexual assault to which a person | 2.28 |
| | | did not in fact consent' 5. Annex B paragraph 2(1)(e): 'Arson and fire-raising' | 2.41 2.59 |

| | | C | Unlawfulness: consent and self-defence | 2.60 |
|----|-----|-----|--|----------------|
| | | | 1. Consent | 2.60 |
| | | | 2. Self-defence | 2.64 |
| | | D | Annex B: specifically excluded injuries | 2.65 |
| | | | 1. Annex B paragraph 4(1)(a): third party injuries arising from | |
| | | | suicide or attempted suicide | 2.66 |
| | | | 2. Annex B paragraph 4(1)(b): the use of a vehicle | 2.69 |
| | | | 3. Annex B paragraph 4(1)(c): animal attacks | 2.78 |
| | | | 4. Annex B paragraph 4(1)(d): injuries sustained in sport and | |
| | | | other activities | 2.87 |
| | | | 5. Annex B paragraph 4(1)(e): foetal injury caused by substance abu | se 2.91 |
| | | E | Omitted injuries | 2.95 |
| | | | 1. Poisoning | 2.95 |
| | | | 2. Trespass on a railway | 2.96 |
| | III | Pa | urargraph 5: Law Enforcement | 2.99 |
| | | | Introduction | 2.99 |
| | | В | Law enforcement: the purposes | 2.102 |
| | | | 1. Paragraph 5(1)(a): 'apprehending an offender or suspected | |
| | | | offender' | 2.103 |
| | | | 2. Paragraph 5(1)(b): 'preventing a crime' | 2.105 |
| | | | 3. Paragraph 5(1)(c): 'containing or remedying the consequences | 2 10/ |
| | | | of a crime' | 2.106 |
| | | | 4. Paragraph 5(1)(d): assisting a constable who is acting for one or | 2.106 |
| | | | more of the purposes described in paragraphs (a) to (c) | 2.106 |
| | | C | Law enforcement: 'An exceptional and justified risk' | 2.107 |
| | | | 1. Background | 2.107 2.110 |
| | | | 2. Interpretation | 2.110 |
| | | | 3. Paragraph 5(1): 'an exceptional risk': a subjective test | 2.117 |
| | | | 4. Paragraph 5(1): 'a justified risk': an objective test5. Paragraph 5(2): the normal course of work and the relevance of | 2.11/ |
| | | | training | 2.118 |
| | No. | | | |
| | IV | | aragraph 6: Secondary Victims: 'Loved Ones' | 2.122 |
| | | A | Introduction | 2.122 |
| | | В | The primary and the secondary victims' criminal injuries | 2.125 |
| | | C | | 2.128 |
| | | D | Event proximity | 2.130 |
| | | | 1. 'Being present at and witnessing an incident' in which the | 2 121 |
| | | | primary victim is criminally injured | 2.131 |
| | | | 2. 'Being present at and witnessing the immediate aftermath of | 2.138 |
| | | | an incident' in which the primary victim was criminally injured | 2.130 |
| 3. | Eli | gib | le Injuries and Eligible Persons | |
| | Ι | Ir | ntroduction | 3.01 |
| | II | F | ligible Injuries | 3.02 |
| | 11 | | Extent | 3.02 |
| | | 11 | 1. 'A relevant place' | 3.02 |
| | | | 2. Criminal injuries sustained abroad | 3.06 |

| | | B Proof of the criminal injury | 3.11 |
|----|-------|--|-------|
| | | 1. The burden of proof | 3.11 |
| | | 2. The standard of proof | 3.12 |
| | | C The relevance of convictions and acquittals | 3.13 |
| | | 1. Conviction of an offence | 3.13 |
| | | 2. Absence of a conviction | 3.17 |
| | | D Causation | 3.32 |
| | | 1. The test of causation under the pre-2012 Schemes | 3.32 |
| | | 2. The test of causation under the 2012 Scheme | 3.39 |
| | | E Personal injuries: definition and scope | 3.45 |
| | | 1. Introduction | 3.45 |
| | | 2. Annex E Part A: physical injury | 3.50 |
| | | 3. Annex E Part A: mental injury | 3.56 |
| | * | 4. Injuries not listed in the tariff | 3.64 |
| | | | |
| | Π | Eligible Persons | 3.66 |
| | | A Residence and status | 3.66 |
| | | 1. Paragraph 10(a): 'ordinary residence' | 3.68 |
| | | 2. Paragraph 10(b): British, EU, and EEA citizens, and the armed | |
| | | forces | 3.70 |
| | | 3. Paragraph 10(c): victims of human trafficking and | 0.70 |
| | | asylum-seekers | 3.73 |
| | | B Adult victims | 3.75 |
| | | C Child victims and child abuse | 3.76 |
| | | 1. Injuries sustained in the womb | 3.79 |
| | | D Fatal injuries: 'qualifying relatives' | 3.88 |
| | | 1. Spouses, civil partners, and cohabitants | 3.90 |
| | | 2. Parents and children | 3.94 |
| | | 3. The proviso in Annex A | 3.95 |
| | | E Victims of domestic violence | 3.96 |
| | | 1. The construction of the 'same roof' rule in the scheme | 3.96 |
| | | 2. The 'same roof' rule in the 2012 Scheme | 3.100 |
| | | | |
| 4. | Elig | ibility: Cooperation, Conduct, Convictions, and Character | |
| | | | 4.01 |
| | Ι | The Policy Context | 4.01 |
| | II | Cooperation with the Criminal Justice Agencies and with | |
| | | the CICA | 4.04 |
| | | A Paragraph 22: reporting the incident to the police | 4.06 |
| | | 1. Mitigating factors | 4.07 |
| | | B Paragraph 23: cooperating in bringing the assailant to justice | 4.12 |
| | | C Paragraph 24: assistance in connection with the application | 4.19 |
| | *** | | |
| | 111 | Paragraph 25: Conduct 'Before, During or after the Incident' | 4.22 |
| | | A The claimant's own violent conduct | 4.26 |
| | | 1. Acting in an aggressive or threatening way/provoking the incident | 4.27 |
| | | 2. Intentional provocation of an assault or fight | 4.28 |
| | | 3. A history of violence with the assailant or challenging them over | 100 |
| | | a previous incident | 4.33 |

| | | B The claimant's other conduct 'before, during or after the incident'1. Temporal limits on the scope of paragraph 25C The relevance of the claimant's intoxication | 4.34 4.37 4.41 |
|----|-----|--|--|
| | IV | Paragraph 26: Convictions A Spent convictions under the tariff schemes B Unspent convictions under the earlier tariff schemes: 'penalty points' C Unspent convictions under the 2012 Scheme 1. Paragraph 26 of the Scheme and Annex D paragraph 3: 'the exclusionary rule' 2. The scope and implementation of Annex D paragraph 4 | 4.45 4.49 4.50 4.58 4.58 4.75 |
| | V | Paragraph 27: Character | 4.83 |
| | VI | Paragraph 28: Fatal Cases | 4.89 |
| 5. | Ass | essment of Compensation | |
| | Ι | Introduction A Minimum and maximum awards under the 2012 Scheme 1. The minimum award 2. The maximum award | 5.01 5.10 5.10 5.12 |
| | II | Paragraphs 32–41: Injury Payments A The categorical nature of the tariff B Annex E Part B: sexual and physical abuse 1. 'A pattern of abuse' 2. Physical abuse of children and adults, including domestic abuse 3. Sexual offences against children and adults C Annex E Part B: other tariff payments D Acceleration or exacerbation of an existing injury E Multiple injury payments 1. Multiple minor injuries 2. The multiple injury formula | 5.14 5.20 5.23 5.30 5.31 5.34 5.42 5.42 5.45 |
| | III | Paragraphs 42–49: Loss of Earnings Payments A Introduction B Loss of earnings payments under the 2012 Scheme | 5.63 5.63 5.68 |
| | | Paragraphs 50–56: Special Expenses Payments A Introduction B Paragraph 51: special expenses: prior conditions 1. A necessary expense 2. An expense not free of charge from another source 3. A reasonable expense | 5.76 5.78 5.79 5.80 5.81 |
| | | C. The types of special expenses 1. Paragraph 52(a): property or equipment on which the applicant relies 2. Paragraph 52(b): medical expenses 3. Paragraphs 52(c) and 53: special equipment | 5.82 5.83 5.85 5.87 |

| | | Paragraph 52(d): adaptation of the applicant's accommodation | 5.89 |
|-----|-------|--|-------|
| | | 5. Paragraph 52(e): the cost of care in connection with the applicant's bodily functions or meal preparation | 5.90 |
| | (| 6. Paragraph 52(f): supervision to avoid substantial danger to the | 2.7 |
| | , | applicant or another person | 5.97 |
| | - | 7. Gratuitous care and supervision | 5.99 |
| | | 3. Paragraphs 52(g), (h), and (i): costs relating to the | |
| | | administration of the award | 5.102 |
| V | Par | agraphs 57–84: Payments in Fatal Cases | 5.103 |
| | | Introduction | 5.103 |
| | В | Funeral payments under all schemes | 5.105 |
| | | Death as a direct result of sustaining a criminal injury | 5.108 |
| | | 1. Paragraphs 61–63: bereavement payments | 5.109 |
| | | 2. Paragraphs 64–66: child's payments | 5.110 |
| | | 3. Dependency payments | 5.115 |
| | D | Death as a result of an unrelated cause | 5.121 |
| V | De | ductions and Other Payments | 5.124 |
| | | Introduction | 5.124 |
| | В | Paragraphs 54–56: deductions from special expenses payments | 5.128 |
| | C | Paragraph 85: payments by the offender and under other state | |
| | | compensation | 5.131 |
| | | 1. Paragraph 85(1)(a): criminal injuries compensation or a similar | F 100 |
| | | payment | 5.132 |
| | | 2. Paragraph 85(1)(b): an order for damages from a civil court | 5.134 |
| | | 3. Paragraph 85(1)(c): an agreed settlement of a damages claim | 5.135 |
| | | 4. Paragraph 85(1)(d): a compensation order or offer made during | 5 126 |
| | | criminal proceedings | 5.136 |
| | | 5. Notification and recovery of payments | 5.139 |
| . A | plica | tions, Awards, Review, Appeals, and Judicial Review | |
| a I | | roduction | 6.01 |
| II | | plications (Paragraphs 86–97) | 6.02 |
| 11 | _ | Time limits | 6.02 |
| | Λ | The standard time limit | 6.03 |
| | | 2. Extending the standard time limit under the earlier schemes | 6.06 |
| | | 3. Extending the standard time limit under the 2012 Scheme | 6.19 |
| | | 4. Applications by or on behalf of children | 6.26 |
| | | 5. Applications on behalf of an adult who cannot apply himself | 6.31 |
| | | 6. Challenging a refusal to waive the time limit | 6.32 |
| | В | Paragraphs 90–92: completing the application | 6.33 |
| | | Paragraphs 93–96: costs associated with the application | 6.41 |
| | | 1. Costs of representation | 6.41 |
| | | 2. Medical costs | 6.44 |
| | D | False and fraudulent applications | 6.47 |
| | | Paragraph 98: deferring the determination of an application | 6.48 |

| | F | Paragraphs 99–108: determination and payment | 6.50 |
|-----|----|--|--------------|
| | C | 1. CICA's approach to the determination of the application Paragraphs 99–104: notice, acceptance, or review of the determination | 6.50 6.53 |
| | G | Paragraphs 99–104: notice, acceptance, of feview of the determination | |
| III | | vards (Paragraphs 105–108) | 6.57 |
| | A | Paragraph 108: entitlement | 6.57 |
| | B | Paragraphs 105–107: payment | 6.58 |
| | | 1. Paragraph 106(a): interim awards | 6.60 |
| | | 2. Paragraph 106(b) and (f): establishing a trust to administer the | |
| | | award | 6.61 |
| | | 3. Paragraph 106(c): retaining the award until the applicant's 18th | |
| | | birthday | 6.63 |
| | C | Paragraphs 109–113: reconsideration and repayment | 6.65 |
| | | 1. Reconsideration | 6.65 |
| | _ | 2. Repayment | 6.73 |
| | D | Paragraphs 114–116: reopening an application | 6.76 |
| | | 1. A 'material' change | 6.78 |
| | | 2. The 'injustice' caused by the material change | 6.83 |
| | | 3. Injustice and the applicant's eligibility | 6.86 |
| | | 4. The time limit to reopen an application | 6.91 |
| IV | Re | eview (Paragraphs 117–124) | 6.96 |
| | | Introduction | 6.96 |
| | | Paragraphs 117–118: grounds for a review | 6.97 |
| | | Paragraphs 119–120: time limits to apply for a review | 6.99 |
| | D | Paragraphs 121–124: conducting the review | 6.100 |
| V | A | opeals (Paragraphs 125–134) | 6.104 |
| | | Introduction | 6.104 |
| | В | Paragraphs 125-128: notice and formalities of appeal | 6.108 |
| | C | Extending the time limit for giving notice of appeal | 6.111 |
| | D | The First-tier Tribunal's procedures | 6.118 |
| | | 1. Hearings | 6.121 |
| | | 2. The conduct of a hearing | 6.132 |
| | E | Decisions | 6.143 |
| | | 1. The permissible limits of the First-tier Tribunal's decisions | 6.143 |
| | | 2. The correctness and adequacy of the First-tier Tribunal's reasons | 6.149 |
| | | 3. Notification of decision | 6.154 |
| VI | Ju | dicial Review | 6.156 |
| | A | Introduction | 6.156 |
| | В | Application for permission to bring a judicial review | 6.159 |
| | | 1. Grounds for a judicial review | 6.159 |
| | C | Time limit for seeking a judicial review | 6.163 |
| | D | Costs in respect of an application for judicial review | 6.168 |
| | E | Hearings | 6.171 |
| | F | Decisions | 6.173 |
| | | 1. Decision alternatives | 6.173 |
| | | 2. Decision formalities and appeal | 6.176 |
| | G | The role of the Upper Tribunal | 6.178 |

PART II

| 7. | Cor | npensation Orders: Eligible Injuries and Persons | |
|----|-----|---|--|
| | I | Introduction | 7.01 |
| | II | The Liability of the Offender A Criminal liability B Civil liability | 7.05 7.05 7.13 |
| | III | Eligible Personal Injuries A Scope B Injuries caused by road traffic offences C Causation | 7.16 7.16 7.20 7.23 |
| | IV | Eligible Persons A Non-fatal injuries B Fatal injuries | 7.28 7.28 7.30 |
| | V | The Sentencing Court's Duties | 7.33 |
| 8. | Con | mpensation Orders: Assessment of Compensation | |
| | I | Introduction | 8.01 |
| | III | Financial Evaluation of the Victim's Injury A Evidence of injury B Sufficiency of evidence as to the extent of injury C Determining the quantum of compensation 1. Introduction 2. Financial loss 3. Pain and suffering D Financial limits on compensation orders E Multiple offences, defendants, and victims 1. Multiple offences 2. Multiple defendants 3. Multiple victims Financial Evaluation of What the Defendant Can Pay A Introduction B Assessing the offender's capacity to pay the order | 8.02 8.08 8.13 8.13 8.14 8.16 8.27 8.30 8.32 8.34 8.35 8.35 |
| | | The offender's current and future means Payment by instalments The sufficiency of the offender's means and the assessment of the victim's injury | 8.39 8.48 8.53 |
| | IV | Other Financial Recovery by the Victim A Introduction B Compensation orders and civil damages 1. Compensation order made and fully paid: damages for a greater | 8.62 8.62 8.65 |
| | | sum 2. Compensation order made but not fully paid: damages for a | 8.69 |
| | | greater sum | 8.71 |

| 3. Compensation order made but not fully paid: damages for a | |
|--|---|
| lesser sum | 8.73 |
| lesser sum | 8.74 |
| C An award under the Criminal Injuries Compensation Scheme | 8.76 |
| mpensation Orders as a Sentence of the Court | |
| Introduction | 9.01 |
| Procedure: Appeal, Review, and Enforcement A Appeal B Review C Enforcement | 9.04 9.04 9.07 9.11 |
| Compensation Orders, Sentencing Theory, and Practice | 9.16 |
| - | 9.22 9.23 9.23 9.27 9.28 9.31 9.36 |
| Compensation Orders and Other Financial Payments A Confiscation orders 1. The meaning of 'proceedings' in POCA 2002 section 6(6) 2. 'Double counting': disproportionate orders 3. Priority of the compensation order B The victim surcharge C Slavery and trafficking reparation orders D Prosecution costs E Criminal court charge F Unpaid financial orders | 9.39 9.39 9.44 9.46 9.52 9.55 9.62 9.62 9.65 |
| Compensation Orders as the Sole Sentence | 9.69 |
| Compensation and Restorative Justice | |
| Restorative Justice and Criminal Justice Policy | 10.01 |
| Adult Offenders A Pre-trial: the caution 1. The 'simple caution' 2. Conditional cautions B Post-trial 1. Deferment of sentence 2. Restorative justice as a sentencing condition | 10.07 10.07 10.07 10.08 10.17 10.17 |
| | lesser sum 4. Compensation order made and fully paid: damages for a lesser sum C An award under the Criminal Injuries Compensation Scheme Introduction Procedure: Appeal, Review, and Enforcement A Appeal B Review C Enforcement Compensation Orders, Sentencing Theory, and Practice Compensation as an Ancillary Sentence A Introduction B Custodial sentences 1. Immediate custody 2. Suspended sentence orders C Community sentences D Fines and discharge E Young offenders Compensation Orders and Other Financial Payments A Confiscation orders 1. The meaning of 'proceedings' in POCA 2002 section 6(6) 2. 'Double counting': disproportionate orders 3. Priority of the compensation order B The victim surcharge C Slavery and trafficking reparation orders D Prosecution costs E Criminal court charge F Unpaid financial orders Compensation Orders as the Sole Sentence Compensation and Restorative Justice Restorative Justice and Criminal Justice Policy Adult Offenders A Pre-trial: the caution 1. The 'simple caution' 2. Conditional cautions B Post-trial |

| 10.23 |
|-------|
| 10.23 |
| 10.23 |
| 10.26 |
| 10.28 |
| 10.29 |
| 10.35 |
| 10.37 |
| 10.39 |
| 222 |
| 323 |
| 333 |
| |