

# CONTENTS

Foreword	v
Bibliography	xvi
Abbreviations	xvii
List of Tables	xix
Note on Citation and Reporting of European Court Cases	xxi
Table of Community Treaties	xxv
Table of United Kingdom Statutes	xxvi
Tables of Cases	xxvii

## PART I. COMMUNITY INSTITUTIONS

Introduction	3
Chapter 1. The Political Institutions	11
The Commission	11
<i>Composition</i>	11
<i>Legislative Powers</i>	14
<i>Decision-making Procedure</i>	15
<i>Effectiveness</i>	16
The Council	17
<i>COREPER</i>	18
<i>Voting</i>	19
<i>The European Council</i>	23
Common Foreign and Security Policy	23
Co-operation in the Fields of Justice and Home Affairs	26
The European Parliament	27
<i>Composition</i>	27
<i>Pay of MEPs</i>	30
<i>Privileges and Immunities</i>	30
<i>Political Parties</i>	30
<i>Committees</i>	31
<i>Parliamentary Questions</i>	31
<i>Proposals, Inquiries, and Petitions</i>	32
<i>The Ombudsman</i>	32
<i>Consultation</i>	33
<i>Veto Rights</i>	35
<i>Approval of the Commission</i>	36



viii *Contents*

<i>Censure of the Commission</i>	37
<i>Conclusions</i>	37
The Economic and Social Committee	37
The Committee of the Regions	38
The Legislative Process	38
<i>The Basic Procedure</i>	39
<i>The Co-operation Procedure</i>	41
<i>The Joint Legislative Procedure</i>	44
The Budgetary Procedure	49
Conclusions	53
Further Reading	55
 Chapter 2. The European Court and the Court of Auditors	57
The European Court	57
<i>Judges</i>	57
<i>Advocates General</i>	60
<i>The Registrar</i>	62
<i>Legal Secretaries</i>	62
<i>Specialized Services</i>	62
The Court of First Instance	63
Jurisdiction	64
<i>Jurisdiction of the Court of First Instance</i>	68
<i>Appeals from the Court of First Instance</i>	68
Procedure	69
<i>The Written Proceedings</i>	70
<i>Admissibility</i>	70
<i>Preparatory Inquiry</i>	70
<i>Oral Procedure</i>	71
<i>Judgment</i>	71
<i>Execution</i>	72
<i>Special Procedures after Judgment</i>	72
<i>Preliminary Rulings</i>	73
<i>Lawyers</i>	74
Multilingualism	75
<i>Court Procedure</i>	78
<i>Drafting the Judgment</i>	79
The Form of Judgment	81
Precedent	83
Interpretation	85
Policy	86
The Court of Auditors	90
Further Reading	91



## PART II. THE COMMUNITY LEGAL SYSTEM

Introduction	95
Further Reading	97
 Chapter 3. Acts of the Member States	 98
The Constitutive Treaties	98
Subsidiary Conventions	102
Acts of the Representatives of the Member States	105
Further Reading	106
 Chapter 4. Community Acts	 107
Classification	107
Legislative Powers of the Community	110
Delegation of Powers	119
<i>Delegation to the Commission</i>	120
<i>Delegation to Outside Bodies</i>	122
<i>Delegation to Member States</i>	125
<i>Conclusions</i>	126
Form	126
<i>Proposals and Opinions</i>	126
<i>Reasons</i>	128
Procedure	134
Publication and Notification	135
Further Reading	136
 Chapter 5. General Principles of Law	 137
Fundamental Human Rights	139
Legal Certainty	149
<i>Retroactivity and Vested Rights</i>	149
<i>Legitimate Expectations</i>	152
Proportionality	155
Equality	156
The Right to a Hearing	158
Legal Professional Privilege	160
Subsidiarity	161
Further Reading	164
 Chapter 6. Agreements with Third Countries	 165
The Treaty-making Power of the EC	165
<i>Express Powers</i>	165



x *Contents*

<i>Implied Powers</i>	167
<i>Legal Proceedings</i>	176
<i>Conclusions</i>	178
The Treaty-making Power of the ECSC	179
The Treaty-making Power of Euratom	180
Acts of Institutions Established by Agreements with Third Countries	182
International Agreements and the Community Legal System	182
<i>Binding the Community</i>	184
<i>Binding the Member States</i>	187
<i>Validity</i>	187
Further Reading	188

PART III. COMMUNITY LAW AND THE MEMBER  
STATES

Introduction	193
Further Reading	194
Chapter 7. Direct Effect and the Supremacy of Community Law	195
The Principle of Direct Effect	195
Jurisdiction	196
The First Requirement	197
The Second Requirement	199
<i>Clear and Unambiguous</i>	200
<i>Unconditional</i>	202
<i>Not Dependent on Further Action</i>	205
<i>Conclusions</i>	205
Treaty Provisions	206
Regulations	206
Directives	210
<i>The First Step</i>	210
<i>The New Principle</i>	211
<i>The Importance of the Deadline</i>	213
<i>Differences between Directives and Regulations</i>	215
<i>Vertical and Horizontal Direct Effect</i>	216
<i>Indirect Effect</i>	222
<i>Governmental Liability for Non-Implementation</i>	225
<i>Conclusions</i>	227
Decisions	227
Agreements with Third Countries	228
Acts of Institutions Established by Agreements with Third Countries	230



Remedies	230
The Supremacy of Community Law and the Restriction of National Powers	234
Further Reading	236
 Chapter 8. The National Reaction	 238
The Constitutional Basis of Community Supremacy	238
Some Special Problems	240
<i>Belgium: A Triumph for Community Law</i>	240
<i>Constitutional Problems in Germany and Italy</i>	242
<i>France: Acceptance and Rebellion</i>	247
Community Law in the United Kingdom	256
<i>The European Communities Act</i>	256
<i>The Community Treaties</i>	257
<i>Direct Effect</i>	259
<i>Implementation</i>	260
<i>Enforcement of Judgments</i>	261
<i>Supremacy of Community Law</i>	262
Further Reading	264
 Chapter 9. Preliminary References	 266
Which Provisions May Be Referred?	268
<i>Treaties</i>	269
<i>Subsidiary Conventions</i>	269
<i>Acts of the Representatives of the Member States</i>	270
<i>Community Acts</i>	270
<i>General Principles of Law</i>	271
<i>Agreements with Non-Member States</i>	271
<i>Acts of Institutions Established by Agreements with Non-Member     States</i>	274
<i>National Provisions Based on Community Law</i>	274
Which Courts Are Covered?	275
<i>Power to Refer</i>	276
<i>Obligation to Refer</i>	281
<i>Preliminary Rulings on Validity</i>	285
Hypothetical Questions and Contrived Proceedings	287
When Should a Reference be Made?	288
<i>The Law</i>	289
<i>Discretion</i>	293
Procedure	295
<i>English Courts</i>	295
<i>The Reference</i>	296



xii *Contents*

<i>Appeals against an Order for Reference</i>	296
<i>The European Court</i>	298
Interpretation and Application	299
Effects of Preliminary Rulings	301
Further Reading	302
 Chapter 10. Enforcement Actions	 303
What Constitutes a Violation?	305
<i>Provisions Covered</i>	305
<i>Violations by the Legislature or Judiciary of a Member State</i>	308
The Administrative Stage	310
<i>Commission Discretion</i>	311
<i>Recording the Violation</i>	313
<i>Time-Limit for Commission Action</i>	315
<i>Consequences of Procedural Defects</i>	316
The Judicial Stage	317
Remedies where the Commission Fails to Act	319
Actions by Member States	322
Interim Measures	324
Restitution and Damages	328
Effectiveness	331
Further Reading	335

PART IV. ADMINISTRATIVE LAW

Introduction	339
Further Reading	341
 Chapter 11. Reviewable Acts	 342
The <i>Noordwijks Cement Accoord</i> Case	344
Problem Cases	346
Void and Voidable Acts	353
The Author of the Act	357
Further Reading	360
 Chapter 12. Locus Standi	 361
Privileged Applicants	361
Non-privileged Applicants: Basic Requirements under the EC Treaty	362
The Relationship Between the Requirements	367
Individual Concern and the Nature of a Decision	368
<i>Small Groups</i>	369
<i>Open and Closed Categories: Regulations</i>	370



<i>Open and Closed Categories: Decisions</i>	375
<i>Special Considerations</i>	376
Quasi-Judicial Determinations	378
<i>Competition Proceedings</i>	378
<i>Anti-Dumping Proceedings</i>	379
<i>State Aid</i>	382
<i>Conclusions</i>	383
Direct Concern	383
Non-Privileged Applicants: The ECSC Treaty	387
Further Reading	391
 Chapter 13. Failure to Act	 393
Negative Decisions	395
Definition of Position	397
Parties to the Proceedings	399
Reviewable Omissions	400
<i>Preliminary Acts</i>	402
<i>Failure to Repeal an Act</i>	404
The Request for Action	407
<i>Time-Limit for Making Request</i>	408
<i>Locus Standi</i>	411
Form of Judgment	415
Further Reading	415
 Chapter 14. Indirect Challenge	 416
Treaty Provisions	418
<i>EC</i>	418
<i>ECSC</i>	419
What Acts May Be Challenged?	420
Who May Make the Challenge?	423
In What Proceedings May the Challenge be Made?	424
On What Grounds May the Challenge be Made?	424
The Effect of a Successful Challenge	425
Non-existent Acts	426
Further Reading	426
 Chapter 15. Grounds of Review	 427
The Formal Grounds	428
<i>Lack of Competence</i>	428
<i>Infringement of an Essential Procedural Requirement</i>	429
<i>Infringement of the Treaty or of any Rule of Law Relating to its Application</i>	431



<i>Misuse of Powers</i>	433
The Time Factor	436
Interest	438
Mistake of Fact	440
<i>The ECSC Treaty</i>	441
<i>The EC Treaty</i>	443
Failure to Act	445
<i>Requirement to Act</i>	446
<i>Power to Act</i>	447
Conclusions	448
Further Reading	448
 Chapter 16. Annulment and Revocation	 449
Annulment	449
<i>Retroactivity</i>	449
<i>Compliance with the Judgment</i>	451
<i>Partial Annulment</i>	451
<i>Rejection of Application</i>	452
Indirect Challenge	453
Revocation	454
<i>Lawful Acts</i>	454
<i>Unlawful Acts</i>	454
Further Reading	458
 Chapter 17. Community Obligations	 459
Contract	459
<i>Jurisdiction of the European Court</i>	459
<i>Choice of Law</i>	461
Quasi-Contract	463
<i>Substantive Law</i>	464
<i>Jurisdiction</i>	465
Tort	467
<i>Acts Imputable to the Community</i>	471
<i>Damage and Causation</i>	476
<i>Fault</i>	479
Liability for Acts Intended to Have Legal Effects	482
<i>The 'Plaumann Doctrine'</i>	484
<i>The 'Schöppenstedt Formula'</i>	487
<i>First Requisite</i>	488
<i>Second Requisite</i>	490
<i>Third Requisite</i>	496
<i>Conclusions</i>	498



Concurrent Liability: The Community and the Member States	498
<i>Restitution</i>	504
<i>Statutory Obligation</i>	504
<i>No National Remedy</i>	504
<i>Tort</i>	506
Further Reading	507
Index	509