Table of Contents

Fo	reword	ix
Pr	eface	Xi
Ac	eknowledgments	xiii
Int	troduction	XV
Ch	apter 1	
	stinguishing Confidentiality from Privacy: A Possible Definition	1
	A Brief Glance at History	1
	Distinguishing Confidentiality from Privacy: A Possible Definition	3
	A Proposed Classification	6
		2
Ch	napter 2	
Th	ne Legal Basis of Confidentiality	9
1.	The Express Agreement on Confidentiality	9
2.	Confidentiality as an Implied Term in the Agreement to Arbitrate	14
3.	The Rules of International Arbitral Institutions	17
4.	National Arbitration Laws	21
	napter 3	
	ne Scope of the Duty to Maintain Confidentiality	27
1.	Arbitral Confidentiality in Comparative Court Practice	27
	1.1. Confidentiality Surrounding the Existence of Arbitral	
	Proceedings	29

Table of Contents

	1.2.	Confidentiality in the Course of Arbitral Proceedings					
		1.2.1.	_	of Innocence: From Oxford Shipping to Dolling	20		
		1 2 2		d beyond over the Shores: Esso Australia Resources Ltd.	32		
		1.4.4.		James Plowman	37		
		1.2.3.	•	rican View in the Midst of the	J ,		
		10200		ustralian Debate	40		
		1.2.4.		Storm in the Pacific: Ali Shipping v. Shipyard			
				Iyanma Yaung v. Win Win Nu and beyond	43		
	1.3.	Heads	0	Confidentiality or Disclosure of Awards in			
			ent Contex		49		
		1.3.1.	The Mak	ing of the Award: Secrecy of			
			Deliberat	ions	49		
		1.3.2.	The Time	e for Challenges: Confidentiality Ad			
			(in)Finitu		51		
				A French View: G. Aita v. A. Ojjeh	52		
			1.3.2.2.	The English Approach: City of			
				Moscow v. Bankers Trust	53		
				1.3.2.2.1. The Proceedings before the High			
				Court	54		
				1.3.2.2.2. The Proceedings before the Court	70		
			1 2 2 2	of Appeals	58		
			1.3.2.3.	The Position on Confidentiality in	(0		
			1 2 2 1	New Zealand	60		
		1 2 2		The Swiss Solution	62		
		1.3.3.		rior Awards in Subsequent Proceedings	64		
				Disclosure of Awards to Establish a Defense or a Cause of Action: <i>Hassneh</i>			
				Insurance and Ali Shipping	65		
			1.3.3.2.	Disclosure of Awards for the Enforcement of	03		
			1.5.5.4.	Rights: Lloyd's Syndicate and Aegis v.			
				European Re	68		
2.	The	Scope of	of the Duty	to Maintain Confidentiality in the Rules			
	of International Arbitration Institutions						
	of International Arbitration Institutions 2.1. The Duty of Confidentiality in Various Arbitration Rules:						
	A Comparative Analysis						
		2.1.1.	The Duty	to Maintain Confidentiality over the Existence			
			of Arbitra	al Proceedings	75		
		2.1.2.	The Duty	to Maintain Confidentiality over Unfolding			
			Arbitral I	Proceedings	76		
		2.1.3.	Confiden	tiality in the Making of and after the Issuance of			
			the Awar		80		
				Confidentiality of Deliberations	80		
			2.1.3.2.	Confidentiality and Arbitral Awards	82		

	2.2.	Two P	'articular '	Views upon	the Duty to Maintain Confidentiality		
		in Inte	ernational	Arbitration		87	
		2.2.1.	The Duty to Maintain Confidentiality under the				
			Framewo	ork of the V	WIPO Arbitration Rules	88	
			2.2.1.1.	Acquiring	the Character of Confidential		
				Informatic	on under Article 52	89	
			2.2.1.2.	The Duty	to Maintain Confidentiality in the		
				Course of	Arbitral Proceedings	90	
				2.2.1.2.1.	The Duty to Maintain		
					Confidentiality over the Existence		
					of Arbitral Process	90	
				2.2.1.2.2.	The Duty to Maintain		
					Confidentiality during the Arbitral		
					Proceedings	91	
				2.2.1.2.3.	The Confidentiality of the Award		
					under Articles 75 and 76	92	
		2.2.2.	An End	to Confider	ntiality? The Recent Trends towards		
			Greater	Transparence	ey in ICSID Arbitration	93	
			2.2.2.1.	Dealing w	ith Confidentiality in ICSID		
				Arbitration	18	94	
			2.2.2.2.	A Case La	aw Perspective on Confidentiality in		
				ICSID Arl	oitration: From Amco Asia to		
				Biwater G	auff and Beyond	97	
3.	Typo	ology of	Limitation	ons to the S	cope of Confidentiality	108	
	3.1.	Propos	sing a Cla	ssification		109	
	3.2.	Disclo	sure by C	onsent		112	
		3.2.1.	Express	Consent		112	
		3.2.2.	Consent	to Disclose	Implied as a Matter of Conduct	113	
	3.3.	Disclo	sure in th	e Public Int	terest	114	
	3.4.	Disclo	sure in th	e Interests of	of Justice	122	
	3.5.	Statuto	ory Obliga	ations of Di	sclosure	126	
Ch	apter	4					
Ac	tors F	Bound b	y the Du	ty to Maint	tain Confidentiality	133	
1.	Parti	es				134	
2.	Lega	al Couns	sel			139	
3.	The Arbitral Tribunal						
4.	Arbi	tral Inst	itutions			148	
5.	Third Parties						
	5.1.	Witnes	sses			151	
	5.2.	Expert	S			153	
	5.3.	Confid	lentiality A	Advisors		156	
	5.4.	Resear	chers in A	Arbitral Inst	titutions	157	
	5.5.	Other	Actors			158	