

CONTENTS

List of Tables	xxiii
List of Annexes and Appendices	xxv
Chapter One Introduction to Maritime Security Law	1
1.1 Introduction	1
1.2 What is “Maritime Security Law”?	5
1.2.1 The Protean Nature of Maritime Security Law	7
1.2.2 The Influence of Law on Sea Power	11
1.2.3 Influence of Law on Maritime Security	12
1.3 Building a Public Order of the Oceans	15
1.3.1 From Westphalia to Montego Bay	16
1.3.2 Building a Global Maritime Partnership	19
1.4 Conclusion	23
Chapter Two American Maritime Security Policy and Strategy	25
2.1 Introduction	25
2.2 U.S. Maritime Security Policy	27
2.3 National Strategy for Maritime Security	30
2.4 National Strategy for Global Supply Chain Security	33
2.5 U.S. Maritime Strategy for Homeland Security	35
2.6 Cooperative Strategy for 21st Century Sea Power	36
2.6.1 Naval Operations Concept	38
2.6.2 Expeditionary Sea Power	40
2.6.3 Pivot to Asia and the Air-Sea Battle Concept	42
Chapter Three European Maritime Strategy and Policy	47
3.1 North Atlantic Treaty Organization	47
3.1.1 NATO Alliance Maritime Strategy	49
3.1.1.1 Collective Defense	50

3.1.1.2	Crisis Management	50
3.1.1.3	Cooperative Security	51
3.1.1.4	Maritime Security Operations	52
	a. Nato Maritime Organization	53
	b. Operation Active Endeavor	54
	c. Ocean Shield: Counter-piracy	55
3.1.1.5	Promotion of International Law	57
3.2	European Union	58
3.2.1	Blue Book—Integrated Maritime Policy	62
3.2.1.1	Maritime Surveillance	62
3.2.1.2	Naval Capabilities	64
3.2.1.3	Anti-piracy Operations	64
3.2.1.4	A Security Strategy for the Global Maritime Domain	66
3.3	Chiefs of European Navies Maritime Operational Concept	66
3.4	U.K. Future Maritime Operational Concept	70
Chapter Four	Peacetime Zones and Control Measures	75
4.1	Temporary Suspension of Innocent Passage	75
4.2	Offshore Installation Safety Zones	76
4.2.1	IMO Safety Zones	78
4.2.2	U.S. Safety Zones	82
4.2.3	U.K. Safety Zones	84
4.3	World-Wide Navigational Warning Service	85
4.3.1	U.S. Navigational Warnings	88
4.3.1.1	HYDROPAC: Strait of Hormuz—Iranian Naval Exercises	88
4.3.1.2	HYDROLANT: Mediterranean Sea—Hazardous Operations	89
4.3.1.3	Special Warning: Cuba	90
4.3.1.4	Special Warning: Papua New Guinea—Political Unrest	90
4.3.1.5	Special Warning: Morocco—Aggressive Maritime Enforcement	91
4.3.1.6	Special Warning: Persian Gulf—UN Security Council Enforcement Action	91
4.3.1.7	Special Warning: Sri Lanka—Combating Terrorist Threats	92
4.3.1.8	Special Warning: Yemen—Threats to U.S. Citizens	93
4.3.1.9	Special Warning: Iran—Danger to Shipping	93
4.3.1.10	Special Warning: Sierra Leone—Dangerous Port ...	94
4.3.1.11	Special Warning: United States—Worldwide Defensive Measures	94

4.3.1.12	Special Warning: Persian Gulf—Military Operations	95
4.3.1.13	Special Warning: East Africa—Terrorist Threat	96
4.3.1.14	Special Warning: Yemen—Terrorist Threat	96
4.3.1.15	Special Warning: Somalia—Piracy	97
4.3.2	U.S. Maritime Administration Advisories	97
4.3.2.1	Reporting Terrorist Incidents	98
4.3.2.2	Regional Terrorist and Piracy Threat	98
4.3.2.3	Vessels Transiting High Risk Waters (HRW)—Maritime Piracy	99
4.3.2.4	Japan Tsunami Radiological Threat and Debris Field	102
4.4	Aeronautical Information Services	103
4.4.1	ICAO Aeronautical Information Service	103
4.4.2	U.S. Notice to Airmen (NOTAM)	105
Chapter Five	Marine Environmental Protection and Control Measures ...	113
5.1	The Marine Environment	113
5.1.1	Evolution of Marine Environmental Law	113
5.1.2	Framework Agreements to Protect the Marine Environment	116
5.2	Particularly Sensitive Sea Areas	117
5.2.1	Western Europe PSSA and the 2005 Guidelines	121
5.2.2	Malpelo Island PSSA (Colombia)—Regulation without Protection	126
5.2.3	Baltic Sea Area PSSA—Regulation without Concurrence	130
5.2.4	Papahānaumokuākea PSSA (United States)—Regulation without Need	131
5.2.5	Mid-Pacific Ocean National Monuments (United States)	135
5.3	Ships' Routeing, Vessel Traffic Services, and Ships' Reporting	137
5.4	Mandatory Ship Reporting (United States)	141
5.5	Marine Mammals	146
5.5.1	Natural Resources Defense Council v. Winter (Sonar Litigation)	147
5.5.2	Navy Mitigation Measures during Sonar Operations	151
Chapter Six	U.S. Safety and Security Zones and Airspace Control Measures	155
6.1	Introduction to U.S. Zones	155
6.2	Jurisdiction over the Navigable Waters of the United States	156
6.2.1	Ports and Waterways Safety Act	156
6.2.2	Presidential Proclamation No. 5928	157
6.3	Safety Zones	160

6.4	Security Zones	162
6.4.1	Regulated Navigation Areas and Restricted Waterfront Areas	165
6.4.1.1	Naval Vessel Protection Zones	165
6.4.1.2	Restricted Areas and Danger Zones	168
	a. Pacific Ocean, Hawaii; danger zones	169
	b. Pacific Ocean, Island of Oahu, Hawaii; danger zone	169
	c. Pacific Ocean at Barber's Point, Island of Oahu, Hawaii; danger zone	170
	d. Pacific Ocean at Keahi Point, Island of Oahu, Hawaii; danger zone	170
	e. Kaneohe Bay, Island of Oahu, Hawaii—Ulupau Crater Weapons Training Range; danger zone ...	170
	f. Pacific Ocean at Barking Sands, Island of Kauai, Hawaii; missile range facility	171
	g. Pacific Ocean, at Barbers Point, Island of Oahu, Hawaii; restricted area	172
	h. Pacific Ocean, at Makapuu Point, Waimanalo, Island of Oahu, Hawaii, Makai Undersea Test Range	172
6.4.1.3	Naval Defensive Sea Areas and Naval Airspace Reservations	173
6.4.1.4	Trust Territory of the Pacific Islands	175
6.4.2	Airspace Control Measures	178
6.4.2.1	Air Defense Identification Zone	178
6.4.2.2	Special Use Airspace	181
	a. Prohibited Areas	181
	b. Restricted Areas	181
	c. Warning Areas	182
	d. Military Operation Areas	182
	e. Alert Areas	183
	f. Controlled Firing Areas	183
	g. National Security Areas	183
Chapter Seven	Sea Power, Grand Strategy, and Freedom of the Seas	185
7.1	Seapower and Grand Strategy	185
7.1.1	Littoral Regions	186
7.1.2	Global System	187
7.1.3	Freedom of the Seas in the Early Modern Period	189
7.1.4	Freedom of the Seas in the World Wars and the Cold War	191
7.1.5	Freedom of the Seas, the Law of the Sea, and U.S. Policy	196
7.2	U.S. Freedom of Navigation Program	201

Chapter Eight	Freedom of Navigation in the Law of the Sea	215
8.1	Baselines	216
8.2	Internal Waters	217
8.3	Territorial Sea	218
8.4	Straits Used for International Navigation	219
8.4.1	Corfu Channel Case	219
8.4.2	The Straits Regime in UNCLOS	222
8.4.3	Types of Straits Used for International Navigation	224
8.4.3.1	Geographic Straits	224
8.4.3.2	Long-standing Conventions	225
8.4.3.3	Route of Similar Convenience	226
8.4.3.4	Island Forming a Strait (Route of Similar Convenience)	227
8.4.3.5	Archipelagic Straits	227
8.4.3.6	Dead-end Straits	228
8.4.4	Transit Passage in the Approaches to the Strait	229
8.4.5	Archipelagic Sea Lanes Passage	230
8.4.5.1	Indonesia	231
8.5	Contiguous Zone	232
8.6	Exclusive Economic Zone	233
8.6.1	Coastal State Competence in the EEZ	234
8.7	Continental Shelf	241
8.8	High Seas	242
Chapter Nine	Excessive Maritime Claims: Territorial Seas, Straits, and Archipelagos	243
9.1	Introduction	243
9.2	Illegal Straight Baselines	246
9.2.1	Libya's "Line of Death" in the Gulf of Sirte	247
9.3	Excessive Claims over the Territorial Sea and Contiguous Zone ...	252
9.3.1	Black Sea Bumping Incident	255
9.3.2	Jackson Hole Agreement	258
9.4	Excessive Claims over Straits Used for International Navigation ..	259
9.4.1	Strait of Hainan	261
9.4.2	Head Harbor Passage	261
9.4.3	Northwest Passage	262
9.4.4	Torres Strait	264
9.4.5	Strait of Hormuz	269
9.5	Excessive Claims over Archipelagic Sea Lanes	270
9.5.1	Indonesia's 1960s Archipelagic Claims	271
9.5.2	The Philippines	273
9.5.3	Maldives	275

Chapter Ten	Security Claims in the Exclusive Economic Zone	277
10.1	Security Claims in the Exclusive Economic Zone	277
10.2	China's Oceans Law and the EEZ	279
10.3	North Korea's Military Zone	283
10.4	Military Activities in the EEZ	284
10.4.1	Marine Data Collection	285
10.4.2	International Airspace of the EEZ	289
10.4.2.1	Peruvian Attack on a U.S. C-130 State Aircraft (1992)	289
10.4.2.2	Chinese Collision with a U.S. EP-3 State Aircraft (2001)	290
10.4.2.2.1	Law of the Sea	291
10.4.2.2.2	Aviation Law	292
10.4.2.3	State Aircraft Sovereign Immunity	293
10.4.2.4	Schooner Exchange	294
10.4.2.5	Military Interception of Civil Aircraft in International Airspace	296
10.4.2.5.1	U.S. Practice	299
10.4.3	"Peaceful Purposes"	304
10.4.4	Offshore Environmental Regulation as Maritime Strategy	309
Chapter Eleven	Flashpoint: South China Sea	313
11.1	The Political Seascape and Regional Stability	313
11.1.1	Chinese Maritime Claims	315
11.1.1.1	The U-Shaped Line (The "Cow Tongue")	316
11.1.1.2	Sansha City	318
11.1.1.3	Regional Reaction to China's Claims	319
11.1.2	Vietnam's Maritime Claims	321
11.1.3	The Philippines' Maritime Claims	325
11.1.4	ASEAN and the Declaration on the Conduct of Parties	330
11.2	American Security Relationships and the South China Sea	334
11.2.1	U.S.-Philippine Cooperation	340
11.2.2	U.S.-Australian Cooperation	348
11.2.3	U.S.-New Zealand Cooperation	351
11.2.4	Trans-Pacific Partnership	353
Chapter Twelve	Securing the Marine Transportation System	355
12.1	Threats to International Ship and Port Facility Security	355
12.1.1	Piracy and Armed Robbery at Sea	358
12.1.2	Maritime Terrorism	358
12.1.3	Cargo Tampering, Sabotage, or Theft	359
12.1.4	Smuggling of Contraband	359
12.1.5	Illegal Migrants, Stowaways, Refugees, and Asylum Seekers	359

12.1.6	Environmental Extremists	359
12.1.7	Weather and Natural Disasters	360
12.1.8	Accidents	360
12.1.9	Organized Labor Activities and Labor Violence	360
12.2	The Law of the Sea and the IMO	362
12.3	Exclusive Flag State Jurisdiction	365
12.3.1	SOLAS	368
12.3.2	Load Lines	372
12.3.3	MARPOL	373
12.3.4	STCW	373
12.3.5	TONNAGE 69	374
12.3.6	IMO Member State Audit Scheme	375
12.3.7	ILO Instruments	376
12.4	The ISPS Code	378
12.4.1	Special Measures	385
12.4.2	Security Levels	387
12.4.3	Declaration of Security	389
12.4.4	Security Plans for Port Facilities and Ships	392
12.4.5	Interagency Government Coordination	393
12.4.6	Regional Organizations	395
12.4.7	Recognized Security Organizations	395
12.4.8	Government Oversight and Enforcement	397
12.5	Maritime Domain Awareness	399
12.5.1	U.S. Maritime Domain Awareness Policy	400
12.5.2	Automatic Identification System	404
12.5.3	Long-Range Identification and Tracking	408
Chapter Thirteen	Port and Port Facility Security	411
13.1	Ports in the Global Transportation System	411
13.2	Port State Control	416
13.2.1	IMO Protocols	418
13.2.2	Port State Regional MoUs	423
13.2.2.1	Paris MoU	424
13.2.2.2	Acuerdo de Viña del Mar	424
13.2.2.3	Tokyo MoU	425
13.2.2.4	Caribbean MoU	425
13.2.2.5	Mediterranean MoU	425
13.2.2.6	Indian Ocean MoU	426
13.2.2.7	Abuja MoU	427
13.2.2.8	Black Sea MoU	427
13.2.2.9	Riyadh MoU	427
13.2.2.10	PERSGA	428
13.2.3	U.S. Port State Control	429

13.3	Port Facility Security	434
13.3.1	Designated Authority	436
13.3.2	Declaration of Security	436
13.3.3	Port Facility Security Officer	438
13.3.4	Port Facility Security Plan	439
13.3.5	Port Facility Security Level	442
13.3.5.1	Port Access	443
13.3.5.2	Restricted Areas within the Port Facility	444
13.3.5.3	Cargo Handling	445
13.3.5.4	Delivery of Ships' Stores	446
13.3.5.4.1	Security Level 1	446
13.3.5.4.2	Security Level 2	447
13.3.5.4.3	Security Level 3	447
13.3.5.5	Monitoring the Port Facility	448
13.3.6	Port Facility Security Assessment	449
13.4	Port State Control Certifications and Documents	451
13.5	Questionnaire for Designated Authorities	452
13.6	Security Checklist for Port Facility Operators	455
13.6.1	Guidance for Port Facility Operators	456
13.6.1.1	Performance of Port Facility Security Duties	456
	Part A	456
	Part B	457
13.6.1.2	Controlling Access to the Port Facility	458
	Part A	458
	Part B	458
13.6.1.3	Monitoring of the Port Facility, Anchoring and Berthing Area	459
	Part A	459
	Part B	459
13.6.1.4	Monitoring of Restricted Areas	460
	Part A	460
	Part B Establishment of Restricted Areas	460
	Part C Security Measures	461
13.6.1.5	Supervising the Handling of Cargo	462
	Part A	462
	Part B	462
13.6.1.6	Supervising the Handling of Ship's Stores	463
	Part A	463
	Part B Ship's Stores Security Measures	463
13.6.1.7	Communications Security	464
	Part A	464
	Part B Effectiveness and Protection of Communications	464

CONTENTS

xiii

13.6.1.8	Training, Drills, and Exercises	464
	Part A	464
	Part B Training, Drills, and Exercises	465
13.6.1.9	Miscellaneous	465
	Part B	465
Chapter Fourteen	Ship and Ship Operator Security	467
14.1	Shipping Company Responsibilities	467
14.1.1	Applicability of IMO Maritime Security Measures	467
14.1.2	Alternative Security Agreements	468
14.1.3	Equivalent Security Agreements	470
14.2	Checklist for Shipping Companies	471
14.2.1	Checklist	471
14.2.1.1	Continuous Synopsis Record	471
14.2.1.2	Ship Security Alert System	471
14.2.1.3	Master's Discretion for Ship Safety and Security ..	472
14.2.1.4	Obligations of the Company	472
	Part A Obligations of the Company	472
	Part B Obligations of the Company	473
14.2.1.5	Control and Compliance Measures	473
14.2.1.6	Verification and Certification for Ships	474
	Part A Verification and Certification for Ships	474
14.2.1.7	Ship Security Assessment	474
	Part A Ship Security Assessment	474
	Part B Company Security Officer Requirements to Conduct an Assessment	475
	Part C Content of the Ship Security Assessment	476
14.2.1.8	Ship Security Plan	477
	Part A Ship Security Plan	478
	Part B Content of the Ship Security Plan	479
14.2.1.9	Records	479
	Part A Records	479
14.2.1.10	Company Security Officer	480
	Part A Company Security Officer	480
14.2.1.11	Training, Drills, and Exercises on Ship Security ...	481
	Part A Training, Drills, and Exercises	481
	Part B Training, Drills, and Exercises	481
14.2.1.12	Information and Cooperation (Best Practice)	482
14.3	Ship Security	482
14.3.1	Ship Security Levels	482
14.3.2	Declarations of Security	483

14.3.3	Model Declaration of Security for a Ship-to-Ship Interface	484
14.3.4	Ship Security Personnel	486
14.3.4.1	Company Security Officers	486
14.3.4.2	Ship Security Officers	487
14.3.5	Ship Security Alert Systems	488
14.3.6	Ship Security Assessments	489
14.3.7	Ship Security Plans	490
14.3.8	Responding to Requests to Board the Ship in Port or at Sea	494
14.3.9	Vessel Pre-arrival Information	496
14.3.9.1	Ship and Contact Details	496
14.3.9.2	Port and Port Facility Information	497
14.3.9.3	Information Required by SOLAS Regulation XI-2/9.2.1	497
14.3.9.4	Other Security-related Information	498
14.3.9.5	Agent of the Ship at the Intended Port of Arrival	498
14.3.9.6	Identification of the Person Providing the Information	499
14.3.10	The International Ship Security Certificate	499
14.3.11	Checklist for Flag State Administrations	500
14.4	Checklist for Ship Security Personnel	503
14.4.1	Basic Information for Ship Security Personnel	503
14.4.1.1	Company and Ship Overview	503
14.4.1.2	Total Manning of the Ship and Crew with Security Duties on Board	504
14.4.1.3	Ship Security Information in the Last 12 Months	504
14.4.1.4	Security Agreements and Arrangements	504
14.4.2	Checklist	504
14.4.2.1	Ensuring the Performance of All Ship Security Duties	504
	Part A Ensuring the Performance of All Ship Security Duties	504
	Part B Organization and Performance of Ship Security Duties	505
14.4.2.2	Controlling Access to the Ship	506
	Part A Access to the Ship	506
	Part B Access to the Ship	506
14.4.2.3	Controlling the Embarkation of Persons and Their Effects	508

Part A	Embarkation of Persons and Their Effects	508
Part B.1	Embarkation of Persons and Their Effects	508
Part B.2	Handling Unaccompanied Baggage	508
14.4.2.4	Monitoring of Restricted Areas	509
Part A	Restricted Areas on the Ship	509
Part B	Restricted Areas on the Ship	509
14.4.2.5	Monitoring of Deck Areas and Areas Surrounding the Ship	510
Part A	Access to the Ship	510
Part B.1	Access to the Ship	510
Part B.2	Monitoring the Security of the Ship	510
14.4.2.6	Supervising the Handling of Cargo and Ship's Stores	511
Part A	Handling of Cargo	511
Part B.1	Handling of Cargo	511
Part B.2	Delivery of Ship's Stores	512
14.4.2.7	Ensuring Security Communication is Readily Available	512
Part A	Ensuring Security Communication	512
14.4.2.8	Training, Drills, and Exercises	513
Part A	Training, Drills, and Exercises	513
Part B	Training, Drills, and Exercises	513
14.4.2.9	Miscellaneous	513
Part A	Miscellaneous	513
Part B	Miscellaneous	515
14.5	Yachts and Other Non-SOLAS Vessels	515
Chapter Fifteen	Global Authorities to Counter Drug Trafficking	519
15.1	International Law and the Trade in Illegal Drugs	519
15.2	The Multilateral Treaty Framework	522
15.2.1	United Nations Convention on the Law of the Sea	522
15.2.2	1961 Single Convention on Narcotic Drugs, As Amended	524
15.2.3	1971 Convention on Psychotropic Substances	529
15.2.4	1988 Vienna Drug Convention	531
15.2.4.1	Illicit Traffic by Sea	538
15.3	International Maritime Organization	541
15.3.1	IMO Guidelines	541
15.3.2	Maritime Trafficking	543
15.4	UN Basic Principles on the Use of Force	549
15.4.1	Basic Principles on the Use of Force and Firearms	549
15.4.2	Use of Force in the Case of the M/V Saiga	552

Chapter Sixteen	Regional Authorities to Counter Drug Trafficking	555
16.1	Regional Cooperation in Countering Maritime Drug Trafficking ..	555
16.2	Caribbean Regional Maritime Agreement	555
16.2.1	U.S. Declaration of the Agreement	563
16.3	Caribbean Basin Security Initiative	566
16.3.1	CBSI Joint Statement	568
16.4	Organization of American States Model Operating Procedure	569
16.5	The Paris Pact Initiative	573
16.6	Republic of the Philippines National Coast Watch System	578
16.6.1	Executive Order 57	579
16.7	Mérida Initiative	582
16.8	The Central America Regional Security Initiative	585
Chapter Seventeen	U.S. Maritime Counterdrug Law	587
17.1	Maritime Drug Law Enforcement Act	587
17.1.1	Jurisdiction under MDLEA	587
17.1.2	Offenses under MDLEA	588
17.1.3	Intent to Commit an Offense under MDLEA	589
17.2	Drug Trafficking Vessel Interdiction Act	590
17.2.1	Self-propelled Semi-submersible (SPSS) Watercraft	591
17.2.2	Criminal and Civil Penalties for Operation of SPSS	592
17.2.3	Legal Challenges to DTVIA	594
17.2.4	SPSS Model Law of the Organization of American States	597
17.3	Foreign Narcotics Kingpin Designation Act	598
17.3.1	Executive Order 12978	599
17.3.2	Purpose of the Kingpin Act	599
17.4	U.S. Coast Guard Authorities	602
17.4.1	Plenary U.S. Maritime Law Enforcement Authority	603
17.4.2	Use of Force	604
17.4.3	Airborne Use of Force	605
17.4.4	Maritime Enforcement of U.S. Immigration Law	607
17.5	Department of Defense Legal Authorities	607
17.5.1	Lead Agency for Detection and Monitoring	608
17.5.2	Military Support for Civilian Law Enforcement	608
17.5.2.1	Department of Defense Directive 5525.5	613
17.5.2.2	Chairman of the Joint Chiefs of Staff Instruction 3710.01B	617
17.5.2.3	Geographic Combatant Commander Counterdrug Authority	620
17.5.2.4	Maritime Counterdrug Rules of Engagement	621
17.5.3	Joint Publication 3-07.4, Counterdrug Operations	622
17.5.3.1	Coast Guard Law Enforcement Detachments	622

17.5.3.2	Department of Defense Joint Interagency Task Forces	623
Chapter Eighteen	U.S. International Maritime Counterdrug Policy	627
18.1	The U.S. Policy and Operational Framework	627
18.1.1	U.S. National Drug Threat Assessment	628
18.1.2	U.S. National Drug Control Strategy	632
18.1.3	Strategy to Combat Transnational Organized Crime	634
18.1.4	Department of Defense U.S. Southern Command	636
18.2	U.S. Bilateral Maritime Counterdrug Instruments	639
18.2.1	Agreement to Suppress Illicit Traffic by Sea (U.S.-Colombia)	641
18.2.2	Air Bridge Denial Agreement (U.S.-Colombia)	643
18.3	Conclusion	650
18.4	U.S. International Maritime Law Enforcement Instruments	650
18.4.1	Bilateral Maritime Counterdrug Agreements	650
18.4.2	Memorandums of Understanding and Operational Procedures	654
18.4.3	Forward Operating Location/Cooperative Security Location Agreements	655
18.4.4	Multilateral Counterdrug Agreements	656
Chapter Nineteen	Migrant Smuggling at Sea	657
19.1	Transnational Threat of Irregular Migration	657
19.2	Law of the Sea Convention	659
19.3	Transnational Organized Crime Convention	660
19.3.1	Executive Order 13581	661
19.4	Migrant Smuggling Protocol	662
19.5	IMO Initiatives	666
19.5.1	Assembly Resolution A.773(18)	667
19.5.2	Assembly Resolution A.867(20)	668
19.5.3	Maritime Safety Committee Circular 896	668
19.5.4	Guidelines on the Treatment of Persons Rescued at Sea	673
19.6	Duty to Assist	675
19.7	Salvage Conventions	675
19.8	International Convention for the Safety of Life at Sea	676
19.9	International Convention on Maritime Search and Rescue	679
19.10	Refugee Convention	680
19.11	The U.S. Experience in Law and Practice	682
19.11.1	<i>USS Morton</i> (DD 948)	683
19.11.2	<i>USS Dubuque</i> (LPD 8)	684
19.11.3	U.S. Counter-Migrant Smuggling Initiatives	686
19.12	Conclusion	689

Chapter Twenty	Maritime Piracy and Armed Robbery at Sea	691
20.1	The Historical Roots of the Law of Piracy	692
20.1.1	Mediterranean Sea and the Rise of the Nation State	693
20.1.2	Renaissance Scholars Shape the Law	693
20.1.3	Anglo-American Law of Piracy	695
20.2	Contemporary Law of Maritime Piracy	697
20.2.1	UN Convention on the Law of the Sea	698
20.2.2	UN Security Council	701
20.2.2.1	Somalia	701
20.2.2.2	African Union Mission to Somalia	707
20.2.2.3	Gulf of Guinea	712
20.2.3	International Maritime Organization	713
20.2.4	Djibouti Code of Conduct	718
20.3	Counter-piracy Operations	723
20.3.1	Combined Maritime Force—Task Force 151	724
20.3.2	North Atlantic Treaty Organization—Operation Ocean Shield	725
20.3.3	European Union Naval Force Somalia—Operation Atalanta	727
20.3.4	Japan Maritime Self-Defense Force	733
20.3.5	Flag State Administrations and Best Management Practices	736
Chapter Twenty-One	Maritime Terrorism and Weapons of Mass Destruction at Sea	739
21.1	Prevalence of Maritime Terrorism	739
21.1.1	Palestine Liberation Front and the <i>Achille Lauro</i>	740
21.1.2	Sri Lanka and the Tamil Tigers	741
21.1.3	Al Qaeda and the <i>USS Cole</i>	743
21.2	Non-Proliferation Treaty	745
21.2.1	North Korea	746
21.2.2	Iran	747
21.2.3	Is the NPT Viable?	750
21.3	Coastal State and Flag State Jurisdiction	752
21.3.1	Coastal State Authorities	752
21.3.2	Exclusive Flag State Jurisdiction	754
21.3.3	Stateless Vessels	756
21.3.4	Consent of the Master	757
21.4	Cases on the Use of Force in Shipboarding	758
21.4.1	<i>The Caroline</i>	758
21.4.2	<i>I'm Alone</i>	760
21.4.3	<i>Red Crusader</i>	762
21.4.4	<i>M/V Saiga</i>	763

21.5	UN Security Council	766
21.5.1	Resolution 1540	767
21.5.2	North Korea	768
21.5.3	Iran	774
21.5.4	Ineffectiveness of the Security Council	783
21.6	Proliferation Security Initiative	785
21.6.1	Statement of Interdiction Principles	786
21.6.2	Bilateral Shipboarding Agreements	787
21.7	Can the NPT Survive?	795
Chapter Twenty-Two Commentary for the Convention on the		
Suppression of Unlawful Acts against the Safety of Maritime		
Navigation		
22.1	Convention for the Suppression of Unlawful Acts (SUA)	801
22.1.1	1988 Convention	804
	Article 1	805
	Article 2	806
	Article 3	806
	Article 4	809
	Article 5	810
	Article 6	810
	Article 7	813
	Article 8	814
	Article 9	814
	Article 10	814
	Article 11	815
	Article 12	816
	Article 13	816
	Article 14	817
	Article 15	817
	Article 16	817
	Article 17	818
	Article 18	818
	Article 19	818
	Article 20	818
	Article 21	819
	Article 22	819
22.1.2	2005 Convention	820
	Article 1	822
	Article 2	823
	Article 2 <i>bis</i>	824
	Article 3	826
	Article 3 <i>bis</i>	826

	Article 3 ^{ter}	828
	Article 3 ^{quater}	829
	Article 4	830
	Article 5 and Article 5 ^{bis}	831
	Article 6	832
	Article 7	832
	Article 8	833
	Article 8 ^{bis}	833
	Article 9	842
	Article 10	843
	Article 11	843
	Article 11 ^{bis}	844
	Article 11 ^{ter}	844
	Article 12	845
	Article 12 ^{bis}	845
	Article 13	846
	Article 14	847
	Article 15	847
	Article 16	847
	Article 16 ^{bis}	848
	Article 17	848
	Article 18	849
	Article 19	849
	Article 20	849
	Article 21	850
	Article 22	850
	Article 23	851
	Article 24	852
22.1.3	2005 Protocol (Safety of Fixed Platforms)	853
	Article 1	853
	Article 2	854
	Article 2 ^{bis}	854
	Article 2 ^{ter}	854
	Article 3	855
	Article 4	855
	Article 4 ^{bis}	856
	Article 8	856
	Article 9	856
	Article 10	857
	Article 11	857
	Article 12	857
	Article 13	858

Chapter Twenty-Three	Irregular Naval Warfare and Blockade	859
23.1	Irregular Naval Warfare	859
23.1.1	Law of the Sea during Armed Conflict	864
23.1.2	Combatants at Sea	867
23.2	Quarantine	869
23.2.1	Cuban Missile Crisis	870
23.2.2	Legality of Quarantine	877
23.3	<i>Cordon Sanitaire</i>	880
23.4	Neutrality and Maritime Blockade	882
23.4.1	Blockade in History	882
23.4.2	Law of Blockade	885
23.4.2.1	Object of Blockade	886
23.4.2.2	Geographic Scope	886
23.4.2.3	Absolute and Conditional Contraband	887
23.4.2.4	Belligerent Right of Visit and Search	888
23.4.2.5	Attempted Breach	889
23.4.3	Law of Neutrality	891
23.5	Blockade in Non-International Armed Conflict	892
23.5.1	U.S. Civil War	892
23.5.2	Spanish Civil War	895
23.5.3	Israel's Blockade of Gaza	895
Appendix 1:	Agreement on the Gaza Strip and Jericho Area	900
Chapter Twenty-Four	Security Council Maritime Enforcement	903
24.1	Rhodesia Sanctions and the Beira Patrol (1965)	904
24.2	The Maritime Blockade of Iraq (1990–91)	907
24.3	Former Republic of Yugoslavia Armaments Embargo (1991–96) ..	912
24.4	Haiti Sanctions (1994)	915
24.5	Libya Embargo (2011)	918
Index		923