

Table of Contents

<i>Preface</i>	v
<i>Acknowledgments</i>	ix
<i>Table of Cases</i>	xix
 1 The Development of the Refugee Definition in International Law	 1
1.1 International Refugee Definitions Before 1951	2
1.1.1 The Juridical Perspective	2
1.1.2 The Social Perspective	4
1.1.3 The Individualist Perspective	5
1.2 The 1951 Convention Definition of Refugee Status	6
1.3 The Impact of the 1967 Protocol on the Convention Definition	10
1.4 International Expansion of the Refugee Concept	11
1.4.1 Enhanced Competence of the United Nations High Commissioner for Refugees	11
1.4.2 The Attempt to Draft a Convention on Territorial Asylum	13
1.4.3 The Organization of African Unity Definition of Refugee Status	16
1.4.4 The Organization of American States Definition of Refugee Status	19
1.4.5 The Council of Europe Definition of Refugee Status ...	21
1.4.6 The Refugee Definition in the Practice of States	21
1.5 An Expanded Refugee Concept in Customary International Law?	24
 2 Alienage	 29
2.1 Refugees <i>sur place</i>	33
2.1.1. Claims Grounded in Events in the Country of Origin ..	33
2.1.2 Claims Grounded in the Asylum Seeker's Activities Abroad	35
2.2 Departure from the State of Origin	39
2.2.1 Illegal Departure or Stay Abroad	40
2.2.2 Inferences Based on the Legality of Departure	43

2.3	Choice of the Country of Asylum	46
2.4	Illegal Entry or Stay in an Asylum State	50
2.5	Determining the State of Reference	55
2.5.1	Persons with Dual or Multiple Nationality	57
2.5.2	Stateless Persons	59
3	Well-Founded Fear	65
3.1	Fear: The Requirement for Prospective Assessment of Risk ..	66
3.1.1	Historical Foundation of the Prospective Risk Requirement	66
3.1.2	The Practical Imperative for Prospective Assessment of Risk	69
3.1.3	Subjective Fear as a Negative Constraint on the “Objective Trump”	70
3.1.4	Fear as an Aspect of the Objective Assessment of Risk	74
3.2	Well-Founded Assessment of Risk: Stating the Test	75
3.2.1	Relevance of General Evidence of Respect for Human Rights	80
3.2.2	Role of the Refugee Claimant’s Testimony	83
3.2.3	Evidence of Individualized Past Persecution	87
3.2.4	Evidence of Harm to Persons Similarly Situated	89
3.2.5	Assessing Risk Within the Context of Generalized Oppression	90
4	Persecution	99
4.1	Persecution as the Sustained or Systemic Violation of Basic Human Rights Resulting from a Failure of State Protection ..	101
4.2	The Nature of a State’s Duty of Protection	105
4.3	Risk to Civil and Political Rights	112
4.4	Risk to Economic, Social, and Cultural Rights	116
4.4.1	Persecution Distinguished from Hardship	117
4.4.2	Defining a Breach of Economic, Social, or Cultural Rights	119
4.5	Failure of the State’s Duty to Protect Basic Human Rights ...	124
4.5.1	Agents of Persecution	125
4.5.2	Regionalized Failure to Protect	133
5	Nexus to Civil or Political Status	135
5.1	Race	141

5.2 Nationality	144
5.3 Religion	145
5.4 Political Opinion	149
5.4.1 Unexpressed Political Opinion	149
5.4.2 Political Opinion Implicit in Conduct	152
5.5 Membership of a Particular Social Group	157
5.5.1 Gender	162
5.5.2 Sexual Orientation	163
5.5.3 Family	164
5.5.4 Class or Caste	166
5.5.5 Voluntary Associations	167
5.6 Other Grounds for Claiming Refugee Status	169
5.6.1 Criminal Status: Prosecution or Persecution?	169
5.6.2 Refusal to Perform Military Service	179
5.6.3 Victims of War and Violence	185
6 Cessation and Exclusion	189
6.1 Persons Who Have Regained the Protection of Their State of Origin	191
6.1.1 Voluntary Re-availment of National Protection	192
6.1.2 Voluntary Re-acquisition of Nationality	196
6.1.3 Voluntary Re-establishment in the Country in Which Persecution was Feared	197
6.1.4 Change of Circumstances	199
6.2 Persons Who Benefit from Surrogate National or International Protection	205
6.2.1 United Nations Protection or Assistance	205
6.2.2 Acquisition of a New Nationality	209
6.2.3 Residence with the Rights and Obligations of a National	211
6.3 Persons Who Do Not Deserve Protection	214
6.3.1 Crimes Against Peace and Security	215
6.3.2 Serious Non-Political Crimes	221
6.3.3 Acts Contrary to the Principles and Purposes of the United Nations	226
7 The Challenge of Humane Protection in a Self-Interested World	231
<i>Bibliography</i>	235
<i>Index</i>	243