

# Contents

List of contributors

xiii

## Section I Floods

### 1. Flood processes and hazards

*Alberto Viglione and Magdalena Rogger*

1.1	Introduction	3
1.2	Flood types and their processes	4
1.2.1	River floods	4
1.2.2	Flash floods	8
1.2.3	Dam-break floods	9
1.2.4	Ice-jam floods	11
1.2.5	Glacial-lake floods	13
1.2.6	Urban floods	13
1.2.7	Coastal floods	15
1.2.8	Hurricane-related floods	16
1.3	Flood hazard probabilities	18
1.4	Floods in a changing world	21
	References	26

### 2. Paleoflood hydrology: reconstructing rare events and extreme flood discharges

*Gerardo Benito, Juan A. Ballesteros-Cánovas and  
Andrés Díez-Herrero*

2.1	Introduction	33
2.2	Paleoflood approaches and methodology	35
2.3	Geological and botanical paleoflood data	38
2.3.1	Sedimentological indicators	40
2.3.2	Botanical-based evidence	43
2.4	Dating paleoflood evidence	50
2.5	Paleoflood discharge estimation	53
2.6	Flood frequency analysis using paleoflood data	55
2.7	Estimation of paleoflood volume	57
2.8	Applied paleoflood hydrology	58



2.8.1	Paleofloods as an analog of present and future flood disasters	58
2.8.2	Paleoflood hydrology applied to the design of sensitive infrastructures	60
2.8.3	Flood hazards in the context of climate change	63
2.8.4	Floodwater recharge by extreme floods	64
2.9	Conclusions	65
	Acknowledgments	66
	References	67

### 3. Global and low-cost topographic data to support flood studies

*Kun Yan, Jeffrey C. Neal, Dimitri P. Solomatine and Giuliano Di Baldassarre*

3.1	Introduction	85
3.1.1	Growing availability of global earth observation data	85
3.1.2	Recent progress on evaluation of global topographic data in supporting flood modeling	86
3.1.3	Uncertainties in inundation modeling and probabilistic flood mapping	87
3.1.4	Different types of data in constraining uncertainty in flood modeling	87
3.1.5	The dilemma of downstream water level in hydraulic modeling	88
3.2	Test site and data availability	89
3.3	Inundation modeling	89
3.4	The effect of topography resolution on inundation modeling	92
3.5	Uncertainty analysis within a generalized likelihood uncertainty estimation framework	92
3.6	Results and discussion	94
3.7	Conclusions	99
	Acknowledgments	100
	References	100

### 4. Vulnerability and exposure in developed and developing countries: large-scale assessments

*S.F. Balica, Q. Dinh and I. Popescu*

4.1	Introduction	103
4.2	Vulnerability: definitions and complexity	105
4.3	Approaches to vulnerability	110
4.4	Methodology	111
4.5	Coping with flood vulnerability in developing countries	124
4.5.1	Flood-exposure maps	129
4.5.2	Flood vulnerability maps	130



4.6	Coping with flood vulnerability in developed countries	131
4.6.1	Flood-exposure maps	134
4.6.2	Flood vulnerability maps	135
4.7	Discussions and perspectives	136
	Annex 1. PO'S delta communes abbreviations	137
	Annex 2. Awareness and preparedness indicator scaled	139
	References	139
	Further reading	143
5.	<b>Integrated risk assessment and decision support for water-related disasters</b>	
	<i>Carlo Giupponi, Vahid Mojtahed, Animesh K. Gain, Claudio Biscaro and Stefano Balbi</i>	
5.1	Introduction and state of the art of risk assessment methods and decision-making for water-related disasters	145
5.2	Methodological framework for integrated risk assessment	148
5.3	The evaluation of benefits of risk reduction	156
5.4	The social dimension: adaptive and coping capacities for risk prevention	157
5.5	The implementation of the KULTURisk framework	161
5.6	A demonstration of SERRA applied to flood risk in the city of Dhaka	166
5.6.1	The case study	166
5.6.2	Vulnerability	168
5.6.3	The economic dimensions of risk: direct damage costs on the receptors people, economic activities, and the environment	169
5.6.4	The economic dimensions of risk: indirect damage costs	175
5.6.5	Robust decision making under deep uncertainty	180
5.7	Final remarks	183
	Acknowledgments	185
	References	185
6.	<b>Flood risk assessment in the Ubaye Valley (Barcelonnette, France)</b>	
	<i>Micah Mukolwe, Giuliano Di Baldassarre and Thom Bogaard</i>	
6.1	Introduction	191
6.1.1	Motivation and objective	191
6.1.2	The case study: Ubaye Valley, France	191
6.2	Methodology	192
6.2.1	Hydraulic modeling	192
6.2.2	Scenario selection	193
6.2.3	Regional Risk Assessment	193
6.2.4	Social—Regional Risk Assessment	194
6.2.5	Economic—Regional Risk Assessment	194



<b>6.3 Results and discussion</b>	195
6.3.1 Risk assessment	195
6.3.2 Social economic RRA	196
<b>6.4 Conclusion</b>	197
<b>Acknowledgments</b>	200
<b>References</b>	200

## **7. Flood modeling: practical exercises**

*Amy Dabrowa, Jeffrey C. Neal and Paul D. Bates*

<b>7.1 Introduction to flood modeling</b>	203
7.1.1 Flood modeling in LISFLOOD-FP	204
<b>7.2 Exercise 1: numerical flood modeling in lisflood-FP</b>	206
7.2.1 Task 1: using a simple test case to explore 2D flood modeling	207
7.2.2 Task 2: simulating flooding in a real-world example reach	210
<b>7.3 Further exercises</b>	214
<b>7.4 Appendix: governing equations for lisflood-FP solvers</b>	215
7.4.1 Full shallow water equations	215
7.4.2 Kinematic 1D channel flow	215
7.4.3 Diffusive 1D channel flow	216
7.4.4 Subgrid channels	216
7.4.5 Governing equations for the flow-limited model	217
7.4.6 Governing equations for the adaptive model	218
7.4.7 Governing equations for the acceleration model	218
7.4.8 Governing equations for the Roe model	219
<b>References</b>	219

## **8. Rapid onset shocks: the importance of understanding impacts of flood disasters**

*Lara Prades, Michael Manalili and Guy Schumann*

<b>8.1 Introduction to rapid onset flood disasters and its relevance in the humanitarian context</b>	221
8.1.1 General background	221
8.1.2 Impacts of hydrometeorological hazards and relevance for humanitarian interventions	222
<b>8.2 Disaster monitoring systems and platforms</b>	224
<b>8.3 Flood alerting, detection, and impact mapping</b>	225
8.3.1 Overview of the current initiatives landscape for flood monitoring	225
8.3.2 Global flood alerting toward anticipatory humanitarian actions	233
8.3.3 Challenges and opportunities in EO-based flood detection and monitoring	235



8.4 Flood impact and exposure analysis	237
8.5 Outlook	242
References	243

## Section II

### Droughts

#### 9. Drought monitoring and assessment: remote sensing and modeling approaches for the famine early warning systems network

*G.B. Senay, N.M. Velpuri, S. Bohms, M. Budde, C. Young, J. Rowland and J.P. Verdin*

9.1 Introduction	247
9.2 Rainfall-based drought monitoring	249
9.2.1 Standardized precipitation index (multiscale drought indicator)	249
9.2.2 Start of season (seasonal drought indicator)	253
9.2.3 Monthly dryness indicators (short-term drought indicator)	255
9.2.4 Dekadal dryness indicator (short-term drought indicators)	256
9.3 Vegetation index-based drought monitoring	256
9.3.1 Normalized difference vegetation index (multiscale drought indicator)	258
9.4 Model-driven drought indicators	259
9.4.1 Water requirement satisfaction index (seasonal drought indicator)	259
9.4.2 Soil water index (short-term drought indicator)	262
9.4.3 Evapotranspiration (multiscale drought indicator)	264
9.4.4 Water levels (short-term drought indicator)	267
9.5 Hazard outlook (short-term drought bulletin)	267
9.6 Validation of drought indicators	268
9.7 Summary and conclusions	270
Acknowledgments	272
References	273

#### 10. Role of hydrological modeling in drought assessment

*Shreedhar Maskey and Patricia Trambauer*

10.1 Introduction	277
10.2 Droughts as a natural hazard worldwide	278
10.3 Characterization of droughts: drought indices	280
10.3.1 Drought indices based on precipitation	280
10.3.2 Drought indices based on precipitation and temperature	281
10.3.3 Drought indices requiring hydrological models or observations	282



10.3.4	Drought indices based on remote sensing data	285
10.4	Significance of hydrological models for drought assessment	286
10.5	Case study: hydrological drought assessment for the Limpopo basin	287
10.5.1	Hydrological model of the Limpopo basin	287
10.5.2	Spatial variation of droughts in the Limpopo basin	289
10.5.3	Comparison of different drought indices	291
10.5.4	Do hydrological drought indices bring additional information?	292
10.6	Conclusions	294
	References	295
11.	<b>An assessment of climate extremes in Mbale Municipality in Eastern Uganda</b>	
	<i>Oriangi George, Giuliano Di Baldassarre, Ridolfi Elena, Nimusiima Alex, Yazidhi Bamutaze, Ardö Jonas and Petter Pilesjö</i>	
11.1	Introduction	301
11.2	Materials and methods	303
11.2.1	Description of study area	303
11.2.2	Data collection	304
11.2.3	Data analysis	305
11.3	Results and discussion	307
11.3.1	Historic frequency of occurrence, intensity, and duration of extremely wet periods	307
11.3.2	Historic frequency of occurrence, intensity, and duration of extremely dry periods	311
11.3.3	Future frequency of occurrence, and peak intensity of extremely wet and dry periods in Mbale Municipality	313
11.3.4	Future frequency of occurrence of extremely dry periods	316
11.3.5	Comparison of the past and future frequency of occurrences, peak intensity, and duration of extremely wet periods in Mbale Municipality	317
11.4	Conclusion	318
	Acknowledgment	319
	References	319
12.	<b>iMSEP: a GIT-based digital solution for disaster management</b>	
	<i>Ali Mansourian, Muzaffar Matchanov, Mahdi Farnaghi and Petter Pilesjö</i>	
12.1	Introduction	323
12.2	System architecture	325



12.2.1	Geoportal	325
12.2.2	Web GIS	326
12.2.3	Mobile GIS	326
12.2.4	Open data access	326
12.2.5	Early warning system	327
<b>12.3</b>	<b>Results</b>	<b>327</b>
12.3.1	Flood modeling and analysis in the “Qishloq yop” canal, Khorezm, Uzbekistan	327
12.3.2	Flood early warning in Uganda	330
<b>12.4</b>	<b>Conclusions</b>	<b>330</b>
	<b>Acknowledgments</b>	<b>333</b>
	<b>References</b>	<b>333</b>

## **13. Droughts and floods: people’s perception of hydrological risk**

*Elena Mondino*

<b>13.1</b>	<b>Introduction</b>	<b>335</b>
<b>13.2</b>	<b>Occurrence and perceptions</b>	<b>337</b>
13.2.1	Drought in Italy and Sweden	337
13.2.2	Floods in Italy and Sweden	337
13.2.3	Drought risk perception	338
13.2.4	Flood risk perception	339
<b>13.3</b>	<b>Methods</b>	<b>340</b>
13.3.1	Data collection	340
13.3.2	Survey design	341
<b>13.4</b>	<b>Results</b>	<b>343</b>
13.4.1	Geographical location	346
13.4.2	Previous experience	349
<b>13.5</b>	<b>Discussion and conclusions</b>	<b>350</b>
	<b>References</b>	<b>351</b>