

Table of Contents

	<i>p.</i>	<i>marg. no.</i>
Preface	V	
Summary of Contents	VII	
Table of Abbreviations	XIX	
Part One: Foundations	1	1
A. Historical Evolution	2	2
I. The Versailles Peace Treaty	3	6
II. The Law of Nuremberg and Tokyo	6	15
1. The Nuremberg Charter and Trial	7	17
a) Creation of the Nuremberg Tribunal	7	17
b) Provisions of the Nuremberg Charter	8	19
c) The Nuremberg Judgment	8	22
d) Contemporary and Current Assessments	9	25
2. The Tokyo Charter and Trial	11	30
3. Control Council Law No. 10	12	34
III. International Criminal Law During the Cold War	13	40
IV. The United Nations <i>ad hoc</i> Tribunals	15	45
1. The Yugoslavia Tribunal	16	48
2. The Rwanda Tribunal	18	54
V. The ICC Statute and the International Criminal Court	18	56
1. Previous Efforts to Create a Permanent International Criminal Court	18	57
2. The Conference of Plenipotentiaries in Rome	20	60
3. Significance of the ICC Statute	24	68
B. Concepts, Tasks and Legitimacy	24	71
I. The Notions of “International Criminal Law” and “Crimes Under International Law”	25	72
II. Protected Interests	27	77
III. The “International Element” of Crimes Under International Law	29	81
IV. Purposes of Punishment	30	85
V. The Principle of Legality in International Criminal Law (<i>Nullum Crimen, Nulla Poena Sine Lege</i>)	32	90
C. International Criminal Law and the International Legal Order	34	96
I. International Criminal Law and State Responsibility	35	97
II. Crimes Under International Law and Other International Crimes	36	100
III. International Criminal Law, Supranational Criminal Law, Co-operation in Criminal Matters, and Extraterritorial Jurisdiction	38	105
IV. International Criminal Law and Protection of Human Rights	39	109
1. Protection of Human Rights Through International Criminal Law	40	110

2. The Function of Human Rights in Limiting International Criminal Law	42	117
V. International Criminal Law and the Law of International Criminal Procedure	42	118
D. Sources and Interpretation	43	122
I. Sources of Law	44	123
1. International Treaties	45	126
2. Customary International Law	46	128
3. General Principles of Law	47	134
II. Subsidiary Means for Determining the Law	48	136
III. Individual Sources	48	137
1. ICC Statute, Elements of Crimes, Rules of Procedure and Evidence	49	138
2. The ICTY and ICTR Statutes	50	142
3. The Nuremberg and Tokyo Charters	50	144
4. Control Council Law No. 10	51	146
5. Geneva Conventions, Genocide Convention, Hague Regulations	51	147
6. Decisions of International Courts and Tribunals	51	148
7. Resolutions of the UN General Assembly and the UN Security Council, and Reports of the UN Secretary-General	52	150
8. International Law Commission Drafts and Comments	53	152
9. Drafts and Comments of International Scholarly Associations	53	153
10. Decisions of National Courts	53	154
11. National Legislation	54	156
12. Military Manuals	54	157
IV. Interpretation	54	158
V. Determining the Law Through the International Criminal Court	56	164
E. Universal Jurisdiction, the Duty to Prosecute, and Amnesty	57	168
I. Universal Jurisdiction and the Power to Prosecute and Punish	58	169
II. The Duty to Prosecute	61	177
1. The Duty to Prosecute by the State of Commission	62	179
2. Do "Third States" Have a Duty to Prosecute?	63	182
III. Amnesties and Truth Commissions	65	188
F. Enforcement	66	193
I. Direct and Indirect Enforcement	67	194
II. National and International Criminal Justice Systems	68	197
III. International Criminal Law in Action	70	201
1. The International Criminal Court	70	201
2. The Yugoslavia Tribunal	71	206
3. The Rwanda Tribunal	72	211
G. Domestic Implementation	73	215
I. The Need for Implementation	74	217
II. Options for Implementation	75	220
1. Complete Incorporation	76	221
a) Direct Application	76	222
b) Reference	76	223
c) Copying	76	224
2. Non-Incorporation – Applying "Ordinary" Criminal Law	77	225
3. Modified Incorporation	77	228

4. Combinations	78	229
III. Forms of Incorporation	78	230
1. Amendment of Existing Laws	78	231
2. Self-Contained Codification	78	233
IV. Interpretation of International Criminal Law in a Domestic Context	79	235
V. The (German) Code of Crimes Against International Law	80	238
1. Historical Background	81	239
2. Aims	83	246
3. Structure	84	249
4. General Principles	84	250
5. Genocide	85	254
6. Crimes Against Humanity	85	255
7. War Crimes	85	256
8. Violations of Supervisory Responsibility	87	261
9. Aggression	87	263
10. Universal Jurisdiction	88	264
Part Two: General Principles	90	266
A. Towards a General Theory of Crimes Under International Law	91	271
I. The Concept of Crimes Under International Law	92	272
II. The Context of Organized Violence (International Element)	94	278
III. The Structure of Crimes Under International Law	95	279
1. Step One: Material Elements	95	280
2. Step Two: Mental Element	95	281
3. Step Three: Grounds for Excluding Responsibility	95	282
4. Requirements for Prosecution	96	284
B. Material Elements	96	285
I. Conduct	97	288
II. Consequence and Causation	97	289
III. Circumstances	98	291
C. Mental Element	99	293
I. International Case Law	101	296
II. Article 30 of the ICC Statute	102	298
1. Structure	102	299
2. Standard Requirements: "Intent and Knowledge"	104	304
a) Intent as Regards the Criminal Conduct	104	305
b) Intent and Knowledge as Regards the Consequences of the Conduct	104	306
c) Knowledge as Regards the Circumstances of the Crime	105	308
3. Departures From the Standard Requirements	106	310
a) Sources of Other Provisions Within the Meaning of Article 30	106	311
aa) "Otherwise provided" in the ICC Statute	106	311
bb) "Otherwise provided" in the Elements of Crimes and in Customary International Law	107	313
b) Effects of Other Provisions Within the Meaning of Article 30	109	317
aa) Affirmation and Clarification	109	318
bb) Expansion of Criminal Liability	109	319
cc) Narrowing of Criminal Liability	110	323

4. The Context of the Crime and the Mental Element	111	325
5. Recklessness and <i>Dolus Eventualis</i>	113	330
D. Individual Criminal Responsibility	116	337
I. Towards a Doctrine of Modes of Participation in International Criminal Law	117	339
1. International Case Law and Customary Law	117	339
2. ICC Statute	119	343
II. Commission	120	345
1. Commission as an Individual	120	345
2. Joint Commission	120	346
3. Commission Through Another Person	123	353
III. Encouragement	124	356
1. Ordering	124	357
2. Instigation	125	358
IV. Assistance	125	360
1. Assisting the (Primary) Perpetrator	126	361
2. Assisting the Commission of a Crime by a Group	127	364
E. Superior Responsibility	128	367
I. Superior-Subordinate Relationship	130	374
1. Military Commanders	131	377
2. Civilian Superiors	131	378
II. Mental Element	133	381
III. Failure to Take Necessary Measures	134	386
1. Preventive Measures	134	387
2. Repressive Measures	135	388
3. Necessary and Reasonable Measures	135	390
IV. Commission of a Crime as a Result of Violation of the Duty of Control	136	395
F. Grounds for Excluding Criminal Responsibility	138	401
I. Historical Development of Defenses in International Criminal Law	138	402
1. International Case Law	138	402
2. ICC Statute	139	405
II. Self-Defense	139	407
1. Self-Defense Situation	141	410
a) Use of Force	141	411
b) Defensible Interests	141	412
2. Self-Defense Measures	142	416
3. Mental Element	142	417
4. Individual Self-Defense and a State's Right of Self-Defense	142	418
III. Necessity and Duress	143	420
1. Threat to Life or Limb	145	426
2. Necessary and Reasonable Measures	146	428
3. Intention of Averting a Threat	147	430
4. Balancing of Interests	147	431
5. Self-Induced Necessity	147	432
6. Limits on Duress and Necessity in Cases of Special Duty to Assume Danger	148	434
IV. Mistake	148	435
1. Mistake of Fact	150	439
2. Mistake of Law	151	443

V. Superior Orders	152	448
1. Basic Positions	153	450
2. International Case Law and Customary International Law	154	454
3. Article 33 of the ICC Statute	156	460
VI. Mental Disease or Defect	157	463
VII. Intoxication	160	469
1. Destruction of the Capacity to Appreciate or Control Conduct	161	472
2. Exclusion of Responsibility for Voluntary Intoxication?	161	473
VIII. Other Grounds for Excluding Responsibility	163	477
G. Inchoate Crimes	165	485
I. Conspiracy	166	488
II. Planning and Preparation	167	491
III. Attempt	168	493
IV. Abandonment	169	500
H. Omissions	170	502
I. Official Capacity and Immunity	172	509
I. Immunity and International Criminal Law	172	510
II. Irrelevance of Official Capacity	174	515
III. (Limited) Immunity for Heads of State and Government, Foreign Ministers, and Diplomats	176	520
IV. Summary	178	526
J. Multiplicity of Offenses	178	527
I. International Case Law	179	530
II. Same Conduct	179	531
1. Cumulative Charging	180	534
2. Multiple Convictions	180	535
III. Sentencing	183	541
K. Requirements for Prosecution	183	544
Part Three: Genocide	186	554
A. Introduction	188	555
I. The Phenomenon of Genocide	188	555
II. History of the Crime	190	560
III. Structure of the Crime	191	563
IV. Protected Interests	192	566
B. Material Elements	193	571
I. Protected Groups	193	571
1. Criteria for Group Classification	194	573
2. National Groups	196	580
3. Ethnic Groups	196	581
4. Racial Groups	197	582
5. Religious Groups	197	583
6. Other Groups	198	585

II. Individual Acts	199	587
1. Killing	200	589
2. Causing Serious Bodily or Mental Harm	200	590
3. Inflicting Destructive Conditions of Life	201	593
4. Imposing Measures to Prevent Births	202	597
5. Forcibly Transferring Children	203	598
6. Is So-Called Ethnic Cleansing Genocide?	204	604
III. Destruction of the Group Required?	204	606
C. Mental Element	206	610
I. Intent and Knowledge (Article 30 of the ICC Statute)	206	611
II. Specific Intent to Destroy	207	615
1. The Term “Intent”	207	616
2. The Group as the Object of Destructive Intent	208	618
3. Evidentiary Issues	210	622
D. Incitement to Commit Genocide	211	623
I. Structure and Purpose of Punishment	211	623
II. Material Elements	211	626
III. Mental Element	212	627
E. Multiplicity of Offenses	212	628
Part Four: Crimes Against Humanity	214	632
A. Introduction	216	633
I. The Phenomenon of Crimes Against Humanity	216	633
II. History of the Crime	216	635
III. Structure of the Crime	220	644
IV. Protected Interests	220	645
B. Contextual Element (Attack on a Civilian Population)	221	646
I. A Civilian Population as the Object of the Crime	221	647
II. Widespread or Systematic Attack	224	652
1. Attack	224	652
2. Widespread or Systematic Character	225	654
III. The “Policy Element”	226	658
1. ICC Statute	226	658
2. Customary International Law	229	666
IV. Perpetrators	230	668
V. Mental Element	230	669
C. Individual Acts	231	672
I. Killing	232	674
II. Extermination	234	678
III. Enslavement	236	683
1. Definition	237	685
2. Forced Labor	238	690
3. Trafficking in Persons	239	693
IV. Deportation or Forcible Transfer of Population	240	695
V. Imprisonment	243	704

VI. Torture	244	709
VII. Sexual Violence	247	721
1. Rape	248	723
2. Sexual Slavery	250	728
3. Enforced Prostitution	251	729
4. Forced Pregnancy	251	731
5. Enforced Sterilization	252	733
6. Other Forms of Sexual Violence	252	734
VIII. Persecution	253	735
1. Material Elements	254	738
2. Mental Element	257	745
a) Political, Racial or Religious Grounds	258	747
b) Other Grounds	258	749
IX. Enforced Disappearance	259	752
X. Apartheid	262	758
XI. Other Inhumane Acts	264	766
D. Multiplicity of Offenses	266	769
Part Five: War Crimes	267	772
A. Introduction	269	773
I. Historical Development	269	774
1. Laws of War and International Humanitarian Law	270	775
2. National Criminal Law to Implement International Humanitarian Law	276	795
3. International Criminal Law and International Humanitarian Law	278	800
II. International Humanitarian Law and Criminal Sanctions	279	803
III. War Crimes in Non-International Armed Conflict	282	811
IV. Protected Interests	285	817
V. Categories of War Crimes	285	819
B. Overall Requirements	286	822
I. Armed Conflict	286	822
1. Inter-State Conflict	287	824
2. Intra-State Conflict	288	825
3. Applicability of the Law of War Crimes Despite No Use of Force	290	831
II. International or Non-International Conflict	290	833
1. International Character of Inter-State Armed Conflicts	291	834
2. Intra-State Armed Conflicts of an International Character	291	835
a) Wars of National Liberation	291	836
b) Other Intra-State Conflicts	292	837
3. Mixed Armed Conflicts	293	842
III. Applicability of the Law of War Crimes, <i>Rationae Temporis</i> and <i>Loci</i>	294	844
IV. The Nexus Between the Individual Act and the Armed Conflict	294	846
1. Perpetrator's Position	295	848
2. Conduct of Private Persons	296	851
3. Perpetrator's Motivation	297	853
V. Mental Element	297	854
1. Perpetrator's Awareness of the Conflict	297	855
2. Wilfulness in the Law of War Crimes	298	857

C. War Crimes Against Persons	298	859
I. Victims of War Crimes Against Persons	298	859
1. Persons Protected in the Geneva Conventions	299	860
a) Protected Persons in International Conflicts	299	861
b) Protected Persons in Non-International Conflicts	302	872
2. Persons Protected by Other Provisions	302	874
II. Killing	302	875
III. Killing and Wounding Persons Not Involved in Combat	304	879
IV. Offenses of Mistreatment	305	885
1. Torture	305	887
2. Causing Suffering or Injury to Health (International Conflict)	306	891
3. Mutilation	307	895
4. Biological, Medical or Scientific Experiments	308	898
5. Inhuman or Cruel Treatment	310	903
V. Sexual Violence	311	907
1. Rape	313	912
2. Other Serious Forms of Sexual Violence	313	914
VI. Humiliating and Degrading Treatment	314	917
VII. Compelled Service in Military Forces and Operations of War (International Conflict)	316	924
1. Compelled Service in the Forces of a Hostile Power	316	924
2. Compelled Participation in Operations of War	317	929
VIII. Slavery	318	932
IX. Forced Labor (International Conflict)	319	935
X. Punishment Without Regular Trial	320	938
1. International Conflict	320	938
2. Non-International Conflict	322	944
XI. Unlawful Confinement (International Conflict)	323	950
XII. Delay in Repatriation (International Conflict)	325	955
XIII. Hostage-Taking	325	958
XIV. Deportation or Forcible Transfer	327	963
1. International Conflict	327	964
2. Non-International Conflict	328	968
XV. Transfer of a Party's Own Civilian Population (International Conflict)	329	971
XVI. Use of Child Soldiers	331	977
D. War Crimes Against Property and Other Rights	334	986
I. Offenses of Expropriation	334	987
1. Conduct	334	987
2. Object of the Conduct	335	990
3. Extent of Expropriation	336	994
4. Mental Element	337	996
5. Military Necessity	337	997
II. Offenses of Destruction	338	1000
1. Conduct	339	1002
2. Object and Extent of the Offense and Military Necessity	340	1003
3. Mental Element	340	1004
III. Encroachments on Other Rights	340	1005
E. Employing Prohibited Methods of Warfare	341	1008
I. Introduction	341	1008

1. Attacks on Non-Military Targets	341	1008
2. Other Prohibited Methods	342	1012
II. Attacks on Civilian Populations	343	1015
III. Terror Against a Civilian Population	344	1019
IV. Attacks on Civilian Objects	345	1024
1. International Conflict	345	1024
2. Non-International Conflict	346	1027
V. Attacks on Specially Protected Objects	346	1029
VI. Attacks on Persons and Objects Using the Emblems of the Geneva Conventions	348	1035
VII. Attacks Causing Disproportionate Incidental Damage	349	1039
1. International Conflict	350	1040
2. Non-International Conflict	352	1048
VIII. Attacks on Undefended Non-Military Objects	352	1049
1. International Conflict	352	1049
2. Non-International Conflict	354	1053
IX. Perfidious Killing or Wounding	354	1054
1. International Conflict	354	1054
2. Non-International Conflict	356	1059
X. Improper Use of Insignia	357	1061
1. International Conflict	357	1061
a) Improper Use of Flags of Truce	358	1064
b) Improper Use of Enemy Flags, Insignia, and Uniforms	358	1066
c) Improper Use of Protective Emblems of the Geneva Conventions	359	1068
d) Improper Use of Protected Insignia of the United Nations	359	1070
e) Serious Consequences	360	1072
2. Non-International Conflict	360	1073
XI. Giving No Quarter	360	1074
XII. Starvation of the Civilian Population	362	1080
1. International Conflict	362	1081
2. Non-International Conflict	365	1088
XIII. Use of Human Shields	365	1090
1. International Conflict	365	1090
2. Non-International Conflict	367	1095
F. Use of Prohibited Means of Warfare	368	1096
I. Introduction	368	1097
II. International Conflict (ICC Statute)	369	1100
1. Use of Poison or Poisoned Weapons	369	1100
a) The Term "Poison"	370	1103
b) Poison Gas as Poison?	371	1105
c) Chemical and Biological Weapons of Mass Destruction	371	1106
2. Use of Poison Gas and Similar Substances	372	1107
3. Use of Prohibited Ammunition	373	1111
4. The Catch-All Offense of Article 8(2)(b)(xx) of the ICC Statute	374	1114
III. International Conflict (Customary International Law)	375	1116
1. Nuclear Weapons	375	1118
2. Chemical Weapons	376	1119
3. Biological Weapons	377	1122
4. Conventional Weapons	377	1125
IV. Non-International Conflict (Customary International Law)	379	1127

G.	War Crimes Against Humanitarian Operations	380	1133
H.	Multiplicity of Offenses	383	1144
	Part Six: The Crime of Aggression	384	1147
A.	The Prohibition of Aggression Under International Law	386	1151
	I. Developments Prior to World War II	386	1151
	II. Current Status	389	1158
B.	Criminal Responsibility Under Customary International Law (War of Aggression)	390	1161
	I. Nuremberg and the Criminality of Aggressive War	391	1162
	II. Material Elements	394	1168
	1. Aggressive War	394	1168
	2. Other Acts of Aggression	396	1175
	3. Perpetrators	397	1176
	4. Criminal Acts	398	1178
	III. Mental Element	399	1181
	IV. Jurisdiction	400	1182
C.	The Crime of Aggression in the ICC Statute – Prospects	400	1184
	I. Definition of the Crime of Aggression	401	1187
	II. The Role of the UN Security Council	402	1188
	Appendix 1: Materials	405	
	A. ICC Statute	406	
	B. ICTY Statute	418	
	C. ICTR Statute	420	
	D. London Agreement	422	
	E. Nuremberg Charter	423	
	F. Tokyo Charter	424	
	G. CCL No. 10	425	
	H. Nuremberg Principles	427	
	I. (German) Code of Crimes Against International Law	428	
	Appendix 2: Table of Cases	435	
	Appendix 3: Table of Statutes and International Instruments	451	
	Appendix 4: Index	469	
	Appendix 5: International Criminal Law in the World Wide Web	483	