## Contents

Preface and Acknowledgments xiii
List of Cases xvii
List of Legal Material xxvii
Abbreviations xlix

Introduction: Protecting Animals in an Age of Globalization 1

§5 Assistants along the Way: Four Case Groups 43

§6 Interim Conclusion 48

ı. Ma	pping	the Territory of Animal Law 11
9	ı Со	rnerstones of Jurisdiction 11
	A.	Jurisdiction as a Meta-Ordering Doctrine 12
	B.	Potentia, potestas, and Other Core Elements of Jurisdiction 14
	C.	Usefulness of Prescriptive Jurisdiction 16
9:	2 Th	e Rise of Extraterritorial Jurisdiction 17
	A.	The Historical Rise 17
	В.	Emerging Spheres of Jurisdiction 18
	C.	Globalization and Deterritorialization of Sovereign Space 19
	D.	The Rise of Jurisdiction Based on Personal and Organizational Sovereignty
9	3 The	e Extraterritoriality Framework 25
	A.	Jurisdictional Norms and the Extraterritoriality Framework 25
	В.	Extraterritorial Jurisdiction stricto sensu, or Direct Extraterritoriality 28
	C.	The Animal Relation 31
	D.	Extraterritorial Ancillary Repercussions, or Indirect Extraterritoriality 33
\$4	spa Spa	atial Dimensions of Animal Law 34
	A.	Of Humans and Other Animals 34
	В.	Unconquered Jurisdictional Territory 38

2.	Shift	ing Spatial Dimensions of Animal Law 51				
	§1	Animal Law at an Impasse 52				
	\$2	2 Is Extraterritorial Jurisdiction the Panacea? 55				
§3 The Rationale of Economic Entanglement 58						
		A. The Rationale of Economic Entanglement in Economic Law 58				
		B. The Rationale of Economic Entanglement in Animal Law 61				
		I. Regulatory Competition in Animal Law 61				
		II. Heading for Lower Common Denominator Movement 65				
	III. Catalysts for Extraterritorial Jurisdiction 67					
	§4 The Universality Rationale 69					
A. The Universality Rationale in Criminal Law 69						
B. The Universality Rationale in Animal Law 71						
	I. Recognition of Animal Sentience 71					
		II. Condemnation of Animal Cruelty 74				
		III. Principles of Humane Treatment and Avoidance of Animal Suffering				
		IV. General Principle of Animal Welfare 76				
	60	Interim Conclusion 80				
	3)					
2	The I	Inanswered: Indirect Protection through the GATT 83				
٥٠		Does the Trade Law Jungle Leave Room for Animals? 85				
A. Animal Law vs. Trade Liberalization 85						
		B. Trade Law as Indirect Extraterritoriality 88				
		C. Labels, Tariffs, Taxes, and Quantitative Restrictions 91				
	62					
§2 General Agreement on Tariffs and Trade (GATT) 94  A. Most-Favored-Nation Obligation 94						
		D 37 / 175 O11:				
		T DY A 1 A TYPE CAPPER				
		I. Note Ad Article III GATT 94  II. Article III GATT 96				
		TTT D				
		C. Reduction of Quantitative Restrictions 107				
		D. Schedules of Concessions 107				
		E. GATT Justifications 109				
		I. Article XX(g) GATT 109				
		II. Article XX(b) GATT III				
		III. Article XX(a) GATT 112  E Extractoristic viol Animal Dropostion in the CATT 114				
		F. Extraterritorial Animal Protection in the GATT 115				
		I. Terminology and Means of Interpretation 116				
		II. General Treaty Analysis of Articles XX(b) and (g) GATT 119				
		III. Jurisdictional Analysis of Articles XX(b) and (g) GATT 122				
		IV. General Treaty Analysis of Article XX(a) GATT 124				
	C	V. Jurisdictional Analysis of Article XX(a) GATT 126				
	93	Interim Conclusion 127				

- 4. The Ignored: Indirect Protection through the TBT, the SPS, the ADA, the AoA, and the Special Treatment Clause 131
  - §1 Agreement on Technical Barriers to Trade (TBT) 132
    - A. Scope of the TBT 133
    - B. Article 2 TBT 135
    - C. Extraterritorial Animal Protection in the TBT 138
  - §2 Agreement on the Application of Sanitary and Phytosanitary Measures (SPS) 139
    - A. Scope of the SPS 140
    - B. Articles 2-5 SPS 140
    - C. Animal Welfare vs. Animal Health: The Role of the World Organization for Animal Health (OIE) 142
    - D. Extraterritorial Animal Protection in the SPS 147
  - §3 Anti-Dumping Agreement (ADA) 147
    - A. ADA and Article VI GATT 147
    - B. Extraterritorial Animal Protection in the ADA and Article VI GATT 149
  - §4 Agreement on Agriculture (AoA) 149
    - A. Applicable AoA Provisions 149
    - B. Extraterritorial Animal Protection in the AoA 152
  - §5 Special Treatment Clause 152
  - §6 Interim Conclusion 155

Indirect Extraterritorial Protection of Animals in Trade Law: Evaluation and Outlook 156

- 5. The Unexplored: Direct Extraterritoriality 161
  - §1 Jurisdictional Principles in Animal Law 161
  - §2 Territoriality Principles 163
    - A. Protecting Animals Abroad through the Territoriality Principle 163
    - B. Protecting Animals Abroad through Subjective and Objective Territoriality Principles 166
    - C. Territoriality Principles in the Extraterritoriality Framework 171
  - §3 Active Personality Principle 172
    - A. Natural Persons 172
    - B. Legal Persons 174
    - C. Parent Corporations, Branches, and Subsidiaries 175
      - I. Dissecting the Multinational 176
      - II. The Multinational as a Single Legal Unit 186
      - III. Synthesis 188
    - D. Active Personality Principle in the Extraterritoriality Framework 190
  - §4 Protective Principle 192
    - A. Protecting Animals Abroad through the Protective Principle 192
    - B. Protective Principle in the Extraterritoriality Framework 196
  - §5 Interim Conclusion 196

x Contents
6. Extended Jurisdiction through Foreign Policy, Soft Law, and Self-Regulation 199
§1 Extended Extraterritorial Jurisdiction 200
A. Investment Rules 200
B. Export Credits 204
C. Bilateral Investment Treaties (BITs) 206
D. Free Trade Agreements (FTAs) 208
E. Impact Assessments 211
F. Reporting 215
G. Corporate Social Responsibility (CSR) 220
H. Codes of Conduct 224
I. OECD Guidelines for Multinational Enterprises 227
J. Extended Extraterritorial Jurisdiction in the Extraterritoriality Framework 229
§2 Interim Conclusion 230
7. Lex Ferenda: Direct Extraterritoriality 233
§1 Passive Personality Principle 234
A. Functional Animal Nationality 234
I. The Need for a Stable Jurisdictional Link to Animals 235
II. Nationality of Animals as Goods 238
III. Nationality of Animals as Passport Holders 239
IV. Nationality of Animals as Legal Hybrids 242
V. Functional Nationality 246
VI. International Legal Limits 247
VII. Acquisition of Nationality 249
B. Protecting Animals Abroad through the Passive Personality Principle 251
C. Passive Personality Principle in the Extraterritoriality Framework 253
§2 Universality Principle 253
A. Protecting Animals Abroad through the Universality Principle 253
B. Universality Principle in the Extraterritoriality Framework 258
§3 Effects Principle 259
A. Protecting Animals Abroad through the Effects Principle 259
B. Effects Principle in the Extraterritoriality Framework 263
§4 Interim Conclusion 263
Synthesis of Direct Possibilities and Case Groups in the Extraterritoriality
Framework 265

## 8. Parameters of Substantive Law 273

- §1 Moral Trajectory of Extraterritorial Animal Law 273
  - A. Moral Trajectory in General International Law 274
    - I. Global Justice 274
    - II. The Precautionary Principle 276
  - B. Moral Trajectory in Trade Law 278
  - C. Moral Trajectory in Animal Law 280
    - I. From Property Protection to Animal Protection 280

	II. Toward Pathocentrism 282				
	III. Pathocentrism and the Moral Trajectory of Animal Law 286				
§2 Moral Consistency 286					
\$3	Guide to a Hierarchy of Extraterritorial Animal Laws 290				
	A. Minimum Standards 290				
	B. Hierarchy of Presumptions 292				
	I. Presumption in Favor of Transparency 293				
	II. Presumption in Favor of Recognizing Animal Interests 296				
	III. Presumption in Favor of Integrating Animal Interests 296				
	IV. Presumption in Favor of Extensive and Detailed Laws 297				
	V. Presumption in Favor of Adequate Interest Balancing 299				
	VI. Presumption in Favor of Prohibitions 303				
	VII. Presumption in Favor of Animal Rights 304				
\$4	Duty to Protect and Respect Animals Across the Border 307				
	A. Learning from the "Protect, Respect and Remedy" Framework 308				
	B. The "Protect, Respect and Remedy" Framework in Animal Law 311				
§5 Interim Conclusion 316					
. Com	parative Vantage Points of Extraterritorial Animal Law 319				
	Equivalence of Criminal, Administrative, and Civil Animal Law 320				
	Applying Constitutional Animal Law Extraterritorially 321				
	. Brazil and Egypt: From Conservationism to Pathocentrism 322				
	B. Germany, Luxembourg, and the European Union: Animal Protection as a State				
	Objective 325				
	C. India: From the Duty of Compassion to Animal Rights 328				
	D. Switzerland: Animal Dignity 330				
	E. Constitutional Animal Law in the Extraterritoriality Debate 334				
\$3	Applying Criminal Animal Law Extraterritorially 334				
	A. Basics of Criminal Animal Law 334				
	B. Advanced Criminal Animal Laws 335				
	C. Advanced Liabilities 340				
	D. Corporate Criminal Liability 341				
\$4	Applying Administrative Animal Law Extraterritorially 344				
	A. Basics of Administrative Animal Law 344				
	B. Protection of Farmed Animals 346				
	C. Transportation Standards 354				
	D. Slaughter Standards 358				

§5 Interim Conclusion 363

A. Objections 365

B. Forms of Protest 370

10. Legality of Extraterritorial Jurisdiction under International Law 365

§1 Countering Extraterritorial Animal Law 365

§2	Conflicts	of Animal	Laws	372
----	-----------	-----------	------	-----

- A. Addressing Cultural Clashes 372
- B. Emergence and Classification of Jurisdictional Conflicts 373
- C. Preventing and Mitigating Jurisdictional Conflicts 376
  - I. The Rule of Law and the Prohibition of Double Jeopardy 376
  - II. Principle of Reasonableness 378
- D. Resolving Jurisdictional Conflicts 380
  - I. Unilateral Resolution 380
  - II. Bilateral and Multilateral Resolution 383
- §3 International Legal Limits 385
  - A. Principle of Sovereign Equality 386
  - B. Right to Territorial Integrity 387
  - C. Domaine Réservé and the Principle of Nonintervention 388
  - D. Principle of Self-Determination of Peoples 392
  - E. Influence of the Extraterritoriality Framework on International Legal Limits 394
- §4 Legal Consequences of Exorbitant Extraterritorial Animal Law 395
- §5 Interim Conclusion 398
- 11. Conclusion: Toward Legal Pluralism, Postcolonialism, and Interspecies Justice 401

BIBLIOGRAPHY, NEWSPAPER ARTICLES, AND PRESS RELEASES 411
INDEX 449